Real-time interferometric characterization of a PVA based photopolymer.

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ABSTRACT

Recently, we have proposed the application of interferometric techniques, both in transmission and in reflection, to characterize in real-time the modulation performance of the photopolymers. In this work we use this approach to characterize the optical modulation properties of a polyvinyl alcohol/acrylamide (PVA/AA) photopolymer. A double beam interferometer is constructed, both in transmission and in reflection, in combination with the setup to expose the recording material. Some benefits are provided by this approach: direct calculation of the properties of the material is possible, index and surface modulation can be decoupled, and additional information can be obtained since the results are not influenced by diffusion processes. With this scheme we mainly characterize the properties at very low spatial frequencies, which can be useful to analyze the applicability of holographic recording materials in another range of applications, such as recording of diffractive optical elements (DOEs). Comparison with the conventional holographic characterization shows significant differences.

Keywords: Photopolymers, holographic data storage, diffractive optical elements, interferometry, phase modulation.

1. INTRODUCTION

Photopolymer based recording materials have been widely studied for holographic applications. Their good properties as high diffraction efficiencies, low noise, self-developing, easy preparation, high thickness, low cost, etc., make these holographic recording materials optimum candidates for many holographic devices such as holographic memories and data storage. For these applications, good response of spatial frequencies, between 700 lines/mm and 1500 lines/mm, is required. Among the different photopolymer compositions, polyvinyl-alcohol/acrylamide (PVA/AA) materials is one of the promising materials in these applications and they have been deeply analyzed in holography and data storage.

Holographic recording materials are typically characterized in terms of their diffraction efficiency by registering diffraction gratings with high spatial frequencies (larger than 1000 lines/mm) by optical interference of two plane waves. In the last years this technique has been intensively used for the polyvinyl alcohol (PVA) based photopolymers produced by our research group, obtaining very relevant information for the polymerization-diffusion processes taking place in the material, for the stability and storage conditions, etc. This has enabled to optimize the composition of the photopolymers for holographic data storage, holographic optical elements or Bragg image processing.

To obtain the values for the modulation properties of the material, mainly the index modulation, non-linear fitting of multiparametric models, such as the Rigorous Coupled Wave Theory (RCWT), to the experimentally measured diffracted and/or transmitted intensity are applied. The values obtained for the modulation properties at high spatial frequencies (more than 500 lines/mm) contain both the influence of photopolymerization reaction and diffusion of the...
components in the material between the exposed and unexposed zones\textsuperscript{15}. Depending on the strength assumed in the models for the two processes (photopolymerization and diffusion) the values for the material parameters may largely vary. Therefore, it would be a clear advantage to have some technique at hand so as to measure the characteristics of the material isolating one of the two processes. Furthermore, in most of the index modulated holographic recording materials, there is also some residual surface relieve modulation. In some cases, this thickness variation may represent a large percentage of the phase modulation introduced by the material onto the incident beam. Usually shrinkages around 8\% are reported in the literature some polymer formulations\textsuperscript{16-17}. Shrinkage of 0.5\% is defined as the upper limit for commercial availability of a recording medium\textsuperscript{18,19}, on the other hand it is interesting to obtain relief profiles for certain applications\textsuperscript{20}. Usually the contribution of the index and thickness variations are not easy to decouple. Recently, we have proposed the application of interferometric techniques, both in transmission\textsuperscript{21} and in reflection\textsuperscript{22}, to characterize in real-time the modulation performance of the photopolymers. Interferometric techniques are a well established tool in many metrological applications. However, they have rarely been applied in the realm of holographic recording materials, and more specifically in the development of photopolymeric media. These interferometric techniques allow both to obtain a direct measurement for the index modulation and for the thickness variation.

In the last ten years, some studies have been carried out dealing with the recording of very low spatial frequencies (less than 10 lines/mm) in PVA/AA based layers. In Ref.\textsuperscript{23} a contact-copying process was used to transfer low spatial frequency diffractive optical elements (DOEs) from binary amplitude masks\textsuperscript{24} onto the photopolymer, with good results. The same technique was used to analyze the suitability of a wide range of holographic recording materials at low spatial frequencies so as to register DOEs and optical correlation filters\textsuperscript{25}. Recently, we proposed a hybrid optical-digital setup incorporating a liquid crystal display (LCD) to generate phase DOEs onto photopolymers\textsuperscript{26}. The application of photopolymers to DOEs generation requires the characterization of the modulation properties of the material. The interferometric techniques proposed in Ref.\textsuperscript{21,22} are well adapted for this characterization, since they measure the phase-shift modulation in the range of the very low frequencies usually registered in DOEs.

In this work we show the application of interferometric measurements to characterize the modulation properties of photopolymers. A double beam interferometer is constructed, both in transmission and in reflection, in combination with the setup to expose the recording material. Some benefits are provided by this approach: direct calculation of the properties of the material is possible, index and surface modulation can be decoupled, and additional information can be obtained since the results are not influenced by diffusion processes. With this scheme we mainly characterize the properties at very low spatial frequencies, which can be useful to analyze the applicability of holographic recording materials in another range of applications, such as recording of diffractive optical elements (DOEs). Comparison with the conventional holographic characterization shows significant differences. In Section 2 we describe the recording setup where an additional arm is added for the interferometric measurements. In Section 3 we show the results for the phase-shift and for the shrinkage as a function of the exposure obtained for various compositions and thicknesses of the layers of PVA/AA photopolymer. The main conclusions of the work are given in Section 4.

2. COMBINED RECORDING SETUP WITH A REAL TIME INTERFEROMETER

In this Section we focus on the description of the combined setup to obtain real time interferometric measurements while the holographic material is being exposed. First we describe the combined setup with the interferometer in transmission. Then we describe the specific details for the interferometer in reflection.

In Figure 1 we show the experimental setup in transmission geometry to measure the phase-shift as a function of the exposure energy. The setup has two arms with an angular separation of 30\(^\circ\), one to expose the recording material, whereas the second arm is the interferometer used to measure, in real-time, the phase-shift. The recording material is perpendicularly oriented with respect to the interferometer axis in order to ease the analysis of the interferometric results: at an oblique incidence we should take into account both the Fresnel coefficients at the interface and the increase of distance in the propagation across the layer.

In the first arm, the exposure beam provided by a solid-state Nd-YVO4 Verdi laser with a wavelength of 532 nm (at this wavelength the dye presents the maximum absorption) is expanded and collimated using a spatial filter and a lens, obtaining a beam with 1.5 cm of radius. A wave plate and a neutral filter (attenuator) are added before the spatial filter to
control the orientation and the intensity of the linearly polarized beam produced by the laser Nd-YVO4. A polarizer (P), with its transmission axis oriented along the vertical of the lab, is introduced to produce a beam with TE polarization incident onto the recording material. This incident beam forms an angle of 30° with respect to the photopolymer layer. We adjust the laser power so that the exposure intensity that impinges on the layer is 0.4 mW/cm² (this is the value corrected from the Fresnel coefficient at the air-photopolymer interface at an incidence of 30° for TE polarization). A half-opened diaphragm is used to leave an unexposed area in the photopolymer layer.

In the interferometric arm, to generate the interferences pattern we use a He-Ne laser, since the photopolymer does not present any absorption at 633 nm. We have implemented a Young’s fringes based two beams interferometer. This interferometer has been successfully applied in the phase-shift characterization of liquid crystal displays (LCDs). It shows a good precision, and due to its quasi-common path architecture is a robust setup, less sensible to changing environmental conditions and simpler to construct than Mach-Zehnder type interferometers. We use a grating with a spatial frequency of 4 lines/mm to generate a series of diffracted orders from the unexpanded He-Ne beam; we block all the orders except -1 and +1. One of the two orders impinges on the exposed zone (illuminated by the Nd-YVO4 laser) and the other one impinges on the non-exposed zone. The distance between the two orders is about 1 cm, so as to eliminate the influence of the monomer diffusion in the polymerization process. Once the two orders have propagated throughout the photopolymer, a lens is used to make them interfere. A microscope objective is used to amplify the interference pattern onto a CCD camera. This pattern is captured in real-time as a function of exposure at specific time intervals. The measurements and the results obtained using this setup are presented in Section 3.1.

The scheme for the setup to measure the phase-shift in reflection is basically the same as in Figure 1. Instead of measuring the interference between the two transmitted beams, the measurements are done for the reflected beams. The interferometric arm is, thus, located on the same side of the incident He-Ne probe beam at an angle, so as to separate the incident and reflected He-Ne beams. With these measurements the thickness variation, thus the shrinkage, can be measure as a function of the exposure energy. Results obtained are shown in Section 3.2.

In Figure 2 we show as an example the interference fringes captured at 4 different exposure times. We see how the fringes shift while the exposure time increases. The patterns show a very good visibility (both in images captured in the transmission and in the reflection interferometers). This implies that neither the amplitude transmission nor the reflection coefficient vary with the exposure. Actually the material can be considered transparent to the 633 nm wavelength. Therefore, with this setup it is possible to plot the fringes moving as a function of the exposure time during the polymerization process, and without the influence of monomer diffusion. In this sense, we have verified that once we stop the exposition, the shift in the interference fringes freezes. This indicates that the measurements taken in this setup are not affected by diffusion processes.
Once the interference pattern has been stored, we measure the shift with respect to the initial pattern obtained for the unexposed layer. To increase the accuracy in this calculation, we cross-correlate the different interference patterns with respect to the unexposed ones. The cross-correlation produces a clear peak. The location of this peak for each exposure with respect to the center of the image is equal to the shift in the fringe pattern. A full fringe shift is equal to a $2\pi$ radians variation in the phase-shift. In this experiment, the phase-shift is directly related to the index modulation and/or the thickness modulation of the material, depending if we analyze the measurements obtained with the transmission or the reflection interferometer. This simple and direct relation is not possible in the case of the holographic characterization where multiparametric diffusion models are used.

3. RESULTS FOR THE MODULATION CAPABILITIES OF THE PHOTOPOLYMER

3.1. Photopolymer layers

The PVA/AA formulations contain a dye, a cosensitizer which is triethanolamine (TEA), one or two monomers (AA and or not BMA) and a polymer (PVA). Let us introduce some basic details related to the design of the composition of PVA/AA photopolymer layers. Since the molecular weight of the PVA plays an important role in the monomer diffusion during the polymerization process\textsuperscript{28}, different types of PVA have been used in the literature. Moreover, different dyes and different triethanolamine (TEA) concentrations are normally used to obtain different material properties (TEA is a liquid at ambient temperature and plays an important role in the monomer and polymer diffusion during the polymerization process too\textsuperscript{12}).

Table 1. Water solutions used to obtain photopolymerizable “dry” layers.

<table>
<thead>
<tr>
<th>Component</th>
<th>Type 1</th>
<th>Type 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>PVA $M_w=130000$</td>
<td>8.5% w/v</td>
<td>8.5% w/v</td>
</tr>
<tr>
<td>TEA</td>
<td>0.4 M</td>
<td>0.4 M</td>
</tr>
<tr>
<td>YE</td>
<td>$2.5 \times 10^{-4}$ M</td>
<td>$2.5 \times 10^{-4}$ M</td>
</tr>
<tr>
<td>AA</td>
<td>0.45 M</td>
<td>0.40 M</td>
</tr>
<tr>
<td>BMA</td>
<td>Non</td>
<td>0.05 M</td>
</tr>
</tbody>
</table>

In this work, we consider PVA with a molecular weight of 130000, and the TEA concentration is varied between 0.4 M and 0.15 M (see Table 1). The photopolymerizable solution is prepared mixing yellowish eosin (YE, the dye), together with a mixture of acrylamide (the monomer) and triethanolamine (the co-initiator), and adding the PVA (the binder). In this study the solutions are prepared using a conventional magnetic stirrer, under red light and in standard laboratory conditions (temperature, pressure, relative humidity). The solutions are deposited, by gravity, over glasses (size 20x40 cm\textsuperscript{2}). Afterwards the solutions are left in the dark to allow the water evaporation. When a high percentage of the water content has already evaporated\textsuperscript{11}, the material is cut into squares (6.5x6.5 cm\textsuperscript{2}) using a glass cutter. The water solutions used in this paper are presented in Table 1. Compositions type 1 and 2 are used to obtain layers with thickness between
60 and 120 µm; in the case of composition type 2 the BMA is included in the solution\textsuperscript{29}. Analysis of thick layers is undertaken in Ref. [21]. In reflection we have studied the influence of BMA (crosslinker) in the shrinkage of the layers.

### 3.2. Results in transmission

We present the experimental data obtained for different material compositions and different thicknesses. The size of the error bars included in the figures indicates the repeatability of our experiments. This repeatability decreases when there are variations of the humidity and temperature in our laboratory during the drying process.

Let us begin the analysis with the basic material composition (type 1). In Figure 3 we show the phase-shift between the bright and dark zones as a function of the exposure time for material type 1; these layers have a thickness of 70 µm. We see that after an exposure of 400 s (160 mJ/cm\textsuperscript{2}), the material is in the saturation region and no more changes can be observed: it is possible to assume that the polymerization process is finished. The saturation energy value is in the range obtained when characterizing the material at high spatial frequencies (about 120 mJ/cm\textsuperscript{2}). The maximum phase-shift value is around 192º; this value is sufficient in order to store binary-phase DOEs but it is too small to record non-binary DOEs, as diffractive lenses, blazed gratings or kinoforms, where a 360º phase-shift depth is generally required\textsuperscript{30}.

![Figure 3. Phase-shift as a function of the exposure time for layers type 1 and 70 µm thick.](image)

In order to achieve higher values of phase-shift, there are two possibilities: to increase the thickness or to increase the refractive index modulation. In order to increase the refractive index, we can use crosslinker monomers as BMA. In Figure 4(a) we present the results provided for material type 2 (for the same thickness of material type 1, 70 µm). In Figure 4(b) we present the results provided for material type 2 with a thickness of 115 µm. It is possible to observe that if we use BMA in the chemical material composition, we obtain higher values of the phase-shift. Particularly, in Figure 4.b we achieve phase shifts significantly larger than 360º: that is, we can use this material to store non-binary DOEs as lenses. The only important disadvantage is the non-linearity between exposure time and phase-shift. Moreover, it is important to note the difference between the saturation exposure times for material type 1 (without BMA), shown in Figure 1, and type 2 (with BMA). Material type 1 presents saturation exposure times around 300 s whereas in the case of material type 2 these times are around 200 seconds. This effect clearly shows the increase in the polymerization rate when BMA is present\textsuperscript{29}.
Eventually, once we have characterized the different layers, it is important to remark that the huge phase-shift between the bright and dark zones cannot only be explained by a refractive index modulation, that is, a certain degree of thickness variation between the bright and dark regions should clearly contribute to the phase-shift. If we assume that there is no thickness variation, the saturation refractive index modulation should be around $5 \times 10^{-3}$ for material type 1, and $8 \times 10^{-3}$ for material type 2. Taking into account these results, the values are 30% higher than the typical values of refractive index modulation obtained in the holographic range where the spatial frequencies are around 1000 lines/mm. Furthermore, in the case of the holographic high spatial frequencies, there is an important contribution of free monomer coming from the non-exposed zones (monomer diffusion plays an important role for high spatial frequencies), which contributes to increase the refractive index modulation. These results suggest that PVA/AA based materials present a swelling or a shrinkage during the polymerization process in the experiments carried out. In this sense it is well known that volume shrinkage acts during the homogeneous polymerization of polymers $^{16,17}$. In the next Section we focus on the study of the thickness variations, using the phase-shift measurements obtained with the reflection type interferometer.

### 3.3. Results in reflection

We analyze the thickness variation for two different compositions, with and without crosslinker, at zero frequency. Once obtained the phase shift between exposed and non exposed zones as a function of the exposure with the reflection interferometer, the shrinkage of the layer during exposure can be directly calculated using the following expression,

$$
\Delta d = \frac{\Delta \Phi \lambda \cos \alpha}{4\pi}
$$

(1)

where $\Delta d$ is the thickness variation, $\Delta \Phi$ is the phase-shift between exposed and non-exposed zones (expressed in radians), $\lambda$ and $\alpha$ are the wavelength and the incident angle of the reading beam respectively.

#### 3.3.1 Layers without crosslinker

The layers without crosslinker are characterized by shorter polymer chains, less energetic sensitivity, higher values of inhibition period $^{31,33}$ and low values of diffraction efficiency when this type of layers are used in holography. In Figure 5(a) we show the phase shift between exposed and non exposed zones as a function of the exposure measured with the reflection type interferometer. Using Eq. (1) we calculate the shrinkage of the layer during exposure. We show the results in Figure 5(b). The shrinkage suffered by the sample during exposition is around 2 µm after 300 seconds. Due to this effect appears a variation of the Bragg angle in holographic application (i.e. when slanted grating are stored). Note that this shrinkage value exceeds the 0.5% defined as the upper limit for commercial availability of a recording medium $^{18,19}$. 

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Figure 4. Phase shift as a function of the exposure time for layers type 2: (a) for 70 µm thick and (b) for 115 µm thick.
Figure 5. Layers without crosslinker. (a) Phase-shift as a function of the exposure time measured with the reflection interferometer. (b) Shrinkage as a function of the exposure time.

3.3.2 Layers without crosslinker

Next we study the influence of the crosslinker in the material behaviour. In our experiments we use BMA as crosslinker. It is well known the capability of a crosslinker to increase the refractive index modulation of the polymer, the energetic sensitivity and the polymer length chains. On the other hand it is interesting to analyze the influence of the crosslinker in the layer shrinkage. We present the results for the phase shift as a function of exposure time in Figure 6(a). It is interesting to note the high values obtained in this case, due to the higher compaction produced by the BMA. Now the shrinkage is 33% higher than the case without crosslinker (3 µm) (Figure 6(b)).

Figure 6. Layers with crosslinker. (a) Phase-shift as a function of the exposure time measured with the reflection interferometer. (b) Shrinkage as a function of the exposure time.

4. CONCLUSIONS

In this work we have characterized the optical modulation properties of PVA/AA based layers with different compositions and thicknesses. A novel technique based on a Youngs’s based double beam interferometer is proposed, which allows obtaining in real-time direct values for the phase-shift modulation of the recording material as a function of exposure. The characterization technique proposed does not depend on monomer diffusion processes, since a uniform exposure (zero frequency) is recorded onto the material. From the point of view of the phase-shift range depth, we have demonstrated the capability of some layer compositions to store phase elements under red illumination. We have achieved large phase-shifts between exposed and non-exposed zones exceeding 360º. Using the interferometer in reflection we have calculated the shrinkage for two compositions with and without BMA as crosslinker at zero spatial
frequency limit. We have calculated values of shrinkage about 2 % (without crosslinker) and 3% (with crosslinker) in the layers analyzed, these values show a potential for the application to relief structures on the photopolymer, on the other hand the shrinkage is too high for holographic applications.

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