1	LECITASE ULTRA: A PHOSPHOLIPASE WITH GREAT POTENTIAL IN
2	BIOCATALYSIS
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25 Abstract

Lecitase Ultra is a chimera produced by the fusion of the genes of the lipase from 26 27 Thermomyces lanuginosus and the phospholipase A1 from Fusarium oxysporum. The enzyme was first designed for the enzymatic degumming of oils, as that problem was not 28 fully resolved before. It is commercialized only as an enzyme solution by Novo Nordisk A/S. 29 This review shows the main uses of this promising enzyme. Starting from the original 30 degumming use, the enzyme has found applications in many other food modification 31 32 applications. like production of structured phospholipids (e.g., derivatives of phosphatidylcholine), tuning the properties of flour, etc. Moreover, the enzyme has been used 33 in fine chemistry (resolution of racemic mixtures), in the production of aromas and 34 35 fragrances, polymers modification, etc. Some papers show the use of the enzyme in biodiesel production. Moreover, we present the different technologies applied to obtain a suitable 36 immobilized biocatalyst, remarking the immobilization via interfacial activation and how 37 38 heterofunctional acyl supports may solve some of the limitations. Immobilized enzyme physical and chemical modifications have also been presented. Finally, Lecitase Ultra has 39 been one of the model enzymes in a new strategy to coimmobilize lipases and other less 40 stable enzymes. 41

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43 Key words: Phospholipase, oil degumming, structured lipids, enzyme immobilization,
44 interfacial activation, enzyme stabilization, enzyme modulation.

Enzymes are the biocatalysts that perform all metabolic reactions and in vivo 46 47 biotransformations. They have been submitted to a continuous natural selection throughout an unvielding evolution process to reach the apex as (almost) ideal catalysts for their 48 physiological functions, exhibiting a perfect selectivity, specificity and activity under 49 physiological conditions. These very interesting catalytic features raised the interest of 50 51 researchers worldwide to use enzymes as industrial biocatalysts [1-3]. This interest has been 52 increased with the new environmental constraints that strive to make chemical processes as "green" as possible [4–7]. Enzymes can be very interesting in this sense, as the conditions 53 54 are mild (ideally the phase will be aqueous, atmospheric pressure and near room temperature) 55 and the selectivity and specificity may save purification steps and increase the atomic economy of the process [8–13]. However, enzymes did not undergo evolution to come out 56 as industrial catalysts, and some properties, convenient as biological catalysts are inadequate 57 58 for their industrial application. For example, stability under operational conditions may not be enough in many instances. For example when used versus non-physiological substrates 59 60 activity, selectivity, or specificity may be far from perfect [14]. That means that enzymes need to be enhanced in many instances to overcome these limitations as industrial catalysts. 61

Nowadays, there are many tools that can permit the improvement of enzyme properties. The development of metagenomics tools [15–20] opens up the opportunity of exploring almost the full biodiversity in the search of enzymes with the suitable properties (e.g., enzymes from extreme environments may have improved stabilities). Directed evolution permits to mimic the work made by Nature, but focusing on the enzyme feature(s) that is/are most relevant for the industrial application and accelerating this evolution to months/years [21–25]. Site-directed genetic modifications of the enzymes may be better designed thanks to the improvements in modeling, crystallography, etc. In fact, nowadays it is relatively simple to produce chimeric proteins mixing the gens of two different proteins to get the desired properties of each of the involved proteins [26–28]. Chemical modification of the enzymes may be also used to improve enzyme stability or to modulate their catalytic properties [29,30].

Another limitation of industrial enzymes is their usual water solubility, which can complicate their separation from the reaction medium and reuse. Enzyme immobilization was developed to solve this limitation, producing heterogeneous biocatalysts. However, nowadays the purpose of immobilization goes beyond this goal: immobilization may improve enzyme stability, activity (mainly under harsh conditions), selectivity or specificity, decrease inhibition or increase resistance to deleterious chemicals; even purification of the enzymes can be achieved if an adequate immobilization protocol is designed [31–41].

81 That way, the incorporation of enzymatic biocatalysis to industrial production is 82 becoming accelerated in the last years and may be expected to become even more relevant in 83 the medium term.

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85 **1.1. Lipases in biocatalysis**

Among the most used enzymes, lipases stand out [42,43]. The natural function of lipases is the hydrolysis of triglycerides to free fatty acids and glycerol [44]; however, in vitro lipases have been used in a wide variety of reactions, some related to oil modifications (esterifications [45–51], transesterifications [52–56], acidolysis [57–62], interesterifcations 90 [63–66]), some far from these substrates (resolution of racemic mixtures, regio or enantio
91 selective reactions) and some have even exhibited a diversity of the so-called promiscuous
92 activities far from their natural function [67–75].

93 Lipases are interfacial enzymes, able to hydrolyze the drops of substrate (oils are not 94 water soluble) [76]. This is performed because the enzyme is able to become adsorbed on the 95 surface of these drops following the so-called interfacial activation mechanism (Figure 1). Most lipases present their active center isolated from the medium by a polypeptide chain 96 97 called lid. The internal face of the lid is hydrophobic and interacts with the hydrophobic surroundings of the active center [77–84]. This "closed" form is in equilibrium with an 98 "open" form of the lipase that is the active one, where the lid is shifted and exposes the active 99 100 center. However, the large hydrophobic pocket is unstable under these conditions and the enzyme is mainly in the closed form in saline aqueous homogenous media [77–84]. In the 101 presence of a hydrophobic surface, like drops of oil, this lipase form may be adsorbed and 102 103 stabilized, and for this reason they can operate at the interface of the oil drops [77–84]. This tendency to become adsorbed on hydrophobic surfaces is not limited to oil drops, and a lipase 104 molecule may be adsorbed on any hydrophobic surface, including hydrophobic 105 immobilization supports [85–87], hydrophobic proteins [88] or the open form of other lipases 106 [89–91]. In fact, this strategy has become a very popular lipase immobilization protocol [92] 107 108 (Figure 1).

109 The popularity of lipases has been promoted by their unusual properties. They are 110 among the enzymes with a wider specificity, accepting many different substrates. This may 111 be caused by the physiological necessity of hydrolyzing tri, di and monoglycerides of very 112 different fatty acids. Moreover, lipases do not require any cofactor. Furthermore, some of these enzymes are very stable under a wide range of conditions and reaction media. In fact they have been the pioneer enzymes in neomedia such as ionic liquids, supercritical fluids, etc [93–106]. They are largely widespread in biodiversity, thus Nature offers lipases with very different properties, which has resulted in many lipases being commercially available at very large scale.

118 **1.2.- Phospholipases**

Phospholipases are a diverse class of hydrolases widespread in nature present in all 119 120 animals, plants and microorganisms and carry out diverse biological functions such as 121 membrane maintenance and remodeling, regulation of cellular mechanisms and signal transduction, lipid mediators production, and digestion in humans [107]. These enzymes 122 123 hydrolyze the ester bonds of the phospholipidic components of cell membranes to give 124 simpler lipid products, such as free fatty acids, lyso-phospholipids, di-acylglycerols, choline 125 phosphate and phosphatidates [108]. Phospholipids, being the substrate of phospholipases, 126 consist of a glycerol-3-phosphate esterified at its sn-1 and sn-2 positions with fatty acids, whereas its phosphoryl group can be esterified with head groups such as choline, serine, 127 ethanolamine, or inositol. Phospholipases are classified according to the position they 128 hydrolyze on the phospholipid backbone in the following types: A1, A2, B, C and D (Figure 129 2). Phospholipase A1 (PLA1; EC 3.1.1.32) and phospholipase A2 (PLA2; EC 3.1.1.4) cleave 130 131 the acyl ester bonds at sn-1 and sn-2 positions of phospholipids, respectively, to produce a 132 free fatty acid and 2-acyl lyso-phospholipid or 1-acyl lyso-phospholipid, respectively, therefore they are acyl hydrolases (EC 3.1.1) [109]. There are phospholipases able to 133 134 hydrolyze both of the fatty acids esterified at the sn-1 or sn-2 position of the phospholipid and they are named phospholipases B (PLB; EC 3.1.1.5) [110]. Phospholipase C (PLC; EC 135

3.1.4.11) cleaves before the glycerophosphate bond, releasing diacylglycerol and a
phosphate-containing head group, whereas phospholipase D (PLD; EC 3.1.4.4) cleaves after
the terminal phosphodiesteric bond releasing phosphatidic acid, an important intermediate
involved in signal transduction, together with the head group associated at the sn-3 position
of phospholipid (Figure 2). Phospholipases C and D are phosphodiesterases (EC 3.1.4.1)
[107].

142 Apart from the crucial biological importance of phospholipases, there is a lot of 143 interest in these enzymes from a pharmaceutical and biotechnological perspective. On the 144 one hand, phospholipases are of great medical and scientific interest because, for example, 145 those from snake venom induce significant pharmacological effects such as inhibition of platelet aggregation, hypotension and antitumor activity [111]. On the other hand, the use of 146 147 phospholipases in industrial applications is increasing due to the development of molecular techniques for the production of recombinant heterologous proteins and the production of 148 new redesigned enzymes with improved catalytic properties, including chemical 149 modification as a tool to improve their stability [112,113]. 150

Currently, phospholipases are commonly used to produce functional foods like 151 phospholipid derivatives (e.g. phosphatidylserine) [114], to reduce the cholesterol content of 152 153 food, and to refine certain vegetable oils, particularly in terms of oil degumming [115]. 154 Another application is in wheat-based food systems by the production of emulsifier-like molecules such as lyso-phospholipids and monoglycerides by the action of phospholipases 155 156 on the phospholipids already present in the ingredients (wheat flour, margarine and eggs) [116]. During the industrial processing of starch to produce glucose syrup, a phospholipase 157 is used for removing unwanted lipid contaminants such as lyso-phosphatidylcholine and 158 avoiding clogging of filters [108]. In dairy industry, phospholipases have been introduced as 159

a potential processing aid in cheese making, and a significant increase of cheese yield has
been obtained; in this case, phospholipases release lyso-phospholipids having better
emulsifying properties and favoring fat retention in the final product [117]. Phospholipases
also have impact on human nutrition through the development of novel foods or ingredients
with enhanced bioactivities and health effects [118].

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166 **1.3.- Lecitase Ultra**

Lecitase Ultra is an example of the commercial success of a chimeric enzyme 167 obtained via a genetic pathway. Novo Nordisk A/S commercialized the phospholipase A1 168 from Fusarium oxysporum, as Lecitase® Novo for oil degumming. This enzyme attacked 169 the phosphatides contained in the oil phase, and was able to fully hydrolyze them to 170 lysophosphatides, that migrated to the aqueous phase. The result was adequate, but the 171 172 enzyme stability was not satisfactory for some applications. To solve this problem, the company fused the genes of the lipase from *Thermomyces lanuginosus* and the phospholipase 173 A1 from *Fusarium oxysporum*, producing a chimeric enzyme that they named Lecitase Ultra. 174 175 This way, they combined the stability of the lipase to the A1 phospholipase activity. The 176 enzyme was first reported in 1998 [119], and since them its use has been growing in different areas, far from the initial oil degumming application. The enzyme structure has not been 177 described to date, and only in 2016 its sequence and the amino acid residues involved in the 178 179 catalytic activity were described [120]. As expected, the results concluded that most of the Lecitase Ultra sequence fits with one or the other parent enzymes. Specifically, sequence 1-180 181 284 corresponds to the lipase from T. lanuginosus and sequence 285-339, corresponds to the phospholipase from *F. oxysporum*. The authors also identified the classical "Ser-His-Asp"
triplet responsible for enzyme activity [120].

Using the search term "Lecitase Ultra" in "all areas" of Scopus, 280 entries may be found (access data 21 January 2019), being the first one dated in 2005 and reaching over 30 entries/year in the last 3 years. The uses of this enzyme have never been subject of a review paper, and due to its clearly growing interest, we have felt that it may be interesting to offer a review paper on this commercially available enzyme.

Curiously, this enzyme is supplied only as free enzyme, while most of the lipases may be acquired in free or immobilized forms (e.g., lipase B from *Candida antarctica* is supplied as Novozym 435, Lipase from *Thermomyces lanuginosus* as Lipozyme TL IM or from *Rhizomucor mieh*ei like Lipozyme RM IM).

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194 2. Applications of the free Lecitase Ultra.

In this point, we will show the direct use of the commercial enzyme applications (that
is, as a free enzyme), leaving for the next section the efforts to immobilize the enzyme and
the uses of these immobilized biocatalysts.

198 **2.1. Use of Lecitase Ultra in food technology**

The enzyme was initially designed for food applications; therefore it is not strange that this has been the main application of Lecitase Ultra in its relatively short life. The uses in this section are restricted to those using the commercial preparation, that is, the free enzyme.

203 **2.1.1. Oil degumming**

Oil degumming, that is, elimination of phosphatides from oil, was traditionally 204 205 performed by chemical processes, although the water degumming process may be described 206 as a physical process since it does not involve any chemical reaction [121]. Degummed oil improves physical refining, reduces water consumption, the production of wastewater and 207 208 the energy requirement, moreover, it may increase the yield of refined oil [121]. The 209 employment of enzymes to remove gums from edible fats and oils started in 1992, using 210 Lurgi's EnzyMax[®] process, that involved a porcine phospholipase A2 [122]. The results 211 were unsatisfactory, and new enzymes were analyzed. Thus, Lecitase Ultra was initially designed to catalyze the degumming of oils and is very used in vegetable oils degumming 212 with very good results [123]. 213

The specificity of Lecitase Ultra versus different kinds of phospholipids was studied using monolayer technology [124]. The pressure of the monolayer film greatly affects the activity of the enzyme. No activity was found for sphingomyelin, while for all other phosphpolipids assayed the specificity was determined (L- α -phosphatidylethanolamine > cardiolipin L > 1,2-diacyl-*sn*-glycero-3-phospho-L-serine > L- α -phosphatidylinositol > 1,2-dioleoyl-*sn*-glycero-3-phosphocholine) [124].

Lecitase Ultra was applied in degumming of several vegetable oils; the study suggested that over 40 °C the lipase activity decreased, making predominant the phospholipase activity [125]. In another study, Lecitase Ultra was used in the degumming of different crude rice bran oil, reducing the phosphorous content almost by 50 fold after 2 h of reaction in the oil phase [126]. In another research, the effect of the enzymatic degumming catalyzed by LysoMax® Oil and Lecitase® Ultra on the crude sunflower oil properties was 226 analyzed [127]. Results showed a drastic reduction of phospholipids, but also calcium and 227 magnesium content was reduced, the oxidative stability index was decreased. In another example, soybean and rapeseed oils were degummed by Lecitase Ultra, and a high 228 degumming can be achieved in a short time but the full phospholipids hydrolysis requires 229 longer times [128]. This paper shows that Lecitase Ultra® hydrolyzed 80% of phosphatidyl 230 231 ethanolamine after 60 min while only 40% of phosphatidyl inositol was consumed. Crude 232 sunflower lecithin was treated with Lecitase® Ultra the enzyme hydrolyzed also non-polar lipids and in the used conditions acyl migration permitted full hydrolysis (aqueous system, T 233 = 50 °C, pH = 5) [129]. Controlling the hydrolysis process and the presence of 234 235 lysophospholipids and/or phospholipids, the emulsifying/stabilizing features of the oil could be modulated. 236

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238 **2.1.2.** Modification of choline derivatives

This is perhaps one of the most specific and interesting uses of Lecitase Ultra, andwas accomplished by diverse reactions.

1-α-glycerylphosphorylcholine was produced by Lecitase Ultra catalyzed 241 hydrolysis of soy phosphatidylcholine [130]. The reaction medium was a biphasic 242 243 water/hexane system, after optimization a purity of 99.3 g/100 was achieved (after extracting the products with diethyl ether and using a chromatographic step with a silica column). 244 245 Phosphatidylcholine of soy lecithin was used in the production of L-α-246 glycerylphosphorylcholine in aqueous medium using Lecitase Ultra as catalyst (94.5 % yield) [131]. The hydrolysis of phosphatidylcholine by Lecitase Ultra in aqueous systems was 247 studied in another paper, determining the thermodynamic constants (activation energy was 248 5.96 kJ/mol) and kinetic constants [132]. Soy phosphatidylcholine was hydrolyzed in hexane 249

to produce lysophosphatidylcholine, after optimizing (with a yield of 83.7 mol%) [133]. The
product had a content of unsaturated fatty acid that was higher than that of the initial
substrate, it was mainly due to linoleic acid.

In another research, soybean phosphatidylcholine ethanolysis catalyzed by Lecitase Ultra was used to produce lysophosphatidylcholine with a conversion ratio of 98.3% after enzyme optimization had been described [134].

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257 2.1.3. Diglycerides production

Another use of Lecitase ultra, quite far from the degumming, and more similar to uses of a conventional lipase [135,136], is in the production of diglycerides by diverse strategies, including esterification, alcoholysis or partial hydrolysis.

261 For example, palm oil was hydrolyzed using four commercial lipases (Lipase A, Lipase AY, Lipozyme RM IM and Lipozyme TL IM) and Lecitase Ultra [137]. Lecitase Ultra 262 263 and Lipozyme RM IM were the most selective enzymes towards hydrolysis of saturated fatty 264 acids, Lecitase Ultra have the highest yields in free fatty acids (94.5%) [137]. Soybean oil 265 was partially hydrolyzed by Lecitase Ultra with the objective of producing diacylglycerols, 266 with the help of molecular distillation after hydrolysis reaction [138]. Molecular distillation promoted acyl migration in the diglycerides. The hydrolysis of soybean oil catalyzed by 267 268 Lecitase Ultra in a solvent free system was also performed [139]. Diacylglycerol-enriched palm olein and soybean oil were obtained by a partial hydrolysis catalyzed by Lecitase Ultra 269 270 in aqueous/oil suspension or solvent free media [140–142] Later, after product purification by molecular distillation, the oxidative stabilities of the product were analyzed [143]. 271

In another study, palm oil was submitted to glycerolysis in a solvent-free system to produce diacylglycerol [144]. After optimization, the final content of diacylglycerides was 59.5% in the lipid layer was achieved.

Diacylglycerol-enriched oil synthesis was catalyzed by Lecitase Ultra using via esterification of free fatty acids and glycerol (a yield of 42.7 and a purity of 83.1% were obtained) [145]. In another paper, oleic acid and glycerol were esterified in a reaction catalyzed by Lecitase Ultra in solvent free medium [146]. An esterification efficiency higher than 80% and a production of almost 55% of diacylglycerides was found in the lipid layer

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281 **2.1.4.-Modification of flour in bread making**

Surfactants may form amylose-lipid inclusion complexes that reduced bread crumb firming. Considering that free fatty acids or lysolipids are detergent-like molecules, the treatment of the wheat endogenous lipids with enzymes may have some positive effects.

Model gluten-starch were produced in laboratory (resembling flour), and it was found that 285 the blends adsorbed more oil when treated with Lecitase Ultra, showing that the features of 286 this model may be tuned by this treatment [147]. Treatment of wheat flour with Lecitase 287 Ultra had low or even a negative impact on gluten agglomeration and yield, while Lipolase 288 289 treatment permitted an improvement on the glutted agglomeration [148]. Lipopan F, Lecitase 290 Ultra, and Lipolase treatment of the whet permitted to reduce stiffness intensification, crumb 291 firmness and the decrease in resilience [149]. Lecitase Ultra was compared to Lipopan F in 292 their ability to alter the wheat lipids and how the lipid modification alter the gluten network strength and direct gas cell stabilization, while keeping the dough extensibility unaltered 293 294 [150]. Amylose-lipid inclusion complexes reduce the access of water molecules to starch granules by forming a lipophilic shield, which increased the rigidity of the starch granule 295

[151]. The high content of phospholipids contained in wheat germ oil was reduced using 296 297 Lecitase Ultra and Lysomax, being Lecitase Ultra the most effective (over 85% phospholipid removal) [152]. The wheat flour lipid composition during bread making was altered 298 employing Lipopan F and Lecitase Ultra to increase the loaf volume [153]. This was related 299 to the decrease of phospholipids and galactolipids and the increase in free fatty acids and 300 301 lysolipids.

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303 2.1.5. Other uses of Lecitase Ultra in food.

Lecitase has many other uses. For example, the acidity of mammalian fats was 304 305 reduced to check its carbon and water footprint using as biocatalysts Novozym 435, Lipozyme IM TL and Lecitase Ultra for mammalian fat [154]. Unfortunately results were 306 307 uneconomical with the three biocatalysts.

In another case, by combining the hydrolysis catalyzed by Lecitase[®] Ultra and 308 reversed-phase liquid chromatography with electrospray ionization and sequential mass 309 regiochemistry of 310 spectrometry, the sulfoquinovosylmonoglycerides and sulfoquinovosyldiglycerides in the lipid contained in spinach (Spinacia oleracea) and parsley 311 (Petroselinum crispum) was investigated and the main components determined [155]. 312 313 Another application was in the extraction of proteins from some wastes. The use of Palatase 20000 L and Lecitase Ultra was found to be the best treatment for the extraction of proteins 314 315 from olive pulp and stone, respectively [156].

316 Foaming properties of whey protein concentrated solutions were tuned by hydrolysis using Lecitase 10L, Lecitase Ultra and a lyso-phospholipase A2 from Aspergillus 317 niger [157], all biocatalysts permitted to alter this properties. 318

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320 **2.2.** Use of Lecitase Ultra in chemistry

Lipases have found many applications in chemical areas, thanks to their broad specificity in many instances coupled to a high enantio and/or region specificity and selectivity [10,158,159]. Lecitase Ultra has been employed in most applications described for lipases, although with a lower intensity perhaps due to its name as phospholipase.

For example, one of the most popular uses of lipases is in the production of low chain esters (flavors and fragrances), because when produced using biocatalysis may be labeled as natural products [160]. For example, Lecitase Ultra has been employed in a direct esterification reaction in the production of methyl benzoate and methyl butanoate in a continuous flow microreactor (with conversions of 54 and 41 %, respectively) [161].

Resolution of racemic mixtures (exploiting enzyme enantiospecificity) is another 330 331 very popular use of lipases [162]. Using Lecitase Ultra, the kinetic hydrolytic resolution of different derivatives of (E)-4-phenylbut-3-en-3-ol (acetate or propionate) (4b) catalyzed by 332 Lecitase Ultra yielded (+)-(R)-alcohol and unreacted (-)-(S)-ester (using the propionate ester 333 334 the enantiospecificity was higher, enantiomeric excesses ranging from 93–99 % [163]. Esters 335 of L amino acids with aliphatic residues are hydrolyzed by Lecitase Ultra with high enantiospecificity while if the side chain is an aromatic ring or α -hydroxy acids, the 336 enantiospecificity greatly decreased [145]. 337

Polymer modification and synthesis is another interesting use of lipases [164,165], and Lecitase Ultra has been also employed for this goal. For example, using ultrasounds, Lecitase Ultra was used in the synthesis of poly-4-hydroxybutyrate-co-6- hydroxyhexanoate [166], but the reaction rates were lower than using other biocatalysts although the results were better than using the lipase from *Candida rugosa*. The effect of the organic solvent in the modification of poly-3-hydroxyalkanoates by glucose via esterification catalyzed by
Lecitase Ultra was studied [167]. Using dimethyl sulfoxide and chloroform the results
reached maximum polymer modification (just under 40%). In another paper, polylactic acid
polymers have been synthetized using Lecitase Ultra and Lipozyme TL IM , producing low
molecular weight polylactic acid polymers [168]. The polymers were slightly larger using
Lecitase Ultra.

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350 2.3.- Lecitase Ultra in production of biodiesel

Biodiesel production is one of the most interesting uses of lipases [169,170]. However, in many instances this is performed using immobilized enzymes [171,172]. We have been able to find just two reports using free Lecitase Ultra in biodiesel production, and they are not directly related to biodiesel production, but in the previous refining to make a specific oil usable to this goal.

The first example is in the use of the oil from *Jatropha curcas* seeds to produce biodiesel [173,174]. However, the presence of a high content of phospholipids in the oil from *Jatropha curcas* seeds complicates its use in biodiesel; a previous refining process is thus required. LysoMax and Lecitase Ultra were successfully used for degumming of this oil, being more effective Lecitase Ultra, and permitting the use of the final product in the biodiesel production [175].

In the second example, Lecitase Ultra was combined to lipase NS81006 to produce biodiesel from low quality oil, because these oils contain a great percentage of phospholipids [176]. The process was a two-step method. First, the phospholipids were hydrolyzed by Lecitase Ultra; later the lipase was used to produce the methyl esters. This permitted to increase the yield from just over 76 % to more than 96 % [176].

367

368 3. Preparation of immobilized biocatalysts of Lecitase Ultra

As Lecitase Ultra is only supplied as an enzyme solution, the enzyme has been subject 369 370 of many attempts to prepare a suitable immobilized biocatalysts. As stated previously, a 371 properly immobilized enzyme derivative, together with having a simpler separation from the reaction media, may have many properties improved when compared to the free counterpart, 372 373 like stability, activity, selectivity, etc. [31–41]. In fact, even when the supplier recommends 374 the use of the enzyme as a free enzyme, immobilization may have significant positive effects 375 on its performance, as it is the case of Eversa lipase [177]. Thus, many research papers pursue 376 the preparation of immobilized biocatalysts from Lecitase Ultra.

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378 **3.1. Lecitase immobilization**

The enzyme has been immobilized on many different supports. For example, it has been immobilized in several instances via ion exchange. In a first example, Lecitase Ultra has been immobilized on aminated bacterial cellulose beads (via ion exchange), that had been coupled to superparamagnetic molecules to have a biocatalyst with response towards a magnet [178]. Immobilization yield of the enzyme was 70%, without altering their properties. In another example, Lecitase Ultra was immobilized on the commercial support epoxy-DILBEAD-VWR [179]. The support was previously modified with polyethylenimine and

crosslinked with glutaraldehyde, and the enzyme was immobilized via ion exchange. The 386 387 support can be reused after enzyme inactivation by enzyme release and new loading of fresh enzyme. However, the authors did not investigate in deep if all enzyme molecules were 388 desorbed [179]. Lecitase Ultra immobilized on polyethylenimine/glutaraldehyde DILBEAD-389 VWR was used in the resolution of methyl trans- (\pm) -3-(4-methoxyphenyl) glycidate [179]. 390 391 The reaction was performed in xylene with excellent results (e.e. >99 %, conversion 50 %). 392 Lecitase Ultra immobilized on an anionic exchanger greatly increased its activity when 393 adding organic cosolvents or surfactants to the reaction medium (e.g., 12 folds in presence of 15 % ethanol) [180]. The hydrolysis of sardine oil was also improved by these components 394 395 of the reaction medium, altering the selectivity by eicosipentaenic acid or docosahexaenic acid. 396

397 The acidolysis between lecithin and capric acid was catalyzed by Lecitase Ultra ionically exchanged on Amberlite XAD 7HP, and compared to the results using other lipases 398 [181]. The highest molar incorporation value of caprilic acid was obtained using Lecitase 399 Ultra (51.0 mol%). Lecitase Ultra was immobilized on Duolite A658 via anion exchange 400 and used in the hydrolysis or ethanolysis of phosphatidylcholine [182]. The study included 401 several lipases, but the immobilized Lecitase Ultra offered the best results in ethanol. This 402 403 biocatalyst was also used in the production of structured phosphatidylcholine via acidolysis 404 of phosphatidylcholine with conjugated linoleic acid [183]. The incorporation of CLA reached 90%, but this accounted for the product, as most of the substrate was hydrolyzed 405 [183]. Later, this process was optimized by response surface methodology [184]. 406

407 Other immobilization strategies were also assayed with Lecitase Ultra. When the 408 enzyme was encapsulated in AOT/isooctane reverse micelles; the enzyme was located close 409 to the interface [185]. Activity in esterification reactions increased after enzyme trapping. Lecitase Ultra was also trapped on Amberlites XAD2 (hydrophobic polymer) and XAD4 (no
ionic polymer) using standard stirring or ultrasound irradiation [186]. The biocatalysts were
used in production of monoglycerides from acidic residue from a palm oil refining using
isopropylidene glycerol as second substrate. Yields of over 50% were obtained under
continuous flow conditions [186].

415 Lecitase Ultra was immobilized in a gelatin matrix, and this permitted a very significant increase in the enantiospecificity of the enzyme versus ethyl 2-hydroxy-4-oxo-4-416 phenylbutyrate (E value increases from 4.5 to 19.5 with S-enantiospecificity) [187]. The 417 418 biocatalyst was also employed in the resolution of ethyl trans- (\pm) -3-phenyl glycidate and 419 methyl trans- (\pm) -3-(4-methoxyphenyl) glycidate, yielding unreacted (2R,3S)-glycidate esters with enantiomeric excess higher than 99% at conversion of 52-55%. Later, the same group 420 421 immobilized Lecitase Ultra on a macroporous gelatin organo-gel, achieving a yield of 47 % 422 yield [188]. This biocatalyst was employed to produce trans-(2R,3S) methyl (4methoxyphenyl)glycidate by enantiospecific hydrolysis of racemic glycidate ester The 423 immobilized enzyme was reused several reaction batches maintaining the initial activity 424 [188]. 425

Another paper shows that Lecitase Ultra was immobilized in gelatin hydrogel and 426 427 crosslinked with glutaraldehyde (activity recovery was more than 80%) [189]. The immobilized biocatalyst presented a clear pH-optimum of pH 7.5, while the free enzyme has 428 a broader pH/activity curve. Optimum temperature increased 13 °C after immobilization, and 429 430 the stability increased greatly (maintained full activity under conditions where the free enzyme was fully inactivated). When used in a spinning basket bioreactor for the degumming 431 of rice bran oil, it can be reused 6 times without significant changes in the activity 432 (phosphorous reduction of 7-8 folds in each cycle). In another research, Lecitase Ultra 433

was immobilized using calcium alginate (CA), calcium alginate-chitosan (CAC), and
calcium alginate-gelatin (CAG). After immobilization, the biocatalysts were treated with
glutaraldehyde [190]. The best activity recovery was found using calcium alginate-gelatin.
Thermal stability was increased after immobilization. The biocatalysts were used in
degumming of soybean oil in a batch reactor, the reaction being slower using the immobilized
enzyme preparations (20% more time was required to reach the same results using calcium
alginate-gelatin) [190].

441 Covalent immobilization has been also performed in many instances. Agarose
442 containing vinylsulfone groups was used to immobilize Lecitase Ultra, with very
443 disappointing results (the enzyme became inactivated after immobilization) [191].

444

3.1.1. Immobilization of Lecitase Ultra on hydrophobic supports via interfacial activation

447 A lipase immobilization method that has been used with many different lipases is the immobilization on hydrophobic supports via interfacial activation (Figure 1), that permits 448 449 the one step immobilization, purification an stabilization of the enzyme [87]. The lipases immobilized this way have the open form stabilized versus the support surface [92]. This 450 way, this is the immobilization protocol more utilized to immobilize lipases, and also 451 452 Lecitase Ultra. Lecitase Ultra, as an interfacial enzyme, may be immobilized following this protocol. One of the most used supports to immobilize Lecitase Ultra is octyl-agarose beads, 453 at least for academic purposes (although agarose may be applied at industrial level) [192]. 454

The effect of the reaction medium composition on lipase stability has been found to be also very dependent on the exact immobilization preparation. For example, phosphate

ions seem to have a very negative effect on lipase stability, and this is more significant when 457 458 the enzyme is immobilized via interfacial activation [177]. The same case may be found using Lecitase Ultra, enzyme stability is greatly reduced in the presence of phosphate salts, 459 although to a lower extent when compared to other immobilized enzymes [193]. On the other 460 hand, some cations (e.g., Ca²⁺) seem to stabilize some lipases immobilized on hydrophobic 461 supports via interfacial activation [194], although this effect depends on the exact 462 463 immobilization support [195] and inactivation conditions [196]. However, this effect was not 464 found using Lecitase Ultra [194]. Furthermore, enzyme stability did not significantly depend on Lecitase Ultra loading [197], although the effect of immobilization rate has not been 465 466 properly evaluated using this enzyme [198], this result suggested that enzyme immobilization rate on octyl agarose was not as high as using some lipase. 467

Other hydrophobic supports have been used to immobilize Lecitase Ultra. Cellulose 468 triacetate was used to immobilize Lecitase Ultra (vield near 100%), taking advantage of the 469 470 higher hydrophobicity if this product is compared to cellulose [199]. That way, the enzyme was immobilized via interfacial activation and the immobilized enzyme has higher 471 472 thermostability than the free enzyme. Lecitase Ultra was also immobilized on Sepabeads C18 473 via interfacial activation and on Duolite via anion-exchange [200]. The biocatalysts were used in the catalysis of the ethanolysis of sardine oil in solvent-free medium. The enzyme 474 475 immobilized via interfacial activation was 43 times more rapid in production of 476 eicosapentaenoic ethyl esters than in the synthesis of docosahexaenoic ethyl ester. In another 477 research, Lecitase Ultra was immobilized via interfacial activation on different core-shell 478 supports, becoming the enzyme properties very dependent on the immobilization support [201]. Other example of the Lecitase Ultra immobilization via interfacial activation on some 479

hydrophobic supports utilized some supports from Purolite company, like LifetechTM 480 481 ECR1030M (DVB/methacrylic polymer), Lifetech[™] ECR8804M (octadecyl methacrylate), LifetechTM ECR1061M (styrene/methacrylic polymer), LifetechTM ECR8806M (octadecyl 482 methacylate) and LifetechTM ECR1090M (styrene)) [202]. Results again show that enzyme 483 performance (activity, stability, specificity) strongly depends on the used support. These 484 485 biocatalyst were assayed in the production of biodiesel employing used cooking oil; results 486 were much worse than those obtained using the lipases from *T. lanuginosus* [203]. However, it should be considered that this immobilized T. lanuginosus lipase biocatalyst gave values 487 488 near the alkaline catalysts [204]. Later on, the combination of Lecitase Ultra immobilized on 489 octadecyl methacylate and a proper phosphatidylcholine drying protocol improved the previously described low conversion yields of phosphatidylcholine using Lecitase Ultra 490 immobilized on Duolite A658 [184], giving conversion yields over 95% (by preventing the 491 hydrolysis) and reducing the reaction time from 24 h to 2 h (submitted manuscript). 492

Lecitase-Ultra was also immobilized in styrene-divinylbenzene beads and utilized
in the esterification with different alcohols and acids under ultrasound conditions [205].
Ultrasounds permitted to increase the enzyme activity by a factor over 2. The best results
were obtained using of caprylic and myristic acids and ethanol.

Another useful support to immobilize lipases is hydrophobic styrenedivinylbenzene matrix. It has been used to immobilize Lecitase Ultra, and permits higher
loadings and immobilization rate than octyl-agarose [206].

Lecitase Ultra was also immobilized on hydrophobic polystyrene supports via interfacial activation, improving the results achieved using other supports [207]. This biocatalyst was later used in the glycerolysis of soybean oil ion solvent free system or using organic solvents as solvent [208]. Higher yields were obtained using solvent-free systems
(53.7 wt.% if diglycerides in the lipid phase could be found).

Even though this strategy is very advantageous, it has a problem: the enzyme may be released from the supports when using high temperature, organic solvents [209], or detergent-like substrates/products [210]. Thus, some effort has been performed to overcome this problem.

509

510 **3.1.2.** Use of heterofunctional acyl supports to prevent enzyme release.

511 One possibility is to modify the support, in a way that the first immobilization cause remains the interfacial activation of the lipase on the hydrophobic support surface, but where 512 513 it is later possible to establish other enzyme-support interactions that prevent enzyme release. Thus, Lecitase Ultra has been immobilized on glyoxyl-octyl agarose beads. This support 514 515 permits a first immobilization via interfacial activation (at neutral pH value) followed by 516 some covalent bond that prevents enzyme release (after incubation at alkaline pH value) [209]. This improved the enzyme stability in thermal and organic solvent inactivation. 517 Lecitase Ultra immobilized on octyl and octyl-glyoxyl agarose beads were used in the 518 519 alcoholysis of tributirin with methanol, ethanol or isopropanol [211]. The phospholipase was unable to produce isopropyl butyrate, while it could produce the other esters. However, the 520 521 paper shows how the combined use of high concentrations of alcohol and dibutyrin produced 522 the release of the enzyme from the octyl support, making the use of the covalent preparation 523 necessary [211].

Thus, this immobilization strategy solved the problem of undesired enzyme release.
However, it turns immobilization into an irreversible process. Thus, the support cannot be
reused after enzyme inactivation.

To solve this problem, ionic-acyl supports were designed. Octyl-glutamic [212], and amino-octyl [213] heterofunctional agarose beads were used to reinforce the immobilization of Lecitase Ultra and prevent enzyme desorption, while maintaining the reversibility if the enzyme immobilization. The immobilization on these supports reduces enzyme leakage and greatly alters the enzyme performance (stability, activity, specificity, etc).

533

534 **3.1.3.** Modulation of Lecitase Ultra properties via immobilization on different supports

It has been reported that lipase catalytic properties may be dramatically modulated via immobilization on different supports or using different immobilization protocols [35]. Lecitase Ultra has been submitted to these strategies, where the researchers do not pay too much attention to the immobilization process but on how the immobilization may affect the enzyme properties.

Thus, Lecitase Ultra was immobilized in diverse supports following very different immobilization mechanisms (octyl-agarose beads, cyanogen bromide agarose beads, polyethylenimine coated agarose beads and glyoxyl agarose beads) and assayed in the hydrolysis of (\pm) -2-O-butanoyl-2-phenylacetic acid and (\pm) -methyl mandelate, showing a high alteration on the enzyme properties [214]. For example, the covalent preparation yielded an E value of 26, (the S isomer) while the enzyme immobilized on octyl agarose produced mainly the R-mandelic acid (E value higher than 100). Similar biocatalysts were employed

in the enantioselective hydrolysis of the prochiral dimethyl 3-phenylglutarate [215]. Again, 547 548 activity and accumulation of monoester were greatly depended on the immobilization protocol. The enzyme covalently immobilized on cyanogen bromide agarose beads was the 549 550 most active and enantioselective biocatalyst, producing the (S)-methyl-3-phenylglutarate 551 with a yield of 80 % and an ee exceeding 99 % [215]. The same immobilized Lecitase Ultra 552 biocatalysts were used in the regioselective deprotection of 1,2,3,4,6-penta-O-acetyl- β -d-553 galactopyranose, 2-acetamido-2-deoxy-1,3,4,6-tetra-O-acetyl-β-d-glucopyranose, 1,2,3,4,6penta-O-acetyl- α -d-mannopyranose and 2,3,4,6-tetra-O-acetyl- β -d-galacto pyranosyl-(1 \rightarrow 554 4)-1,2,3,6-tetra-O-acetyl-β-d-glucopyranoside [216]. Enzyme specificity and regio-555 556 selectivity were tuned by the immobilization protocol. Different hydrophobic supports (butyl- and octyl-agarose and hexyl- and butyl-Ttoyopearl) were used in Lecitase Ultra 557 immobilization [86]. Although the immobilization mechanism was the same (interfacial 558 activation), the different morphology, hydrophobicity, etc. 559

These results indicate that Lecitase Ultra properties can be modulated as deeply asthat of any other lipase using different immobilization protocols.

562

3.2. Physical or chemical modification of immobilized Lecitase Ultra to modulate enzyme properties

565 Chemical and physical modification of immobilized enzymes may also be a tool to 566 tune enzyme properties, like activity, specificity or stability [29,30,217]. There are some 567 examples of immobilized Lecitase Ultra modification that promote very relevant alteration 568 on its performance as catalyst. 569 For example, Lecitase Ultra covalently attached on cyanogen bromide agarose 570 beads and interfacially activated versus octyl-agarose beads were submitted to diverse modifications (with 2,4,6-trinitrobenzensulfonic acid, glutaraldehyde or amination) [218]. 571 Results showed than the effects of the chemical modifications on the enzyme features 572 significantly depended on the immobilization strategy used, in some instances the activity 573 increased while in others it decreased. Glutaraldehyde modification or amination 574 575 modification of immobilized Lecitase Ultra increased the enzyme stability of both biocatalysts at pH 7 and 9 (around a 10-fold), while only the aminated biocatalyst increased 576 577 the enzyme stability at pH 5 by glutaraldehyde treatment.

578 In another research, the same biocatalysts (Lecitase Ultra covalently immobilized on cyanogen bromide agarose and on octyl agarose biocatalysts) were coated with 579 580 polyethylenimine or dextran sulfate via ion exchange [219]. The cationic polymer increased enzyme activity (e.g., by 30 folds using methyl phenyl acetate as substrate), while the anionic 581 polymer usually reduced enzyme activity. Both polymers permitted to increase the enzyme 582 stability in some conditions, mainly in organic solvents [219]. Later, the covalently 583 immobilized enzyme was incubated in some detergents that permitted to increase the enzyme 584 activity by inducing the Lecitase Ultra open form, and in that moment were incubated with 585 586 polyethylenimine, trying to freeze the open form of the Lecitase Ultra induced by the 587 detergents [220] (Figure 3). After detergent elimination, a significantly enhanced activity 588 (even by 50 times) was observed. The increase in the irreversible inhibition rate of the 589 enzyme by irreversible inhibitors suggested that the open form of the enzyme had been stabilized. 590

591

592 **3.3.** Coimmobilization of Lecitase and other enzymes

593 The interest on enzyme coimmobilization is growing every day [221-223], as this 594 gives some advantages in cascade reactions by eliminating the lag time required when using independently immobilized enzymes. In some instances, this may become critical, affecting 595 not only the reaction rate but the final products yields (e.g., when the intermediate product is 596 597 unstable). However, together with other points, coimmobilization generates two significant 598 problems. The first one is that one enzyme is inactivated; the other enzymes must be also 599 discarded, even if they remain fully active. The second one is the necessity of using the same 600 support to immobilize all enzymes. The support that offers the best improvement of the 601 properties of one enzyme may not be the same that offers the best performance for the other 602 ones [36]. Recently, a strategy that may permit to avoid some of these problems has been 603 proposed, and Lecitase Ultra was involved as one of the utilized enzymes.

The strategy is to coimmobilize lipases and other enzymes (with lower stability and 604 hard to stabilize via immobilization), enabling the reuse of the immobilized lipase after the 605 606 inactivation of the other enzyme. This was initially described using a galactosidase and the lipase B from Candida Antarctica [224]. The lipase was immobilized via interfacial 607 activation on octyl agarose, coated with polyethylenimine and then the galactosidase was 608 609 immobilized on the lipase-polyethylenimine molecule [224]. This permitted to reuse the immobilized lipase after incubation of the immobilized co-biocatalyst at high ionic strength 610 611 and desorption of the inactivated galactosidase [225], that was much less stable than the 612 lipase, for several cycles. However, this has a problem: the PEI was desorbed along the galactosidase, making it necessary to re-coat the enzyme with the polymer [224]. To solve 613 614 this problem, a more sophisticated protocol was proposed, using Lecitase Ultra as one of the model enzymes. The idea was to obtain lipase-polyethylenimine composite resistant at high 615

ion strength. To do this, the lipase-polymer bonds need to be covalent, using glutaraldehyde 616 617 and glyoxyl-octyl agarose beads [226]. As a first study, the effect of the modification on immobilized Lecitase Ultra was investigated. Thus, Lecitase Ultra immobilized on octyl 618 agarose was modified with PEI, then with glutaraldehyde, and their properties were analyzed, 619 620 finding a small effect on enzyme activity but a clear stabilization [227] (Figure 4). The 621 stabilization was more significant when modifying highly loaded preparations and using 622 polyethylenimine. This paper shows by SDS-PAGE the promotion of multiple intermolecular 623 polyethylenimine enzyme molecules cross-linking, confirming the hypothesis used to explain 624 the stabilization of lipase preparations adsorbed on hydrophobic supports after modification 625 with polyethylenimine. Now, the galactosidase can be immobilized via ion exchange on the PEI layer and may be desorbed without losing polyethylenimine from the biocatalyst and 626 627 immobilized Lecitase Ultra may be re-used by several cycles without necessity of any additional modification [226]. 628

629 **4. Conclusions**

630 Although Lecitase Ultra was initially designed for oil degumming and this has been 631 among the main applications, the good properties of the enzyme (activity, specificity, stability) have greatly opened the range of likely applications. Lecitase Ultra may be handled 632 as a standard lipase, and like them, can recognize a great diversity of substrates, very far from 633 634 phospholipids. Thus, it should be considered in any screening of enzymes to catalyze the 635 resolution of racemic esters, alcohols or carboxylic acids. One of the most promising 636 applications is in the preparation of structured phospholipids, mainly in the preparation of different derivatives of lysophosphatidylcholine. Its immobilization on any hydrophobic 637 638 support via interfacial activation makes it relatively simple to prepare home-made

immobilized biocatalyst. This can avoid the lack of an immobilized Lecitase Ultra
immobilized preparation, very likely one of the main problems that is refraining the general
use of enzyme. It has also been showed how immobilization may greatly impact enzyme
activity, specificity or selectivity. Thus, it is expected that the applications of this enzyme,
both at academic and industrial scale, will continue the growth observed in the last years and
may become one of the most utilized enzymes.

645

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1415 Figure Legends

1416 Figure 1. Conformational equilibrium and interfacial activation of lipases.

1417 Figure 2. Generic phospholipid structure and cleavage site of the different

1418 **phospholipases enzymes**. Phospholipase A1 (PLA1) and phospholipase A2 (PLA2) cleave

1419 the acyl ester bonds at sn-1 and sn-2, respectively. Phospholipase B (PLB) can be deacylated

1421 bond from the *sn*-3 (3). Phospholipase D (PLD) removes the head group. R1 and R2

at both sn-1 and sn-2 positions. Phospholipase C (PLC) catalyzes the hydrolysis of the ester

1422 correspond to nonpolar fatty acids. The black arrows for phospholipases (PLA1, PLA2, PLB,

1423 PLC, and PLD) indicate their site of hydrolysis. The structure was drawn using the molecular

1424 graphics program JSmol.

Figure 3. Bio-imprinting of the open form of Lecitase Ultra induced by detergents after coating with PEI.

Figure 4- Co-immobilization of β-galactosidase and Lecitase Ultra. Lecitase Ultra was immobilized on octyl agarose via interfacial activation, coated with PEI and modified with glutaraldehyde to have covalent bonds between enzyme and support. After reduction of the composite, galactosidase was immobilized via ion exchange. PEI will remain immobilized on the support at any ionic strength.

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