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Neural Machine Translation of Basque

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Abstract

We describe the first experimental results in neural machine translation for Basque. As a synthetic language featuring agglutinative morphology, an extended case system, complex verbal morphology and relatively free word order, Basque presents a large number of challenging characteristics for machine translation in general, and for data-driven approaches such as attention-based encoder-decoder models in particular. We present our results on a large range of experiments in Basque-Spanish translation, comparing several neural machine translation system variants with both rule-based and statistical machine translation systems. We demonstrate that significant gains can be obtained with a neural network approach for this challenging language pair, and describe optimal configurations in terms of word segmentation and decoding parameters, measured against test sets that feature multiple references to account for word order variability.

1 Introduction

Neural machine translation (NMT) is fast becoming the dominant paradigm in both academic research and commercial exploitation, as evidenced in particular by large machine translation providers turning to NMT for their production engines (Crego et al., 2016; Wu et al., 2016) and NMT systems achieving the best results in most cases on standard shared tasks datasets (Bojar, 2016).

Sequence-to-sequence neural networks have proved effective in modelling translation phenomena (Sutskever et al., 2014). In particular, attentional encoder-decoder models (Bahdanau et al., 2015) have become a default NMT architecture, with other architectural variants explored in recent work (Vaswani et al., 2017; Gehring et al., 2016). These models have already been applied to a wide range of languages, initially on the most studied European languages and recently to a larger set of cases that includes morphologically rich languages (Bojar, 2017).

In this article we explore the applicability of neural machine translation to Basque, a language with noteworthy characteristics that may represent a challenge for encoder-decoder approaches with attention mechanisms.

First, Basque is a synthetic language that features agglutinative morphology, i.e. where words can be formed via morphemic sequences, and a large number of case affixes that mark ergativity, datives, different types of locatives and genitives, instrumentality, comitativity or causality, among others. Verbal morphology is also relatively rare, displaying complex forms that include subject, direct object, indirect object and allocutive agreement markers, with number, tense and aspect being marked as well. This kind of rich morphology raises difficulties in terms of word representations and drastically increases data sparseness issues. A detailed description of Basque grammar can be found in (De Rijk and De Coene, 2008).

Secondly, although phrases in this language present a rather fixed inner order, as exemplified for instance by the regular structure of noun phrases, at the sentential level the ordering is relatively regular, the structure of noun phrases may also be challenging, with left-branching relative clauses and affixa-
tively free. Syntactically, order is essentially determined in terms of focus and topic. Although different orderings mostly reflect underlying variations according to these notions, for translation between Basque and languages with more rigid syntax the end-result is higher variability in terms of sentential input and output. Such variations may represent an additional challenge for NMT models that manage input information via learned attentional distributions and generate translations via decoding processes based on the previously generated element and beam searches.

Finally, Basque is a low-resourced language, with few publicly available parallel corpora. This is a third challenge for data-driven approaches in general, and NMT in particular as it usually requires larger training resources than statistical machine translation (Zoph et al., 2016).

To explore these challenges, we built several large neural machine translation models for generic Basque-Spanish translation, and compare their results with those obtained with rule-based and statistical phrase-based systems (Koehn et al., 2003). Our exploration of NMT variants for this language pair mainly focuses on different sub-word representations, obtained via either linguistically-motivated or frequency-based word segmentations, and on different data exploitation methods. We measure the impact of ordering variations partly via manually-created multiple references and also evaluate the impact of tuning the decoding process in terms of length and coverage along the lines of (Wu et al., 2016).

The paper is organised as follows: Section 2 describes related work in machine translation for Basque and other morphologically-rich languages; Section 3 presents the parallel corpora collected for the Basque-Spanish language pair; Section 4 describes the different translation models used for the experiments presented in Section 5; finally, Section 6 draws conclusion from this work.

2 Related work

Morphologically rich languages, a large denomination which includes synthetic languages where words are formed via productive morphological affixation, have been extensively studied in the machine translation literature. In Statistical Machine Translation (SMT) in particular (Brown et al., 1990), the data sparseness issues created by rich morphology have been addressed with a variety of techniques such as the factor-based translation (Koehn and Hoang, 2007). In Neural Machine Translation, the issues raised by rich morphology are even more acute given the vocabulary limitations for typical encoder-decoder neural networks, and recent work has centred on optimal methods to tackle surface variability and data sparseness in a principled manner.

Several approaches address morphological variation via character-based translation (Ling et al., 2015; Lee et al., 2016; Costa-Jussà and Fonollosa, 2016). A case study along these lines for languages with rich morphology is (Escolano et al., 2017), who implement a character-to-character NMT system augmented with a re-scoring model. They report improvements for Finnish-English translations but not for Turkish-English, although the latter result might be due to lack of sufficient training data.

Other approaches tackle this issue via word segmentation into sub-words. Byte Pair Encoding (BPE) (Sennrich et al., 2016) has become a popular segmentation method where infrequent words are segmented according to character pair frequencies. Alternatives include the use of morphological analysers such as MORFESSOR (Virpioja et al., 2013) or CHIPMUNK (Cotterell et al., 2015). Ding et al. (2016) compare the use of these three segmentation alternatives for Turkish-English, obtaining better results with CHIPMUNK and MORFESSOR than with BPE. In (Ataman et al., 2017), both supervised and unsupervised morphological segmentation are shown to outperform BPE for Turkish to English neural machine translation. Morphological decomposition has also been performed with tools tailored for the task, as is the case in (Sánchez-Cartagena and Toral, 2016), who report improvements using the rule-based morphological segmentation provided by OMORFI (Pirinen, 2015) for English-Finnish translation.

Finally, hybrid techniques have also been applied, as in (Luong and Manning, 2016) who built a character/word hybrid NMT system where translation is performed mostly at the word level and the character component is consulted for rare words. Their results for English to Czech demonstrate that their character models can successfully learn to generate well-formed words for a highly-inflected language. This approach has been notably applied
to English-Finnish by (Östling et al., 2017), who also include BPE segmentation in a system that ranked as the top contribution in the WMT2017 shared task for English-Finnish.

The challenges of machine translation of Basque have been addressed in different frameworks. An example-based data-driven system was thus developed by (Stroppa et al., 2006) and a rule-based approach was used to develop the MATXIN system for Spanish to Basque translation (Mayor et al., 2011); both systems are compared in (Labaka et al., 2007). In (Labaka et al., 2014), a hybrid architecture is presented, combining rule-based and phrase-based statistical machine translation approaches. Their hybrid system resulted in significant improvements over both individual approaches. In the next sections, we provide the first description of a large-scale NMT system for the Basque-Spanish language pair.

3 Corpora

To build representative translation models for the Basque-Spanish language pair, parallel corpora were collected and prepared from three different sources: professional translations in different domains, bilingual web pages, and comparable data in the news domain.

3.1 Parallel data

Parallel data for Basque-Spanish are scarce, the largest repository of such data being the professionally translated administrative texts made available in the Open Data Euskadi repository.\(^2\) Amongst these, the largest collection comes from the translation memories of the Instituto Vasco de Administración Pública official translation services, with additional data from the Diputación Foral de Guipúzcoa. After filtering duplicate segments and dubious segments, we prepared the ADMIN corpus as our main parallel resource.

Additionally, we included four corpora from different domains. Two of them were created from translation memories, namely the SYNONPSIS corpus, a collection of film synopsis, and the IRRIKA corpus, based on content from the Irrika youth magazine. We also included corpora created via automatic alignment of bilingual documents: EIZIE, a corpus of universal literature, and CONSUMER, a collection of articles from Consumer consumption magazine. The EIZIE alignments were also manually revised to ensure a high quality corpus.

The statistics for all parallel corpora are shown in Table 1.

3.2 Crawled data collection

To complement the high quality parallel data described in the previous section, we created a large parallel corpus from crawled data. We used the PACO2 tool (San Vicente and Manterola, 2012), which performs both crawling and alignment to create parallel resources from web corpora.

For this task, the tool was extended with two major optimisations. First, the crawling component was modified in order to retrieve web content dynamically generated via JavaScript. Secondly, both crawling and alignment processes were parallelised, to speed up the overall process.

Both optimisations contributed to the efficient creation of a parallel corpus from a variety of Basque-Spanish web pages. Corpus statistics, after duplicates removal, are shown in Table 2.

3.3 Comparable data collection

To further increase the amount of training data and extend domain coverage, we exploited a large strongly comparable corpus in the news domain, facilitated by the Basque public broadcaster EITB.\(^3\) The original data consists of XML documents that contain news independently created by professional journalists in Basque and Spanish, from

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\(^2\)http://opendata.euskadi.eus

\(^3\)http://www.eib.eus/
which a first parallel corpus was created and shared with the research community (Etchegoyhen et al., 2016).

As additional data was made available since the first version of the corpus, we created a second version that included news from 2013 to 2016. For this version, document alignment was performed with DOCAI (Etchegoyhen and Azpeitia, 2016a), an efficient aligner that provided the best results for this language pair. Sentences were then aligned with STACC (Etchegoyhen and Azpeitia, 2016b), a tool to determine parallel sentences in comparable corpora which has proved highly successful for the task (Azpeitia et al., 2017).

After enforcing one-to-one alignments, the corpus resulted in 1,137,463 segment pairs, each with an associated alignment probability score. Various corpora could then be extracted by selecting different alignment thresholds to filter low-scoring pairs. After training separate SMT models on each of these three corpora, we selected the corpus with alignment threshold 0.15, as it resulted in the best performance overall. Statistics for this corpus are shown in Table 3.

The EITB corpus was also used to prepare tuning and validation sets, as it covers a wide range of topics that includes politics, culture and sports, among others. Thus, 2,000 segment pairs were selected as held-off development set, and 1,678 as test set. The alignments for the test set were manually validated and a new set of references was manually created by professionally translating the Spanish source sentences, to account for word order variability in Basque.4

3.4 Data filtering & preparation

A unique parallel corpus (hereafter, MERGED) was built by concatenating the previously described corpora and removing duplicates. All sentences were truecased, with truecasing models trained on the monolingual sides of the bitext, and tokenised with adapted versions of the scripts available in the MOSES toolkit (Koehn et al., 2007).

Neural machine translation systems have been shown to be strongly impacted by noisy data (Belinkov and Bisk, 2017). As our gathered corpora comes from potentially noisy sources, as is the case with crawled and comparable data, we prepared an additional filtered version of the corpus. We based our filtering process on length irregularities between source and target sentences, in terms of number of words, with the aim of identifying those pairs where only a subset of a sentence is translated into the other language, a typical case in comparable corpora.

As a simple approach, we computed the modified z-score on the MERGED corpus to filter out segment pairs identified as outliers in terms of length difference between the source and target segments, where the median and standard deviation were computed on the human quality references of the ADMIN corpus. This process discarded 259,532 segment pairs, as shown in Table 4, where MERGED.LGF refers to the filtered corpus.

4 Models

In the next subsections, we describe the different NMT models for Basque-Spanish that were built using the corpora described in the previous section, as well as the considered baseline systems.

4.1 Baselines

Two kinds of baseline systems were considered: statistical (SMT) and rule-based (RBMT).

All SMT translation models are phrase-based (Koehn et al., 2003), trained using the Moses toolkit (Koehn et al., 2007) with default hyper-parameters and phrases of maximum length 5. Word alignment was performed with the FASTALIGN toolkit (Dyer et al., 2013), and the parameters of the log-linear models were tuned with MERT (Och, 2003). All language models are of order 5, trained with the KENLM toolkit (Heafield, 2011).

As an RBMT baseline translation system, we chose the on-line translation service provided by

<table>
<thead>
<tr>
<th>CORPUS</th>
<th>SENTENCES</th>
<th>WORDS</th>
</tr>
</thead>
<tbody>
<tr>
<td>MERGED</td>
<td>3,345,763</td>
<td>76,998,621</td>
</tr>
<tr>
<td>MERGED.LGF</td>
<td>3,086,231</td>
<td>61,529,688</td>
</tr>
</tbody>
</table>

Table 4: Final corpora statistics (unique segments)

4In what follows, the manually validated test will be referred to as ALNTEST, the human translations by HTTEST and the multi-reference test set as MULTIREF. Note that all test sets will be made available to the research community on our project web page: http://modela.eus.
the Basque Government, which is based on a proprietary rule-based system crafted for this language pair to provide general-domain translation.\(^5\)

4.2 NMT

Unless otherwise specified, all NMT systems follow the attention-based encoder-decoder approach (Bahdanau et al., 2015) and were built with the openNMT toolkit (Klein et al., 2017). We use 500 dimensional word embeddings for both source and target embeddings. The encoder and the decoder are 4-layered recurrent neural networks (RNN) with 800 LSTM hidden units and a bidirectional RNN encoder. The maximum vocabulary size was set to 50,000.

The models were trained using Stochastic Gradient Descent with an initial learning rate of 1 and applying a learning decay of 0.7 after epoch 10 or if no improvement is gained on the loss function after a given epoch over the validation set. A mini-batch of 64 sentences was used for training, with a 0.3 dropout probability applied between recurrent layers and a maximum sentence length set to 50 tokens for both source and target side.

The following subsections describe the neural machine translation variants that were prepared, the first three being based on different word segmentations and the last one on fully character-based translation.

4.2.1 Byte Pair Encoding

Byte Pair Encoding (BPE) is a compression algorithm that was adapted to word segmentation for NMT by (Sennrich et al., 2016). It iteratively replaces the most frequent pair of characters in a sequence with an unused symbol, without considering character pairs that cross word boundaries. BPE allows for the representation of an open vocabulary through a fixed-size vocabulary of variable-length character sequences, having the advantage of producing symbol sequences still interpretable as sub-word units.

For our experiments, we trained joint BPE models on both Basque and Spanish data to improve consistency between source and target segmentation. A set of at most 30,000 BPE merge operations was learned for each training corpus.

4.2.2 FLATCATV2

FLATCATV2 is a system based on MORFESSOR that was developed to implement a linguistically motivated vocabulary reduction for neural machine translation and was originally proposed for Turkish (Ataman et al., 2017). The segmentation process consists of two steps. MORFESSOR is used first to infer the morphology of the considered language in an unsupervised manner, based on an unlabelled monolingual corpus. The learned morphological segmentations are then fit into a fixed-size vocabulary, which amounted to 45,000 tokens in our case.

Unlike the joint learning method we selected for BPE segmentation, FLATCATV2 segmentation was learned on the monolingual data separately, since this technique is designed to extract a linguistically-sound segmentation of the text.

4.2.3 Morphological analysis

As a third approach to word representation, we opted for a fine-grained morphological analysis and used the IXA-KAT supervised morphological analyser for Basque (Alegria et al., 1996; Otegi et al., 2016). This analyser relies on a lexicon crafted by linguists which includes most of the Basque morphemes and is used to extract all possible segmentations of a word. The hypotheses with the longest lemma are ultimately selected.

Although this linguistically-motivated approach to segmentation does reduce the vocabulary, vocabulary size is not guaranteed to remain within the range necessary for NMT. We therefore followed the two-step approach used in FLATCATV2 and applied BPE after the linguistic segmentation phase, to segment rare tokens and keep the vocabulary within the selected size.

4.2.4 Character-based translation

As an alternative to NMT architectures based on words or sub-words, character-based models provide the means to remove the segmentation problem altogether. These models are based solely on the characters in the sentence on both the input and the target sides, generating translations one character at the time. As previously discussed, this type of approach is particularly interesting for highly inflected languages such as Basque.

To evaluate this approach for Basque-Spanish translation, we built a character-to-character system following (Lee et al., 2016), whose code was publicly available.\(^6\) The system uses convolutional

\(^5\)http://www.itzultzailea.euskadi.eus/traductor/portalExterno/text.do

\(^6\)https://github.com/nyu-d1/dl4mt-c2c
neural networks to generate window representations of fixed length character sequences, set to 5 in our configuration. These representations reduce the length of the input sequence, while enabling the system to identify segment patterns. A bi-directional recurrent neural network is then used to compute the representation of the complete sentence. Finally, translation is generated character by character, using an attention mechanism on the segments computed at the encoder level.

5 Experiments

In this section we first describe the experimental settings and system variants, then present and discuss the results.

5.1 Settings

To compare the different segmentation approaches, a first set of experiments was designed using only the selected EITB corpus. This allowed for a direct comparison between the approaches while also reducing the computational load of training the different variants. From this set of experiments, we selected the overall best approach to segmentation, taking into account the results obtained in both translation directions.

The second set of experiments compares NMT variants, based on the selected segmentation approach, to the SMT system. We also compare the NMT and SMT results with those obtained with the selected rule-based system on the single and multi-reference test sets.

The NMT approach based on the selected segmentation mechanism was trained on the entire corpus, as was the SMT system. Additionally, we evaluated the same NMT architecture and trained a model on the filtered corpus to assess the impact of noisy data on the final system.

We also evaluated the impact of the decoding optimisations proposed in (Wu et al., 2016), which tune the decoder according to length normalisation over the generated beam sequences and to the coverage of the input sequence according to the attention module. We also tuned the decoder with the end of sentence (EOS) penalty available as hyper-parameter in OPENNMT. Optimal parameters for these three normalisations were evaluated via grid search, resulting in values of 4 for length, 0 for coverage, and 10 for EOS normalisations in ES-EU, and 10, 0 and 10 respectively in EU-ES.

Finally, we performed a small manual evaluation using the Appraise tool (Federmann, 2012). 28 native speakers of Basque were asked to select their preferred translations for 100 sentences, where the translations were generated by the previously described RBMT system and the NMT system trained on the entire corpus.

5.2 Results

Results in terms of automatic metrics were computed with BLEU (Papineni et al., 2002) and TER (Snover et al., 2006). Tables 5 and 6 show the results for the different approaches to segmentation.7

The first noticeable result is the consistency of the scores across all test sets, in both directions. For ES-EU, there was no significant difference between the results obtained with BPE and with the unsegmented words, both achieving the best scores overall. In EU-ES, the optimal approach consistently involved applied linguistically-motivated segmentation first, followed by BPE to restrict the vocabulary size. In both directions, FLATCATV2 performed worse than BPE and character-based translation resulted in the lowest scores overall.

Linguistically-motivated segmentation for Basque was only beneficial on the source side, resulting in degraded results when compared to frequency-based segmentation on the target side. This result may be attributed to the stronger need to disambiguate source-side information in NMT architectures, where weak encoding impacts both sentence representation and the attention mechanism. As conditioned language models, NMT decoders seem to have lesser difficulties in generating correct output on the basis of non-linguistic but consistent segmentation units of the type provided by BPE.

From this first set of results, we selected BPE as our segmentation model for the final systems trained on the entire corpus, as it provided the best results when translating into Basque, was a competitive second ranked system in the other translation direction, and required less resources overall to perform segmentation. The comparative results between, RBMT, SMT and NMT are shown in Tables 7 and 8.8

7 In both tables, † indicates statistical significance between the considered system and BPE, for p < 0.05. Significance was computed only for the BLEU metric, via bootstrap resampling (Koehn, 2004).

8 In both tables, † indicates statistical significance between the considered system and NMT, for p < 0.05. Results are given on cased and tokenised output translations, after tokenising the output of the RBMT system for a fair comparison.
In Spanish to Basque, when considering all test sets, the best NMT system outperformed SMT, which in turn provided markedly better results than the RBMT system. Interestingly, the SMT system obtained the best BLEU score on the ALNTEST dataset, and was competitive with the basic NMT system for this metric on the MULTIREF test set as well, while being systematically outperformed on the TER metric by all NMT variants. These results might be due to the known BLEU bias in favour of SMT output, along with other biases (Callison-Burch et al., 2006), and the overall results therefore need to be interpreted by considering both metrics in conjunction. Thus, overall NMT performed markedly better, with gains above 4 BLEU points and 5 TER points on the MULTIREF metric. These constitute significant improvements, indicating that NMT responds better to the challenging properties of Basque than alternative approaches.

For Basque to Spanish translation, the comparative results were similar in terms of systems ranking and in terms of larger differences when considering human translations, used as source for this translation direction. As is usually the case, scores were higher when translating into the language with relatively simpler morphology.

Removing noise from the training corpus, via filtering outliers in terms of length differences, had a significant impact on ES-EU, where the MERGED.LGF model outperformed the non-filtered model by close to 3 BLEU points and 2 TER points on the MULTIREF test set. This confirms the importance of a careful preparation of training data for NMT models. For EU-ES, the filtered corpus gave statistically significant improvements as well, although by a lower margin.

Manual examination of the translations produced by the NMT system indicated that lost-in-NMT-translation phenomena, where the system ignores a significant portion of the input sentence in favour of a fluent but incomplete translation, were notable. The MERGED.LGF.OPT version of the system, where output generation is controlled via the previously described normalisation settings, improved on these grounds, both in terms of metrics and after manual examination of a subset of translations where coverage of the source content seemed to improve.

Another interesting aspect in these results is the impact of multiple references on the interpretation of the results. In most cases, taking into account only the initial test set based on alignments, all validated by human experts as proper translations, would have led to different conclusions than those reached when considering both the additional human translations and multiple references. One could have concluded, for instance, that the gains obtained for ES-EU with NMT over SMT were minor, when the differences were much larger overall when considering all references. The need for multiple references in general, and for this language pair in particular, is made even clearer from the results of these experiments.

Finally, Table 9 shows the results from the comparative human evaluation. Overall, users showed a marked preference for the translations produced by the NMT system, selecting RBMT translations in only 15.14% of the cases. Inter-annotator agree-

<table>
<thead>
<tr>
<th>SEGMENTATION</th>
<th>VOCABULARY</th>
<th>ALNTEST BLEU</th>
<th>TER</th>
<th>MULTIREF BLEU</th>
<th>TER</th>
</tr>
</thead>
<tbody>
<tr>
<td>WORDS</td>
<td>50,004 / 50,004</td>
<td>19.82</td>
<td>64.84</td>
<td>18.53†</td>
<td>61.51</td>
</tr>
<tr>
<td>BPE</td>
<td>21,765 / 23,741</td>
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<td>64.65</td>
<td>18.00</td>
<td>62.20</td>
</tr>
<tr>
<td>FLATCATV2</td>
<td>38,653 / 29,860</td>
<td>18.23†</td>
<td>65.58</td>
<td>17.43†</td>
<td>62.58</td>
</tr>
<tr>
<td>FLATCAT (ES)</td>
<td>MORF (EU)</td>
<td>38,653 / 50,004</td>
<td>16.98†</td>
<td>66.66</td>
<td>16.01†</td>
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<tr>
<td>BPE (ES) - MORF+BPE (EU)</td>
<td>39,197 / 38,827</td>
<td>18.70†</td>
<td>65.31</td>
<td>17.51†</td>
<td>62.64</td>
</tr>
<tr>
<td>CHARNMT</td>
<td>304 / 302</td>
<td>17.17†</td>
<td>67.59</td>
<td>16.23†</td>
<td>63.40</td>
</tr>
</tbody>
</table>

Table 5: Evaluation results of the ES-EU systems using different data segmentation on the EITB corpus

<table>
<thead>
<tr>
<th>SEGMENTATION</th>
<th>VOCABULARY</th>
<th>ALNTEST BLEU</th>
<th>TER</th>
<th>MULTIREF BLEU</th>
<th>TER</th>
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</thead>
<tbody>
<tr>
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<td>47.67</td>
</tr>
<tr>
<td>FLATCATV2</td>
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<td>59.88</td>
<td>32.54†</td>
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<tr>
<td>MORF+BPE (EU) - BPE (ES)</td>
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<tr>
<td>CHARNMT</td>
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<td>24.58</td>
<td>64.40</td>
<td>31.59†</td>
<td>57.66</td>
</tr>
</tbody>
</table>

Table 6: Evaluation results for EU-ES systems with different data segmentation on the EITB corpus

We presented the first results in neural machine translation for Basque, a synthetic language with an extended case system, complex verbal morphology and relatively free word order. The characteristics of the language made it an interesting test case for NMT and we showed that significant gains could be obtained with a neural network approach, when compared to both rule-based and statistical machine translation systems.

Several variants were prepared in terms of both corpora and models, to determine the optimal configurations for generic machine translation in Basque-Spanish. The impact of noisy datasets when training NMT systems was confirmed in our experiments, and we showed the improvements achievable with a simple filtering of length difference outliers.

Also coming from our results were the gains resulting from fine-grained morphological analysis on the source side, although byte pair encoding was shown to be a robust method overall for this language pair. The presented results were computed on different complementary test set, providing a strong confirmation of the importance of multiple references in general, and for the evaluation of Basque translation in particular.

Neural machine translation has been successfully applied to a large range of languages and scenarios, with recent work centred on languages with rich morphology. This work contributes to this line of research, demonstrating the significant improvements obtained with NMT on a language which features a wide range of properties that represent a challenge for past and current approaches to machine translation.

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