Improved correlation between the static and dynamic elastic modulus of different types of rocks

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Abstract

The relationship between the static and dynamic elastic modulus in rock materials has been frequently addressed in scientific literature. Overall, when it comes to the study of materials with a wide range of elastic moduli, the functions that best represent this relationship are non-linear and do not depend on a single parameter. In this study, the relationships between the static and dynamic elastic modulus of 8 different igneous, sedimentary and metamorphic rock types, all of which are widely used as construction material, were studied. To this end, the elastic modulus values of 33 samples were obtained which, together with the values obtained for 24 other samples in a previous study, allowed a new relationship between these parameters to be proposed. Firstly, linear and nonlinear classical models were used to correlate static and dynamic moduli, giving R-squared values of 0.97 and 0.99, respectively. A classical power correlation between static

modulus and P-wave velocity has also been proposed, giving an R-squared (R²) value of 0.99 and a sum of the squared differences (SSE) of 553.93. Finally, new equations relating static and dynamic modulus values have been proposed using novel nonlinear expressions. These consider: a) bulk density (R²=0.993 and SSE=362.66); b) bulk density and total porosity of rock (R²=0.994 and SSE=332.16); and c) bulk density, total porosity of rock and uniaxial compressive strength (R²=0.996 and SSE=190.27). The expressions obtained can be used to calculate the static elastic modulus using non-destructive techniques, in a broad range of rock-like materials.

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Keywords: Non-destructive techniques, stone, dynamic modulus, static modulus, rock-

materials, igneous, sedimentary, metamorphic.

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## 1. INTRODUCTION

The elastic modulus is an important mechanical property of rock and stone in relation to its use as a building material. It is the parameter determining the deformability of the material under applied loads, making it an essential parameter for any structural elements (Al-Shayea 2004; Ciccotti and Mulargia 2004; Eissa and Kazi 1988). Deformability tests require samples to be extracted and loads applied to them in the laboratory. The destructive nature of this testing means that it is not suitable for use in certain situations, such as in historic buildings. Alternatively, the elastic modulus can be obtained from non-destructive testing: typically using results obtained from tests measuring the propagation velocity of ultrasonic elastic waves, also called the dynamic modulus (Ameen et al. 2009; Christaras et al. 1994; Eissa and Kazi 1988; Ide 1936; King 1983; Najibi et al. 2015). The static modulus (Est), obtained from conventional laboratory mechanical procedures, is required for computing or modelling the deformations of a building under in-service loading. In cases in which it is not possible to determine the characteristics of the rock using destructive tests, the use of non-destructive techniques using mobile devices constitutes a suitable alternative (Christaras et al. 1994). The dynamically determined elastic modulus (E<sub>dyn</sub>) is generally higher than the statically determined modulus, and the values diverge greatly in rocks with a low modulus of elasticity (Ide 1936). Several studies (Al-Shayea 2004; Ide 1936; Kolesnikov 2009; Vanheerden 1987) explain these differences by considering the nonlinear elastic response at the different strain ranges  $(\varepsilon)$ 

involved in the different techniques. The difference between the static and the dynamic modulus is also explained considering the effect of porosity, size and spatial orientation of cracks or bedding planes on both different measurement techniques (Al-Shayea 2004; Ameen et al. 2009; Eissa and Kazi 1988; Ide 1936; King 1983; Najibi et al. 2015; Vanheerden 1987). The static method, which is necessary for quantifying the rock's deformability, is more sensitive to the presence of discontinuities in the rock. The study of a high strength limestone (i.e. 70 MPa) (Al-Shayea 2004) showed that the ratio between moduli:

$$k = E_{\rm dyn}/E_{\rm st} \tag{1}$$

is close to one when the static modulus is measured at very low loading levels ( $\sim$ 10% of uniaxial compressive strength). The dynamic modulus ( $E_{\rm dyn}$ ), is usually calculated from equation (2):

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$$E_{dyn} = \rho_{bulk} V_s^2 \frac{3V_p^2 - 4V_s^2}{V_p^2 - V_s^2}$$
 (2)

where  $E_{\rm dyn}$  is the dynamic modulus of elasticity,  $V_{p}$  is the velocity of compression (P) waves,  $V_{s}$ 

is the shear (S) wave velocity and \$\rho\_{bulk}\$ is the bulk density of the material.

The relationships between the static and dynamic moduli for different types of rocks and ranges of values proposed by various authors (Brotons et al. 2014; Christaras et al. 1994; Eissa and Kazi 1988; Horsrud 2001; King 1983; Lacy 1997; Martinez-Martinez et al. 2012; Najibi et al. 2015; Nur and Wang 1999; Vanheerden 1987) are summarized in Table 1. Note that Eissa and Kazi (1988) performed a statistical analysis using 76 observations from three different sources of information, for which bulk density was known, defining twelve different variables, including \$E\_{st}\$, \$E\_{dyn}\$, \$\rho\_{bulk}\$ and other nine combinations thereof. Each variable was correlated with the remaining variables, covering all the possible combinations and concluding that as expected, the value of the static modulus of elasticity cannot be correlated using one single relationship valid for all different types of rock. This is due to the enormous variation in properties such as the rock's matrix, mineralogical composition and porosity, including the type of porosity (pore size distribution). Many of the proposed correlations are valid only for a certain rock type or range of elastic moduli. However, if a certain degree of imprecision is accepted, general correlations covering almost all types of rock may be proposed. In this study, various correlations of this type are proposed, having been

obtained from rocks whose dynamic modulus varied between 5 and 80 GPa, and including rocks of igneous, sedimentary and metamorphic origin.

Eq.	Reference	Relationship	R <sup>2</sup>	E <sub>dyn</sub> (GPa)	Rock type
(3)	(King 1983)	$E_{\rm st} = 1.26 E_{\rm dyn} - 29.5$	0.82	40-120	Igneous- metamorphic
(4)	(Vanheerden 1987)	$E_{\rm st} = a E_{\rm dyn}^{\ \ b}$ $a [0.097 - 0.152]$ b [1.485 - 1.388]	-	20-135	Sandstone- granite
(5)	(Eissa and Kazi 1988)	$E_{\rm st} = 0.74 E_{dyn} - 0.82$	0.70	5-130	All types
(6)	(Eissa and Kazi 1988)	$\log_{10} E_{\rm st} = 0.77 \log_{10} (\rho_{\rm bulk} E_{\rm dyn}) + 0.02$	0.92	5-130	All types
(7)	(Christaras et al. 1994)	$E_{\rm st} = 1.05  E_{dyn} - 3.16$	0.99	25-110	All types
(8)	(Lacy 1997)	$E_{\rm st} = 0.018  E_{dyn}^2 + 0.422  E_{dyn}$	-	-	Sedimentary
(9)	(Nur and Wang 1999)	$E_{\rm st} = 1.153  E_{dyn} - 15.2$	-	-	$E_{\rm st} > 15~GPa$
(10)	(Horsrud 2001)	$E_{\rm st} = 0.076  V_p^{3.23}$	-	-	Shale
(11)	(Martinez-Martinez et al. 2012)	$E_{\rm st} = \frac{E_{\rm dyn}}{3.8\alpha_s^{-0.68}}$	-	5-50	Limestone- marble
(12)	(Brotons et al. 2014)	$E_{\rm st} = 0.867 E_{dyn} - 2.085$	0.96	5-30	Calcarenite
(13)	(Brotons et al. 2014)	$\log_{10} E_{\text{st}} = 1.28 \log_{10} (\rho_{\text{bulk}} E_{\text{dyn}}) - 4.71$	0.97	5-30	Calcarenite
(14)	(Najibi et al. 2015)	$E_{\rm st} = 0.014  E_{dyn}^{1.96}$	0.87	13-74	Limestone
(15)	(Najibi et al. 2015)	$E_{\rm st} = 0.169  V_p^{3.324}$	0.90	13-74	Limestone

**Table 1.** Relationship between static ( $E_{st}$ ) and dynamic ( $E_{dyn}$ ) modulus proposed by different authors.

The correlations shown in Table 1 may be grouped according to the independent variables used to predict the static modulus. This is shown in Table 2. It may be observed that, of the 13

correlations shown, 8 use the dynamic modulus as the only independent variable (types I-II-III). Of these, 5 are linear regression based (type I), 2 are exponential regression based (type III) and 1 quadratic regression based (type II). Of the remaining, 2 use both the dynamic modulus and apparent density as independent variables, using a separate exponential regression for both variables (type IV). 1 uses the dynamic modulus and spatial attenuation as variables (type VI), and finally, 2 use the P wave velocity as the independent variable in an exponential regression. This last type of equation has the advantage that the parameter required (V<sub>P</sub>) is easily obtained, which consequently simplifies the testing necessary for obtaining the static elastic modulus - a dependent variable in all cases.

Eq. type	Relationship	Eqs.	Vars.	Fig.
I	$E_{\rm st} = a E_{\rm dyn} + b$	(3) (5) (7) (9) (12)		
II	$E_{\rm st} = a E_{dyn}^2 + b E_{dyn}$	(8)	$E_{ m dyn}$	1
III	$E_{\rm st} = a E_{dyn}^{\ \ b}$	(4) (14)		
IV	$\log_{10}E_{\rm st} = a\log_{10}(\rho_{\rm bulk}E_{\rm dyn}) + b$	(6) (13)	$ ho_{ m bulk}$ ; $E_{ m dyn}$	
V	$E_{\rm st} = a V_p^b$	(10) (15)	$V_p$	9

**Table 2.** Correlation types.  $E_{st}$ : static modulus;  $E_{dyn}$ : dynamic modulus;  $\rho_{bulk}$ : bulk density;  $V_p$ : P-wave velocity;  $\alpha_s$ : spatial attenuation.

(11)

 $\alpha_s$ ;  $E_{\rm dyn}$ 

 $E_{\rm st} = \frac{E_{\rm dyn}}{3.8 \,\alpha_{\rm s}^{-0.68}}$ 

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Figure 1 shows the plot of the equation types I-II-III-IV included in Table 1 for their respective ranges of validity. The relationship proposed by Martinez-Martinez et al. (2012) is not included in the plot because a function relating spatial attenuation with dynamic modulus must be assumed to allow it to be plotted. Note that in the Van Heerden's (1987) relationship, the four curves that are shown in Figure 1 correspond to four different sets of *a* and *b* values obtained for each stress level applied to the tested rock (the stresses considered were 10, 20, 30 and 40 MPa).

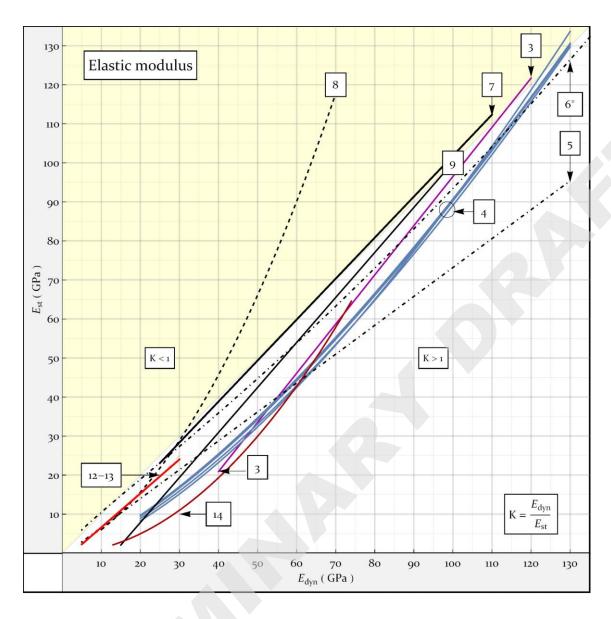


Fig. 1- Plot of the relationship between static and dynamic modulus of elasticity shown in Table 1 (Eq. types I-II-III-IV). Note that the relationships have been only represented for their range of validity.  $E_{st}$ : static modulus.  $E_{dyn}$ : dynamic modulus. The equations of the represented curves are listed in Table 1

The dimensionless coefficient k (ratio between dynamic and static modulus) (Eq. 1) has been used in their works by several authors (Martinez-Martinez et al. 2012). In Fig. 1 the line of slope 1 from the origin, represents the points where k = 1, so that the values of k>1 are located to the right of that line. For a given value of static modulus, leftmost points mean lower k values.

Consequently, the evolution of the k parameter with the module (for each curve() can be seen in Fig. 1, where, to low modulus values, in the lower left part of Fig. 1, the curves are located to the right of said diagonal line, indicating k> 1 values, and for high modulus values, in the upper right of Fig. 1, all curves (except Eq. 5 and Eq. 8) are located near the diagonal (i.e. k values that converge to unity and therefore decrease with respect to the first ones).

The general trend (except Eq. 5) shows that k decreases when dynamic modulus increases, for both linear and non-linear regressions. Therefore, it can be stated that for rocks with a high modulus of elasticity the value of k is closer to one (except Eqs. 5 and 8).

The main aim of this paper is to propose a new improved correlation for obtaining the static modulus of elasticity of a variety of rocks of different origin (widely used as structural or ornamental building materials) from non-destructive ultrasonic testing, covering a wider range of elastic modulus values (i.e. from 10 to 80 GPa).

#### 2. MATERIALS AND SAMPLE PREPARATION

Eight different types of rock of different origin (i.e. igneous, sedimentary and metamorphic), were selected for this study. Figure 2 shows the mesoscopic appearance of the rocks used in this study. A brief petrologic description is included below.



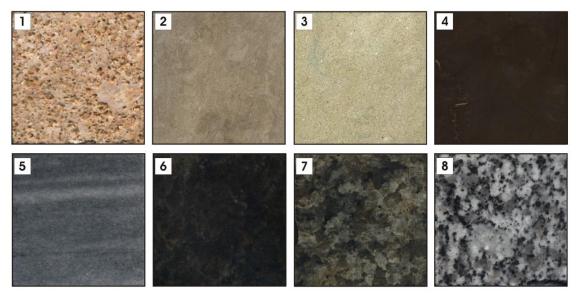


Figure 2: Rocks used in study: 1) Biocalcirudite-Golden Shell (Bcr-GS); 2) Biocalcarenite-Bateig (Bc-Ba); 3) Biocalcarenite-San Julián (Bc-SJ); 4) Micritic limestone-Gris Pulpis (ML-GP); 5)

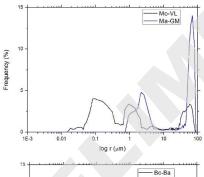
<ul><li>146</li><li>147</li><li>148</li></ul>	Marble-Gris Macael (Ma-GM); 6) Monzodiorite-Verde Labrador (Mo-VL); 7) Granite-Alkaline (Gr-Fs); 8) Granite-Zarzalejo (Gr-Za). The edge length of the each image is 5 cm.
149	
150	Micritic limestone - Gris Pulpis (ML-GP): homogeneous micritic limestone (Mudstone,
151	according to Dunham's (1962) classification). It has a very low porosity, mainly intercrystalline.
152	The predominant mineral is calcite, with low dolomite content. In addition, small quantities of
153	detritic quartz, as well as pyrite (small crystals and aggregates), microcrystalline silica and fluorite
154	were observed with optical and scanning electronic microscopy (Benavente et al. 2005).
155	
156	Marble-Gris Macael (Ma-GM): a calcitic marble characterized by a strongly marked metamorphic
157	banding. This lithotype is characterized by the largest crystal size (400-650 micron). The main
158	components are: calcite and dolomite. Other components are quartz, plagioclase (albite) and
159	muscovite. It is a low porous rocks and presents intercrystalline fissures.
160	
161	Biocalcarenite-Bateig (Bc-Ba): a highly homogenous porous biocalcarenite, classified as
162	packstone (according to Dunham, (1962)). The grain size is generally smaller than 1 mm. The
163	predominant mineral is calcite, with moderate amounts of quartz and glauconitic clay. Dolomite
164	and iron oxide can occasionally be detected. Interparticle porosity is observed in this porous rock.
165	
166	Biocalcirudite. Golden Shell (Bcr-GS): a porous carbonate rock (grainstone after Dunham,
167	(1962)) with abundant allochemicals consisting of grains in the 2-3 mm size range and a well-
168	connected porous system where pores can reach up to several millimeters in size. The
169	orthochemical fraction mainly corresponds to sparite. The predominant mineral is calcite. Both
170	interparticle and intraparticle porosity are variable.
171	
172	Granite-Zarzalejo (Gr-Za): a monzogranite with medium-coarse crystal size. This is an
173	inequigranular, holocrystalline igneous rock dominated by plagioclase (30%), alkali feldspar
174	(35%), quartz (20%), biotite (10%) and hornblende with accessory chlorite, titanite and zircon.
175	This rock presents low open porosity and most usually is intercrystalline fissures.
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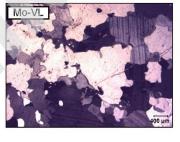
**Monzodiorite-** *Verde Labrador* (Mo-VL): a coarse-grained rock whose crystal size lies between 2-4 mm and the most important kind of porosity is intercrysalline fissures. The main mineralogy is quartz, feldspar and plagioclase with accessory mica, pyroxene, amphibole and olivine.

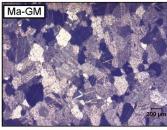
**Granite - Alkali (Gr-Fs)**: an igneous rock containing phenocrysts in a fine-grained groundmass. Phenocrysts of white feldspar up to 10 mm long are surrounded by a coarse groundmass of quartz, biotite and white feldspar. Its granitic composition is essentially quartz and potassium feldspar and its porosity is mainly intercrystalline fissures.

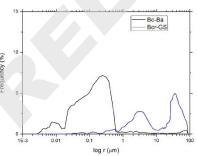
**Biocalcarenite -** *San Julián* (**Bc-SJ**): a very porous biocalcarenite. In terms of texture, the rock shows abundant allochemicals, generally smaller than 2 mm in size, although bands of various grain sizes have been found. The rock presents a wide variety of fossil bryozoans, foraminifera, red algae, echinoderms fragments and porosity is interparticle. The ortochemical fraction mainly corresponds to sparite. The main components are: calcite (70 %), iron-rich dolomite (25 %), quartz (5 %) and traces of clay minerals (illite) (Brotons et al. 2013).

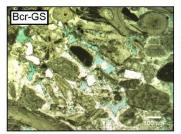
Figure 3 shows the pore structure properties of the most representative rocks using a polarizing optical microscope (Zeiss Axioskop) and mercury intrusion porosimetry (Autopore IV 9500 Micromeritics).











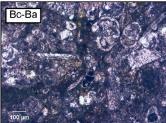


Fig. 3. Microestructure propierties of the most representative rocks. Pore size distribution of the mercury intrusion porosimetry and optical microphotographs of crystalline rocks (granite Mo-VL and marble Ma-GM) and porous rocks (biocalcarenite Bc-Ba and biocalcirrudite Bcr-GS). Mo-VL and Ma-GM microphotographs were taken under crossed-nicols, whereas Bc-Ba and Bcr-GS under parallel-nicols.

## 3. METHODOLOGY

For this study, 33 cylindrical samples 28 mm in diameter and 70-75 mm long were obtained (the number of cores for each rock can be found in Table 3). The choice of a minimum 2.5 slenderness ratio was made to ensure that the samples conformed to the relevant test standards (ISRM 1979). Petro-physical property testing, including bulk and solid density, open and total porosity, P and V ultrasonic velocities, uniaxial compressive strength and both static and dynamic elastic modulus, was performed on the same core samples.

#### 3.1. Porosity

Total porosity (n) that corresponds to the non-interconnected voids trapped in the solid phase, is calculated as the ratio of the volume of pore space to the bulk material volume, and was calculated using the relationship between bulk and solid densities. Bulk density was determined through direct measurement of the dry weight and dimensions of samples. Solid or grain density of a material is defined as the ratio of its mass to its solid volume and was obtained via the pycnometer method according to UNE-EN 1936 (AENOR 2007). Open porosity, n<sub>0</sub>, (defined as the fraction of volume that is occupied by the fluid in the interconnected porous network) was obtained using the vacuum water saturation test UNE-EN 1936 (AENOR 2007).

Total porosity (PT) includes open porosity and close porosity. Open porosity is the volume of pores accessible to any given molecule, and close porosity is the volume of isolated pores dispersed over the medium. It is important to mention here that connected porosity is the volume

of pores accessible to a given molecule and depends on the used technique.

#### 3.2. Ultrasonic testing

Ultrasonic waves were measured using signal emitting-receiving equipment (Proceq Pundit Lab+) coupled to a computer, which acquires waveforms allowing them to be displayed, manipulated and stored. Two different kinds of transducers were used: a P-polarized transducer couple (Proceq P/N325-54 KHz) and an S-polarized transducer couple (Olympus Panametrics NDT-250 KHz). The first couple was used in order to acquire the ultrasonic P-wave waveform (longitudinal) and thereafter to study and quantify the signal in the time-domain. The second ultrasonic transducer couple was employed for the same purpose, exclusively to measure the S-wave propagation velocity. A visco-elastic couplant was used to achieve good coupling between the transducer and the sample. Two different ultrasonic parameters were computed from each registered waveform: ultrasonic P-wave velocity ( $V_{o}$ ) and ultrasonic S-wave velocity ( $V_{s}$ ). P-wave velocity  $(V_p)$  is the most widely-used ultrasonic parameter, and was determined from the ratio of the length of the specimen to the transit time of the pulse. The ultrasonic parameters, ultrasonic P-wave velocity  $(V_p)$  and ultrasonic S-wave velocity  $(V_s)$ , were used to calculate the dynamic elastic modulus of the specimens (Edyn), according to Equation (2). The UNE-EN 14579 (AENOR 2005) standard was used to determine the P wave velocity. The elastic wave velocities were measured at room pressure, and the samples were dried before testing.

## 3.3. Uniaxial compressive strength and deformability test

For the mechanical tests, a servo-controlled press machine with a 200 kN capacity was used for both the determination of the uniaxial compressive strength and the elastic modulus (E<sub>st</sub>). The test was performed using the test method proposed by the (ISRM 1979) for the secant modulus of elasticity. Axial strain values were obtained for each loading cycle up to a maximum value equal to 40% of the sample's ultimate load. The specimen's strains were measured by means of the device shown in Figure 4. This device has two metal rings of 35 mm inside diameter attached and placed in parallel along the sample's axis, and two diametrically opposed inductive displacement sensors for measuring the relative distance between the two rings (i.e. the axial strain of the sample) during the application of the axial load. An HBM Spider 8-600 Hz data acquisition system

was used, together with "Catman-easy" software used for storing data for post-processing.

According to the test method used, the stress rate was of 0.6 MPa/s, and the samples were dried before testing.

before testing.

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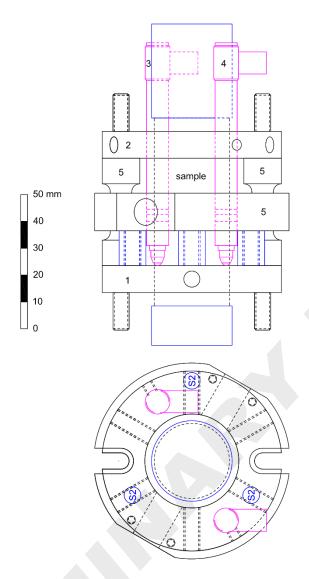
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**Fig. 4.** Device for axial strain measurements.1, 2: Rings attached to the samples; 3, 4: inductive displacement sensors; 5: auxiliary mounting parts.

## 4. RESULTS

The physical characteristics of the eight rock types included in this study are shown in Table 3. The values shown are the averages obtained for each rock type. It may be observed that the sedimentary rocks with bioclasts (Bc-Ba, Bcr-GS, Bc-SJ) showed high porosity values, which is reflected in the relatively lower apparent density. The igneous rocks (Gr-Za, Mo-VL, Gr-Pl) showed a low porosity. The lowest porosity was found in the marble (Ma-GM), which is a metamorphic rock. The micritic limestone (ML-GP) also showed a low porosity, similar to the igneous rocks.

	Rock type	Samples	Bulk Density		Open Porosity		Total Porosity	
		-	ρ	bulk	r	10	1	n
		ud.	g/o	cm³	9	%	ç	%
			μ	σ	μ	σ	μ	σ
Bc-SJ	Sedimentary	5	2,096	± 0,017	19,597	± 0,226	22,499	± 0,630
Вс-Ва	Sedimentary	5	2,216	± 0,014	13,507	± 0,768	16,972	± 0,506
Bcr-GS	Sedimentary	3	2,159	± 0,050	11,936	± 0,376	17,023	± 1,929
Ma-GM	Metamorphic	4	2,703	± 0,002	0,419	± 0,053	0,589	± 0,083
Mo-VL	Igneous	4	2,634	± 0,003	0,916	± 0,058	1,306	± 0,110
Gr-Za	Igneous	5	2,667	± 0,002	0,845	± 0,044	1,114	± 0,090
Gr-Fs	Igneous	4	2,619	± 0,009	0,846	± 0,068	1,609	± 0,321
ML-GP	Sedimentary	3	2,674	± 0,003	0,847	± 0,082	1,065	± 0,096

**Table 3.** Summary of physical properties of the rock samples in this study: Bc-SJ: Biocalcarenite-San Julián; Bc-Ba: Biocalcarenite-Bateig; Bcr-GS: Biocalcirudite-Golden Shell; Ma-GM: Marble-Gris Macael; Mo-VL: Monzodiorite-Verde Labrador; Gr-Za: Granite-Zarzalejo; Gr-Fs: Granite-Alkaline; ML-GP: Micritic limestone-Gris Pulpis; μ: average value; σ: Standard Deviation.

The porosity of all of the rocks in the study was principally open, although closed porosity was significant in some rocks (especially those of igneous origin). In general, the closed porosity in the sedimentary rocks varied between 2.90 percentage points (Bc-SJ) and 5.09 percentage points (Bcr-GS). In the metamorphic rock (Ma-GM), the closed porosity was 0.17 percentage points, while in the igneous rocks the closed porosity varied between 0.27 percentage points (Gr-Za) and 0.76 percentage points (Gr-Fs).

	Dook tuno	Camples	Dynamic modulus Static modulus		U. Compressive			
	Rock type	Samples			Static modulus		Strength	
		-	E <sub>dyn</sub>		E <sub>st</sub>		UCS	
		ud.	G	Pa	G	Pa	M	Pa
			μ	σ	μ	σ	μ	σ
Bc-SJ	Sedimentary	5	28,52	± 0,66	23,84	± 1,05	23,61	± 3,12
Вс-Ва	Sedimentary	5	29,47	± 0,71	22,10	± 1,13	44,57	± 0,97
Bcr-GS	Sedimentary	3	33,98	± 2,29	30,93	± 2,49	23,48	± 2,22
Ma-GM	Metamorphic	4	46,19	± 1,80	39,58	± 5,33	31,33	± 6,81
Mo-VL	Igneous	4	46,40	± 3,98	39,80	± 4,31	59,86	± 6,39
Gr-Za	Igneous	5	52,12	± 3,49	41,72	± 1,59	56,46	± 6,35
Gr-Fs	Igneous	4	56,11	± 4,15	48,00	± 3,70	84,98	± 11,80
ML-GP	Sedimentary	3	79,14	± 1,33	75,83	± 2,48	98,81	± 10,84

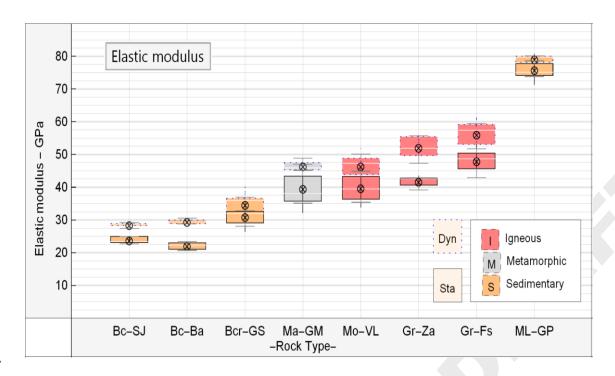
**Table 4.** Mechanical properties of the rock samples in the study: Bc-SJ: Biocalcarenite-San Julián; Bc-Ba: Biocalcarenite-Bateig; Bcr-GS: Biocalcirudite-Golden Shell; Ma-GM: Marble-Gris Macael; Mo-VL: Monzodiorite-Verde Labrador; Gr-Za: Granite-Zarzalejo; Gr-Fs: Granite-Alkaline; ML-GP: Micritic limestone-Gris Pulpis; μ: average value; σ: Standard Deviation.

Table 4 shows the mechanical properties obtained for the rocks in the study. Regarding the elastic moduli, in all cases the dynamic moduli were greater than the static moduli.

The highest absolute elastic modulus value was observed in the micritic limestone (ML-GP). The igneous rocks (Gr-Za, Mo-VL, Gr-Fs) and marble (Ma-GM) showed intermediate values, and the sedimentary rocks with bioclasts (Bc-Ba, Bcr-GS, Bc-SJ) showed the lowest values.

The greatest resistance to uniaxial compression was observed in the rock with the greatest elastic modulus (ML-GP). The rocks with bioclasts (Bc-Ba, Bcr-GS, Bc-SJ) showed the lowest compressive strength values, with the exception of Bc-Ba, which has an exceptionally high compressive strength for this type of rock. The igneous rocks (Gr-Za, Mo-VL, Gr-Fs) showed high or very high compressive strength, and the marble (Ma-GM) a moderate value.

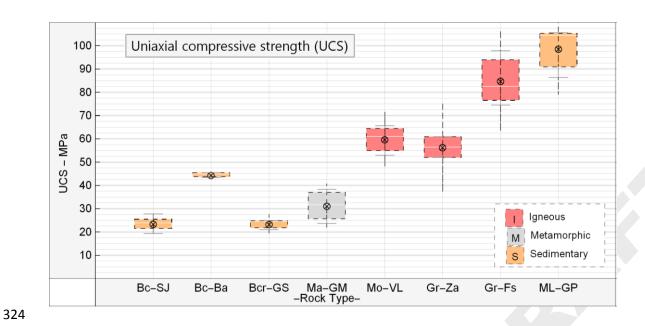
Figure 5 shows the dynamic (E<sub>dyn</sub>) and static (E<sub>st</sub>) elastic moduli obtained by ultrasonic wave propagation and mechanical testing respectively. In each type of rock the maximum and minimum values and the two central quartiles are shown in a box plot.



**Fig. 5.** Elastic modulus of the rocks in study: Bc-SJ: Biocalcarenite-San Julián; Bc-Ba: Biocalcarenite-Bateig; Bcr-GS: Biocalcirudite-Golden Shell; Ma-GM: Marble-Gris Macael; Mo-VL: Monzodiorite-Verde Labrador; Gr-Za: Granite-Zarzalejo; Gr-Fs: Granite-Alkaline; ML-GP: Micritic limestone-Gris Pulpis.

The bioclastic sedimentary rocks (Bc-Ba, Bcr-GS, Bc-SJ) were situated within the range of elastic moduli lower than 35 GPa, the igneous rocks (Gr-Za, Mo-VL, Gr-Fs) and marble (Ma-GM) show values in the 35-60 GPa range, and finally the micritic limestone (ML-GP) showed a static modulus of almost 80 GPa. It may be appreciated that in general the dispersion of dynamic modulus values was less than that of the static modulus values.

Figure 6 shows the uniaxial compressive strength values obtained for the samples in a box plot, as in the previous figures. The rocks with the lowest compressive strength were the sedimentary rocks with bioclasts (Bc-Ba; Bcr-GS; Bc-SJ), and additionally the marble (Ma-GM). The greatest compressive strength was observed in the micritic limestone (ML-GP). The intermediate values were observed in the igneous rocks (Gr-Za; *Mo-VL; Gr-Fs*).



**Fig. 6.** Uniaxial compressive strength (UCS) of the rocks in the study: Bc-SJ: Biocalcarenite-San Julián; Bc-Ba: Biocalcarenite-Bateig; Bcr-GS: Biocalcirudite-Golden Shell; Ma-GM: Marble-Gris Macael; Mo-VL: Monzodiorite-Verde Labrador; Gr-Za: Granite-Zarzalejo; Gr-Fs: Granite-Alkaline; ML-GP: Micritic limestone-Gris Pulpis.

## 5. DISCUSSION

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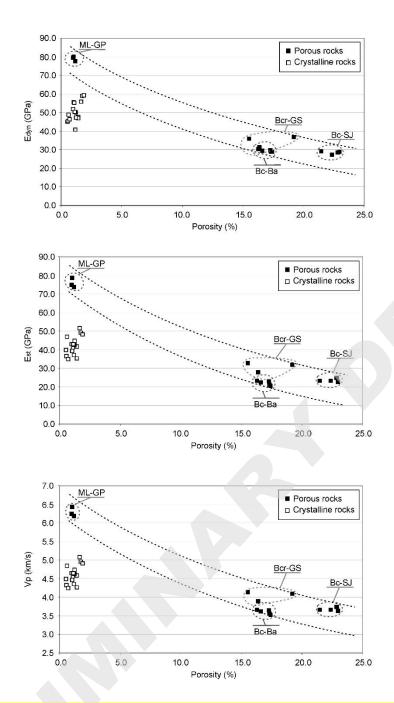
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5.1. Discussion

The mechanical properties obtained in this study show a clear dependence on petrography. All of the rocks showed an extremely homogenous texture (free from fractures, veins, stylotites, large cavities, etc.), which suggests that the petro-physical differences between the rocks were due to: i) mineralogical differences, ii) differences in grain/crystal size, iii) differences in porosity, iv) differences in pore size. Indeed, the differences between static and dynamic modules are explained by different authors as being due to the presence of fractures, cracks, cavities and planes of weakness and foliation (Al-Shayea 2004; Guéguen and Palciauskas 1994). In general, the more discontinuities in the rock, the lower the Young's modulus value and the higher the discrepancy between the static and dynamic values. The petrographic parameter which most influenced the mechanical behaviour of the rocks in the study was porosity. Figure 7 shows the relationship between  $E_{dyn}$ ,  $E_{st}$ , Vp and porosity. All the graphs show a similar trend. It is possible to observe two sample groups: the porous rocks group (Bc-Ba; Bcr-GS; Bc-SJ; and ML-GP) and the crystalline rocks group (Ma-GM; Mo-VL; Gr-Za; and Gr-Fs). Both the percentage of pores contained with a rock and the porosity type (pores or microcracks) have a great influence on its stiffness. The trend observed in porous rocks was that the static elastic modulus was inversely proportional to porosity. Consequentially, the micritic limestone (ML-GP), which had the lowest porosity, showed the greatest static modulus. This agrees with previous results obtained for rocks with differing porosity and porosity type (Garcíadel-Cura et al. 2012). According to their porosity, crystalline rocks present lower values of  $E_{\text{dyn}}$ , Est and Vp than those expected. This is due to the fact that their porous system is constituted by a dense microcrack net. Several authors prove that this type of porosity has a potentially great influence on the statically and dynamically measured values of Young's modulus (Heap et al., **2014)**.

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**Fig. 7.** Graphs showing the relationship between porosity and the dynamic Young's modulus  $(E_{dyn})$  (upper graph); static Young's modulus  $(E_{st})$  (middle graph) and P-wave propagation velocity (Vp) (lower graph).

Porosity also had the greatest influence on the ultrasonic wave propagation velocity (Fig. 7). The trend showed in this graph is the same that those described for the relationship E<sub>st</sub>-porosity. Propagation velocity in porous rocks was inversely proportional to porosity, owing to the dispersion and slight delay that an ultrasonic wave experiences when passing between a solid

medium (base rock) and a fluid phase (pore) (Assefa et al. 2003; Benson et al. 2005; Martinez-Martinez et al. 2011; Vergara et al. 2001). The crystalline rocks (igneous rocks and marble) are all of them included in the same cluster in the corresponding graph of Fig. 7. This is due to the fact that these rocks show very slight differences in their porous content and they present the same type of porosity (intercrystalline). These porous are gaps between the constitutive elements of the rock (crystals) and P-wave can not be propagated through the material avoiding these gaps. Crystalline rocks act as non-continuous solid, while porous rocks with interparticle porosity act as a more continuous solid due to the presence of cements and matrix between grains. The consequence is Vp values much lower in crystalline rocks than those obtained for porous rocks with similar porous content. As the dynamic elastic modulus was obtained from the propagation velocity and density of the rock (as per Equation (2)), E<sub>dyn</sub> present the same trends and the same relationship with porosity than that describes for Vp. It was observed that mineralogy had a much lesser influence on the elastic modulus of the rocks in the study. A clear example may be seen in Figure 4. The calcitic marble (Ma-GM) and the igneous rock MO-VL are two kinds of crystalline rocks with similar porous system characteristics but their mineralogy are completely different. However, they give the same range of Edyn and Est values. This has been corroborated by numerous previous studies, in which the mineralogy of rocks was shown to have little influence on their elastic behaviour, when compared to that of other factors such as porosity, the presence of fissures or crystal size (Heap and Faulkner 2008; Palchik and Hatzor 2002). <mark>Moreover, only slight differences exist between the specific P-wave velocity of</mark> the rock forming minerals of the studied rocks (Vpcalcite= 6.65 km/s; Vpquartz=6.06 km/s; Vpcaplagioclase = 7.05 km/s; Vpκ-feldespar = 5.59 km/s; according to Guéguen and Palciauskas (1994). As a consequence, no significant differences in Vp neither in Edyn can be explained based on the different mineralogy between carbonate and no-carbonate rocks. As such, porosity was practically the only petrographic parameter that influenced the elastic modulus (both static and dynamic). This simple and direct relationship between porosity and elastic modulus (Edyn or Est) explains the correlation between the static and dynamic modulus observed in this study. The k-values obtained in this study according to eq. (1) vary from 1.02 to 1.42 (Figure 6). Other authors obtained values between 0.85 and 1.86 (Al-Shayea 2004; Eissa and Kazi 1988; King

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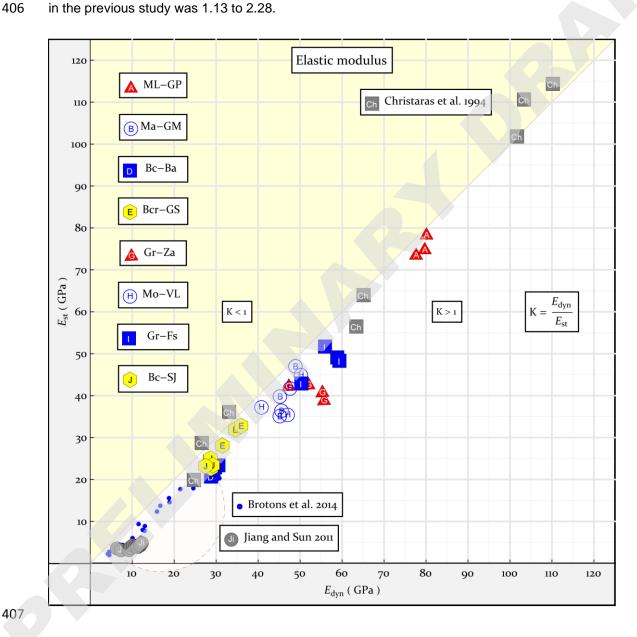
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1983; Vanheerden 1987). Martínez-Martínez et al. (2012) found k-values between 0.5 and 2.1 for carbonate rocks subjected to different aging conditions. These k-values tended to 1 in samples with the greatest elastic modulus values (>80 GPa). In the lowest range of elastic modulus values, the rate of increase in *k* diminished, proportional to the decrease in elastic modulus (see Fig. 8). Figure 8 shows the dynamic and static modulus values (X and Y axis, respectively) obtained for the 33 samples tested as part of this study, as well as those of 24 samples with dynamic moduli lower than 30 GPa, tested as part of a previous study (Brotons et al. 2014). The range of *k* values in the previous study was 1.13 to 2.28.



**Fig. 8 -** Static and dynamic elastic modulus of samples: Blue points correspond to the samples tested in a previous study. ML-GP: Micritic limestone-Gris Pulpis; Ma-GM: Marble-Gris Macael; Bc-Ba: Biocalcarenite-Bateig; Bcr-GS: Biocalcirudite-Golden Shell; Gr-Za: Granite-Zarzalejo; Mo-

VL: Monzodiorite-Verde Labrador; Gr-Fs: Granite-Alkaline; Bc-SJ: Biocalcarenite-San Julián;  $E_{st}$ : static modulus;  $E_{dyn}$ : dynamic modulus.

Although the values were not adjusted using the regression parameters obtained in this study, data corresponding to 12 samples tested as part of a study by Jiang and Sun (2011) has been included in Figures 8 and 9. The rock considered was a sandstone with a range of dynamic modulus values of 6-12 GPa. The range of k values was 1.79-2.55, and an increase in k in samples with a lower dynamic modulus was also observed. The authors of this study did not perform any regression analysis of their data, given the minimal variation observed. Data from a study by Christaras et al. (1994), corresponding to 8 different rock types with elastic moduli in the 25-115 GPa range is also included (shown as Ch in Figures 8 and 9), although this data was not used in the regression analysis performed as part of this study. The regression line proposed by these authors is included in Figure 1.

## 5.2. Fitting of data to classic models

- This study builds upon the experience of previous work (Brotons et al. 2014), in order to fit the data obtained to classical models. The data obtained from the 33 samples tested as part of this study, as well as that of 24 samples tested as part of a previous study by the authors (Brotons et al. 2014), was fitted to two types of regression equations. These were:
- 430 a) Type I linear regression (see Table 2), between the static and dynamic modulus, giving R2=0.97:

$$E_{\rm st} = 0.932 E_{\rm dvn} - 3.421 \tag{16}$$

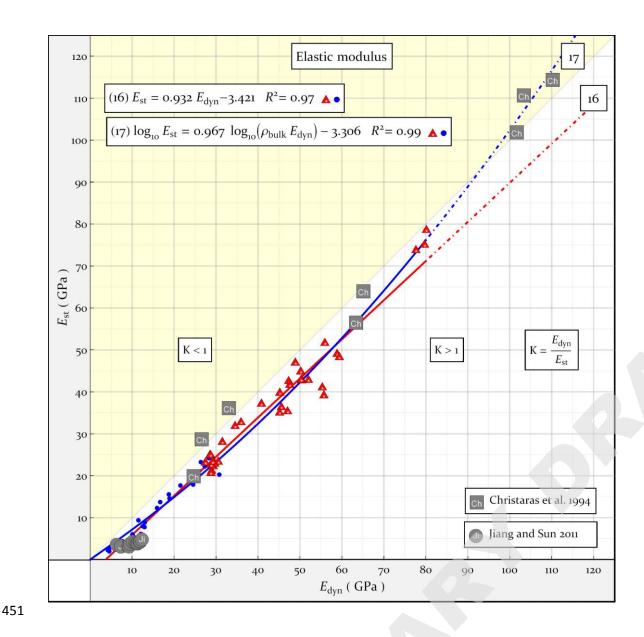
b) Type IV non-linear regression (see Table 2), relating the logarithm of the static modulus and the logarithm of the product of dynamic modulus and apparent density, according to the model established by Eissa and Kazi (1988), which gave an adjusted value of R<sup>2</sup>=0.99

$$\log_{10} E_{\rm st} = 0.967 \, \log_{10} \left( \rho_{\rm bulk} \, E_{\rm dyn} \right) - 3.306 \tag{17}$$

Although the coefficient of determination does not vary greatly between both equations, in addition to the higher R-squared value, the non-linear regression fits better to the observed data in that k quickly tends to 1 for modulus values greater than 80 GPa. This expression allows the static

modulus to be calculated from the dynamic modulus in a great variety of rocks. Figure 9 shows a plot of Eqs. (16) and (17), together with the data considered. Although they were not used as part of the regression analysis in this study, the data from the other two authors mentioned previously (Christaras et al. 1994; Jiang and Sun 2011) has also been included.

It should be noted once more that the data used in the regression analysis corresponds to the samples tested in this study (33 samples), plus the data obtained by the authors as part of a previous study (Brotons et al. 2014). This means that the data that was fitted only contained elastic modulus values below 80 GPa. However, when Eq. (17) is extrapolated for higher values, it is coherent with the observations made by Christaras et al. (1994) for samples with a range of moduli of up to 120 GPa. The discontinuous lines in Figure 9 show the extrapolation of Eqs. (16) y (17) beyond the values used for the data fitting.



**Fig. 9 -** Experimental data and fitting curves obtained by regression (Eqs. 16-17). E<sub>st</sub>: static modulus. E<sub>dyn</sub>:dynamic modulus

It should be noted that the non-linear fitting curve shows that the rate of increase in k diminishes as the elastic modulus values decrease in the lower part of the graph (see Figure 9). This trend cannot be conclusively explained by observing the dispersion of data in the range of values below 10-12 GPa. However, the dispersion of k values in this type of rocks of very low elastic modulus is inevitable, given that these are generally very porous and heterogeneous, which results in a certain degree of randomness and experimental noise in both static and dynamic measurements. In any case, the trend shown by Eq. (17) is supported by the data obtained for low values above 12 GPa.

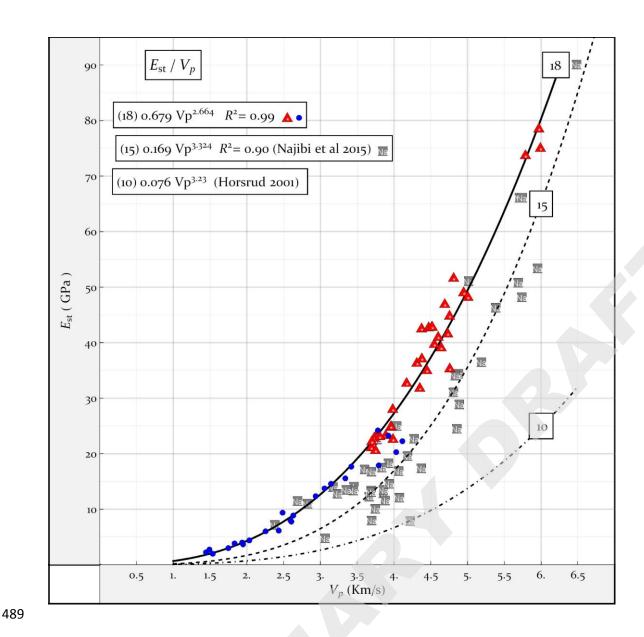
At the other extreme, considering compact rocks with a low porosity and high elastic modulus (>80 GPa), the rapid convergence of k to 1 can be explained by the fact that the rocks' compactness means they are highly continuous, meaning that their behaviour is similar to the ideal medium (i.e. continuous, elastic and homogenous) for which the equations relating the elastic modulus to ultrasonic wave velocity were derived. In the very low range of moduli (i.e.  $E_{st}<10$  GPa), the reduction in the rate of increase of k with the reduction of elastic modulus values can be explained by the characteristics of waves being applied (54-250 kHz). Wave propagation can be drastically altered in deteriorated or very porous materials (hence with a low elastic modulus), which results in their characteristics being reflected more accurately.

For intermediate values (i.e.  $20 \text{ GPa} < E_{st} < 80 \text{ GPa}$ ), the rocks are not similar to an ideal medium, and so values obtained analytically from ultrasonic wave propagation velocities do not reflect the modulus measured in static tests. In addition, they are not deteriorated sufficiently such that the propagation of waves is greatly affected by the material's porosity. As such, in these conditions the ultrasonic wave propagation velocity does not reflect the mechanical properties of the rock in the same way as static testing.

When considering P wave velocity, a type V equation (see Table 2) was used, giving an equation with a high R-squared value (R<sup>2</sup>=0.99):

$$E_{\rm st} = 0.679 \, V_{\rm p}^{2.664} \tag{18}$$

The advantage of this method lies in the ease of obtaining the  $V_p$  parameter, which hence allows the static elastic modulus of rocks to be estimated quickly and reliably. Figure 10 shows the curves corresponding to Eqs. 10-15 and 18 together with the data used in fitting each of the latter two equations. It should be noted that the equation proposed by Najibi et al. (2015) was obtained using test data from 45 limestone core specimens. As such, due to the mineralogy of the samples being the same, the difference in elastic modulus and P wave velocity are exclusively as a result of differences in the distribution and size of pores and fissures.



**Fig. 10-** Experimental data fitting curve obtained by regression (Eqs. 18) and from a previous study (Eqs. 10-15). E<sub>st</sub>: static modulus. V<sub>p</sub>: P-wave velocity

The data presented by (Horsrud 2001) was obtained from shale core samples, mainly from the North Sea, which implies that the rocks had a very specific mineralogy characterised by a preponderance of laminar minerals. Additionally, the field cores that were tested were all well preserved such that loss of pore water after coring was prevented, meaning that the rock was tested with its original moisture content. These reasons explain the differences observed in this curve.

# 5.3. Proposed new models

It should firstly be noted that the type IV equation shown in Table 2 is equivalent to an exponential form.

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$$\log_{10}[E_{\rm st}] = a \log_{10}[\rho E_{\rm dyn}] + b \approx E_{\rm st} = c (\rho E_{\rm dyn})^a \quad where \quad c = 10^b$$
 (19)

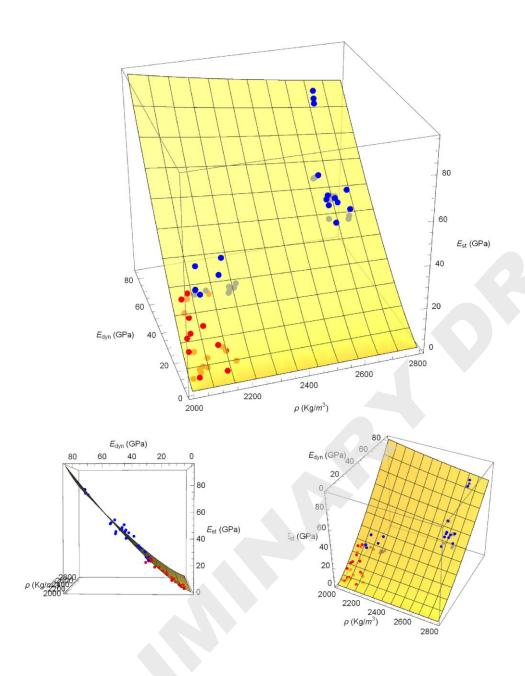
Using this form, and introducing new parameters and independent variables taken from the rocks in the study, three new models are proposed. These are listed in Table 5, in ascending order of complexity.

Eq.	Relationship	R <sup>2</sup>	SSE
(20)	$E_{\rm st} = 11.531  \rho_{\rm bulk}^{-0.457} E_{\rm dyn}^{1.251}$	0.993	362.66
(21)	$E_{\rm st} = 3.97 \ 10^6 \ \rho_{\rm bulk}^{-2.090} E_{\rm dyn}^{1.287} \ n^{-0.116}$	0.994	332.16
(22)	$E_{\rm st} = 4.71 \ 10^6 \ \rho_{\rm bulk}^{-2.100} E_{\rm dyn}^{1.232} \ n^{-0.129} \ \sigma_c^{0.035}$	0.996	190.27

**Table 5.** Proposed new correlation models:  $E_{st}$ : static modulus (GPa);  $E_{dyn}$ : dynamic modulus (GPa);  $\rho_{bulk}$ : bulk density (Kg/m³); n: total porosity (%);  $\sigma_c$ : uniaxial compressive strength (MPa); SSE: sum of squared prediction errors.

It may be observed that the increasing level of complexity leads to an improved goodness of fit.

Figure 11 shows the fitting surface defined by Eq.20, together with the data used for fitting.



**Fig. 11.** Experimental data and fitting surface obtained by regression (Eq. 20).  $E_{st}$ : static modulus.  $E_{dyn}$ : dynamic modulus;  $\rho$ : bulk density.

In a real situation where it is necessary to determine the elastic modulus using ultrasound testing, the criteria for choosing between models would depend on the number of variables for which data is available in each case.

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## 1. CONCLUSIONS

In this study, intact rock samples were analysed for their use as structural or ornamental building materials. None of the samples showed macro-fissures or cracks that could have affected static deformability tests or the propagation of ultrasonic waves. The different response of the samples to static and dynamic testing was essentially due to porosity regarding both porous content and type (intercrystalline or interparticle). In general, high porosity values (>10%) are associated with rocks with low elastic modulus values (<20 GPa) and low apparent density values (<2.2 g/cm<sup>3</sup>). In addition, rocks with a high elastic modulus (>80 GPa) show the opposite, i.e. low porosity (<5%) and relatively high apparent density (>2.6g/cm<sup>3</sup>). Numerous authors assert that the ratio between elastic moduli ( $k=E_{dvn}/E_{sa}$ ) tends to unity in very stiff and compact rocks, and increases as stiffness and compactness decrease. This is explained by the fact that the presence of voids in the material affects the propagation of ultrasonic waves to a lesser extent than it affects the material's deformability under static loading, meaning that the results obtained from the two techniques diverge. However, it should be noted that the results can be affected by other factors in addition to porosity, as the existence of nonporous materials with k values of up to 1.75 demonstrates (Kolesnikov 2009). In rocks, the mineralogical and crystalline nature of the material must also be considered, although it should be noted that fissures and pores do have an important influence. As such, when seeking to obtain a valid relationship between the static and dynamic elastic modulus, valid for a wide variety of rocks (including a large range of elastic modulus and porosity values, as well as rock characteristics), it is unreasonable to expect a linear relationship dependent on one parameter to give the best results. Consequentially, it becomes necessary to consider another parameter which varies with the stiffness of the rock. Eq. (17) considers the apparent density as an additional variable, indirectly accounting for variations in the porosity and

petrographic nature of the rock being considered. This model was proposed by Eissa and Kazi

(1988) and was used in a previous study by the authors (Brotons et al. 2014). The previous study considered rocks with an elastic modulus lower than 30 GPa. The small range of elastic moduli considered meant that the non-linear regression model considered gave few advantages over the linear model. In this study, Eq. (17) gave a valid fit for the whole range of moduli considered experimentally (4-80 GPa) and also for data presented by other authors (Christaras et al. 1994) for values up to 120GPa. This allowed the static elastic modulus to be obtained for various different types of rock, using non-destructive testing.

Using only one parameter, P-wave velocity, but a non-linear regression model, a goodness of fit similar to Eq. (17) may be obtained with Eq (18). Unfortunately in this case it was not possible to validate this equation for static modulus values greater than 80 GPa.

For very high static modulus values (>80 GPa), in which linear models cannot reproduce the convergence of k back towards unity as the elastic modulus increases, Eq. (17) and Eq. (18) do reflect this behaviour.

As such, it may be concluded that using the models proposed in this study, the static modulus of elasticity may be reliably derived from the dynamic modulus. This is a great advantage as it allows the process of extracting samples and destructively testing them to be substituted for *in-situ* non-destructive tests. However, it should be noted that the empirical equations presented here, might not work for rocks with more complicated microstructures (cracks, weathering, etc.).

It is hence possible, with the aforementioned limitations, to estimate the expected deformability of a material and its actual condition in structures, with a view to assessing the need for maintenance or architectural intervention in buildings or monuments of historical or heritage interest.

#### Acknowledgements

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