This paper should be cited as: Liu, X., Zhao, C., Zhang, Q., Yin, Y., Lu, Z., Samsonov, S., Yang, C., Wang, M. & Tomás, R. 2021. Three-dimensional and long-term landslide displacement estimation by fusing C- and L-band SAR observations: A case study in Gongjue County, Tibet, China. Remote Sensing of Environment, **267**, 112745, doi: https://doi.org/10.1016/j.rse.2021.112745.

1	Three-dimensional and long-term landslide displacement
2	estimation by fusing C- and L-band SAR observations: A case
3	study in Gongjue County, Tibet, China
4	Xiaojie Liu ^{a, b} , Chaoying Zhao ^{a, c, d *} , Qin Zhang ^{a, c, d} , Yueping Yin ^e , Zhong Lu ^f , Sergey Samsonov
5	^g , Chengsheng Yang ^a , Meng Wang ^h , Roberto Tomás ^b
6	^a School of Geological Engineering and Geomatics, Chang'an University, Xi'an 710054, China
7	^b Department of Civil Engineering, University of Alicante, Alicante 03080, Spain
8	^c Key Laboratory of Western China's Mineral Resources and Geological Engineering, Ministry of Education, Xi'an
9	710054, China
10	^d State Key Laboratory of Geo-Information Engineering, Xi'an 710054, China
11	^e China Institute of Geo-environment Monitoring, Beijing 10081, China
12	^f Roy M. Huffington Department of Earth Sciences, Southern Methodist University, Dallas, TX 75275, USA
13	^g Natural Resources Canada, 560 Rochester Street, Ottawa, ON K1A0E4, Canada
14	^h Sichuan Geological Survey, Chengdu 610081, China
15	* Correspondence: cyzhao@chd.edu.cn; Tel.: +86-29-8233-9251
16	Abstract
17	Recently, a large number of synthetic aperture radar (SAR) images has been introduced into landslide
18	investigations with the growing launch of new SAR satellites, such as ALOS/PALSAR-2 and Sentinel-1.
19	Therefore, it is appropriate to develop new approaches to retrieve three-dimensional (3D) displacements and
20	long-term (> 10 years) displacement time series to investigate the spatio-temporal evolution and creep behavior
21	of landslides. In this study, a new approach for the estimation of 3D and long-term displacement time series of
22	landslides, based on the fusion of C- and L-band SAR observations, is presented. This method is applied to map
23	3D and long-term displacements (nearly 12 years) of the landslides in Gongjue County, Tibet in China; four
24	sets of SAR images from different platforms (i.e., L-band ascending ALOS/PALSAR-1, C-band descending

25 ENVISAT, and C-band ascending and descending Sentinel-1 SAR datasets) covering the period of January 26 2007 to November 2018 were collected and exploited. First, the assumption that the landslide moves parallel to 27 its ground surface is used to produce 3D displacement rates and time series by fusing ascending and descending 28 Sentinel-1 SAR images, from which the optimal sliding direction for each pixel of the slope is well estimated. 29 Then, the long-term displacement time-series of the landslide between January 2007 and October 2018 in the 30 estimated sliding direction is recovered by fusing L-band ALOS/PALSAR-1 and C-band Sentinel-1 SAR 31 images. In order to fill the time gap of nearly four years between ALOS/PALSAR-1 and Sentinel-1 SAR images, 32 the Tikhonov regularization (TR) method is developed to establish the observational equation. Moreover, to 33 solve the problem arising from ALOS/PALSAR-1 and Sentinel-1 images with different wavelengths, incidence 34 angles and flight directions, the measurements from ALOS/PALSAR-1 and Sentinel-1 images are both 35 projected to the estimated optimal sliding direction to achieve a unified displacement datum. Our results from 36 ascending and descending Sentinel-1 images suggest that the maximum displacement rates of the study area in 37 the vertical and east-west directions from December 2016 to October 2018 were greater than 70 and 80 38 mm/year, respectively, and 2D displacement results reveal that the displacement patterns and movement 39 characteristics of all the detected landslides are not identical in the study area. Specifically, the 3D displacement 40 results successfully revealed the spatiotemporal displacement patterns and movement direction of each block 41 of the Shadong landslide, and long-term displacement time series showed for the first time that the maximum 42 cumulative displacement exceeds 1.3 m from January 2007 to October 2018. Moreover, the kinematic evolution 43 and possible driving factors of landslides were investigated using 2D and 3D and long-term displacement 44 results, coupled with hydrological factors and unidimensional constitutive models of the rocks.

45 *Keywords:* Landslide; Jinsha River; Tibet; InSAR; 3D displacements; Long-term displacement time series

46 1 Introduction

47 Landslides are a major natural geological hazard in many areas of the world. During the last few decades, 48 significant economic losses and fatalities have been caused by landslide hazards worldwide (Froude and Petley, 49 2018; Lin et al., 2018). More recently, the frequencies and magnitudes of landslide occurrences have increased 50 greatly owing to the influence of global extreme climate and intensive anthropogenic activities (Piciullo et al., 51 2018). The detection and monitoring of unstable slopes play a crucial role in the management and early warning 52 of geohazards (Dai et al., 2020). Interferometric synthetic aperture radar (InSAR) enables the measurement of 53 surface displacement over wide areas, with precisions of centimeter to sub-centimeter scales. This has been 54 widely used to determine the location of landslides over large areas and to monitor the temporal activities of landslides in specific regions (Dong et al., 2018; Herrera et al., 2013; Hu et al., 2020; Shi et al., 2019). In
particular, InSAR-derived displacement information can be used to investigate the mechanisms of landslides,
including landslide types (Burrows et al., 2019), triggering factors (Chen et al., 2020), failure modes (Eriksen
et al., 2017; Kang et al., 2017), depth and volume estimation, and risk assessment (Hu et al., 2016, 2018; Intrieri
et al., 2020).

60 However, most related studies (Hu et al., 2016; Shi et al., 2020; Wasowski et al., 2020) have characterized 61 such landslide displacements only in the one-dimensional line-of-sight (LOS) direction, owing to the limitations 62 of the SAR imaging geometry and single SAR platform. As a consequence, several challenges have arisen for 63 detailed landslide investigations for the following reasons: (1) it is impossible to map landslide movement 64 orthogonal to the LOS direction (Eriksen et al., 2017), thus causing the omissions of that direction for landslide 65 detection; (2) it is difficult to analyze the dynamics and mechanisms of landslide displacement in complex 66 situations (Samsonov et al., 2020); (3) it is inaccurate to map the boundary of landslides and to invert the depth 67 and volume of unstable slopes. In contrast, spatio-temporal three-dimensional (3D) displacements can provide 68 insights on the landslide mechanisms, which can particularly benefit landslide forecasting and risk management 69 (Hu et al., 2018, 2019). To date, different strategies have been explored to retrieve 3D surface displacements 70 from InSAR observations (Wright et al., 2004; Raucoules et al., 2013; Hu et al., 2014a; Wang and Jonsson, 71 2015); these strategies are typically used to measure large-gradient displacement caused by geomorphological 72 processes such as glacier movement (Hu et al., 2014b), fast-moving landslides (Li et al., 2019; Raucoules et al., 73 2013; Shi et al., 2018), volcanic activity (Jo et al., 2017; Schaefer et al., 2019), and earthquakes (He et al., 2019). 74 However, there are few studies on the 3D displacement estimation of slow-moving landslides (Sun et al., 2016; 75 Eriksen et al., 2017; Ao et al., 2019), particularly for 3D time-series displacement estimation.

76 In general, landslides experience three stages from initiation to failure, including primary creep, steady-77 state creep, and accelerating creep (Aydan et al., 2014; Intrieri et al., 2019); the entire process can last from 78 months to several decades. It is of great significance to investigate the kinematic evolution and creep behavior 79 of landslides to assess the long-term stability of slope and forecast the time of its failure (Aydan et al., 2014). 80 Therefore, it is necessary to recover the long-term (i.e., longer than 10 years) displacement time series of some 81 known specific landslides. However, different SAR satellites operate at different periods with distinctive 82 imaging geometries (i.e., incidence angle and flight direction) and wavelengths. Thus, it is necessary to develop 83 a new InSAR approach to retrieve long-term displacement time series of landslides by fusing multi-platform 84 SAR observations. To this end, there are two challenging issues that need to be addressed: the first is to link 85 SAR acquisitions from different platforms without overlap in the time domain, and the second is to determine

86 the optimal movement direction of the landslide to which the LOS measurements from different SAR platforms 87 can be transformed. Several researchers have explored the first issue in terms of vertical land subsidence 88 monitoring; for example, Pepe et al. (2016a) used a time-dependent geotechnical model to obtain preliminary 89 information to realize the combination of ENVISAT and COSMO-SkyMed SAR images. However, the 90 displacement of landslides is much more complicated than the vertically dominated land subsidence; thus, there 91 are no previously published studies in which the time-gapped InSAR displacement time series from different 92 SAR platforms are linked in a common direction (e.g., sliding direction of slope). For the second issue, the ideal 93 solution is to define the unique and physical sounding movement direction of the slope. The mean slope angle 94 and aspect derived from digital elevation models (DEMs) was regarded as the overall sliding direction of a 95 landslide in previous studies (e.g., Kang et al., 2017), without considering the sliding direction for each block 96 or pixel of the landslide. Moreover, geologists have demonstrated that the sliding direction of the landslide 97 varies along with displacement evolution (Lu, 2015).

98 The main objective of this study was to propose a new InSAR-based approach to investigate landslide 99 characteristics, with threefold research outcomes, producing: (1) 3D and long-term time series displacement 100 monitoring, (2) interpretation of kinematic evolution and displacement characteristics, and (3) determination of 101 the creep behaviours and possible driving factors of landslides. The proposed method was used to characterize 102 the landslides over Gongjue County, Tibet, China, using C- and L-band SAR images from three different 103 platforms (i.e., C-band ENVISAT, L-band ALOS/PALSAR-1, and C-band Sentinel-1) that were acquired from 104 January 2007 to November 2018. The study area is situated on the southeast edge of the Qinghai-Tibet Plateau, 105 where a series of large-scale ancient landslides are placed as a result of the coupling effects of the complex 106 geological settings, high annual precipitation, and river erosion (Lu et al., 2019; Li et al., 2021). First, active 107 landslides were detected and mapped using the ALOS/PALSAR-1, ENVISAT, and Sentinel-1 SAR images. 108 Second, the 2D displacement rates and time series of all detected landslides were estimated by the fusion of 109 ascending and descending Sentinel-1 SAR images. Then, 3D displacement rates and time series were calculated 110 for one translational landslide, i.e., the Shadong landslide. Evidence from field geological exploration (Li et al., 111 2021) illustrated that the Shadong landslide is a giant ancient landslide with characteristic of translational 112 movement. Next, the long-term (nearly 12 years) displacement time series of the Shadong landslide in the 113 sliding direction was retrieved by fusing all three SAR datasets. Finally, the displacement characteristics, 114 kinematic evolution, creep behaviors and possible driving factors of the landslides were analyzed and 115 determined.

117 **2.1** Study area

118 The study area is situated on the right bank of the Jinsha River, Gongjue County, Tibet, China (Fig. 1), 119 and has an area of approximately 176 km². It belongs to the southeast edge of the Qinghai-Tibet Plateau, with 120 steep topography and complex geological conditions as a result of the rapid uplift of the Qinghai-Tibet Plateau 121 (Wang et al., 2000; Li et al., 2006). The elevation in most parts of the study area is higher than 3000 m a.s.l. 122 reaching more than 4000 m a.s.l. in some regions (Fig. 1). Valleys feature strong "V"-shaped topography due 123 to violent river downward cutting and the rapid uplifting of the Qinghai-Tibet Plateau. The height differences 124 range from 500 to 2000 m, resulting in slope angles of greater than 25° in most slopes. The climate belongs to 125 the continental plateau monsoon, and rainfall is concentrated in the summer each year. The annual average 126 temperature and precipitation are approximately 6.5 °C and 480 mm, respectively. Strong physical weathering 127 on the surface of slope materials has occurred owing to the influence of the climate.

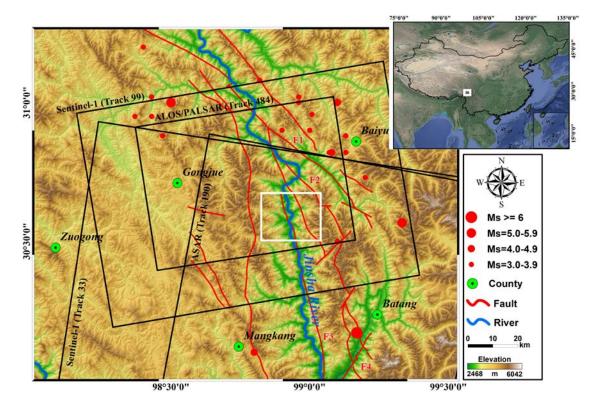


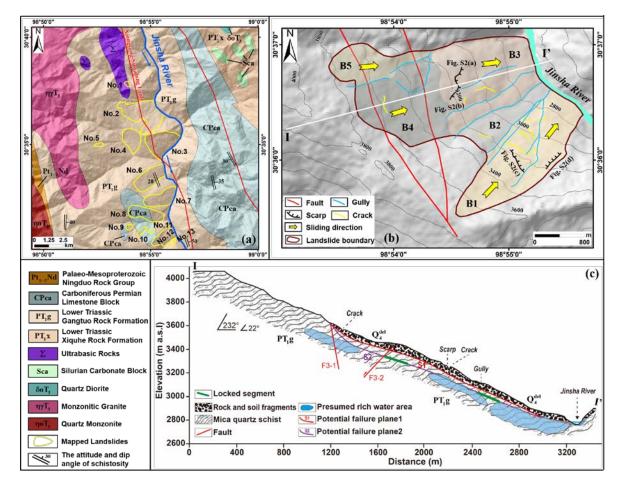


Fig. 1. Location of the study area and coverage of the synthetic aperture radar (SAR) images, with SRTM DEM as the base map. The white and black rectangles represent the study area and the coverage of the SAR images, respectively, and the red dots are the earthquakes that occurred in the study area and vicinity during the period of 1954 to 2019. The red lines are the faults modified from Li et al., 2021, where F1: Jinsha River East Fault; F2: Jinsha River Main Fault; F3: Xiongsong-Suwalong Fault; and F4: Batang Fault.

134 The geological map with the scale of 1: 250000 in the study area is presented in Fig. 2(a). The outcrops 135 are composed of Paleo-Mesoproterozoic, Lower Triassic, Carboniferous–Permian, Silurian, and Late Triassic

strata (Fig. 2). They mainly include plagiogneiss ($Pt_{1-2}Nd$), mica quartz schist (Pt_1g), basalt (Pt_1x), limestone (CPca), carbonate (Sca), quartz diorite (δoT_3), monzonitic granite ($\eta \gamma T_3$), quartz monzonite (ηoT_3), and ultrabasic rocks (Σ). The attitude and dip angle of schistosity in the study area greatly vary as the influence of tectonic movements, mainly ranging from 17 to 50°. The tectonic setting is conditioned by a series of NW-trend faults (Li et al., 2021); significant among them are the Jinsha River (F1, F2 and F3 marked in Fig. 1) and Batang faults (F4 marked in Fig. 1) (Chen et al., 2013), thus resulting in frequent seismic activities. There have been approximately 22 earthquakes of $M_W \ge 3.0$ in the study area and its surroundings since 1954, including three

143 stronger earthquakes greater than Mw = 5.0, which occurred in 1954, 1979, and 1989.



144

Fig. 2. (a) Geological setting of the study area, with the scale of 1: 250000. The name of the labeled landslides (i.e., No.1 ~ No.13) is listed in Table 2, and the red lines indicate the faults. (b) Shaded relief map of the Shadong landslide, labeled as No.2 in (a). The polygons with different colors represent five blocks (B1-B5) of the landslide. (c) Geological cross section along the Profile I-I' marked in (b), adapted from Li et al., 2021.

149 The complex geological settings, tectonic movements, high annual precipitation, and river erosion and 150 human activities work together to lead to the extensive distribution and strong activity of large-scale landslides 151 in the study area (Ma et al., 2004; Li et al., 2021). The lithology of the stratum provides favorable geological 152 conditions for the occurrence of landslides, and it is an inherent factor in the formation of landslides. We can see from Fig. 2(a) that the landslides are basically distributed in the Gangtuo Rock Formation (PT_1g) of Lower 153 154 Triassic. This kind of formation belongs to the weaker rock mass and is prone to slide under the action of shear 155 stress (Li et al., 2021). Generally, rock masses are more likely to fracture in active tectonic zones, and landslide 156 susceptibility directly correlates with the distribution and activity of faults (Guo et al., 2015). It should be 157 mentioned that the Xiongsong-Suwalong fault passes through the Shadong and Sela landslides (No.2 and No.3 158 labeled in Fig. 2(a)). Heavy rainfall in the summer can lead to the decrease of shear strength of the soil due to 159 the rise of the river water level and water infiltration favoured by the existence of cracks, thus driving the 160 landslide movements. Remote sensing images show that the foot of most of the landslides intersects the Jinsha 161 River. The stress of the foot of the slopes can be changed by the intense scouring and erosion of the Jinsha 162 River; additionally, the variations in the Jinsha River water level can alter the shear strength of slope material, 163 thus generating large-scale pull-type landslides (Lacroix et al., 2020; Li et al., 2021). Landslide hazards greatly 164 endanger the safety of the cities and towns as well as the traffic lines in this area. The landslides could block 165 the Jinsha River when the rupture occurs, thereby also threatening the normal operation of hydropower stations. 166 Previous studies have mapped the distribution of landslides in this area using InSAR and optical remote sensing 167 methods (Lu et al., 2019). However, complete investigations of the landslides in terms of 3D displacements, 168 kinematic evolution, and creep behaviours are absent.

169 Among the distributed landslides, field survey (Li et al., 2021) and optical image from unmanned aerial 170 vehicle (UAV) measurement (Fig. S1(a)) show that the Shadong landslide (Fig. 2(b)) is a giant ancient landslide, 171 with an area of approximately 5.33 km². From the optical image and shaded relief map shown in Figs. S1 (c) 172 and (d), the severe collapse can be evidently seen at the front edge of the landslide, as a result of the erosion of 173 the Jinsha River. Additionally, field geological survey showed that several large scarps and cracks have been 174 developed on the slope surface (Figs. 2(b) and S2), the height of the scarps ranges from 0.5 to 3.0 m, and the 175 width of the cracks ranges from 5 to 150 cm (Li et al., 2021). Based on the geomorphological analysis (Fig. 176 S1(b)), in conjunction with the developments of the gullies, the entire landslide can be divided into five different 177 blocks as shown in different colors in Fig. 2(b). Geomorphic features and slope aspect derived from UAV DEM 178 indicate that these blocks have different sliding directions (Figs. S1 and 2(a)), among which blocks B1, B2 and 179 B4 are moving toward the northeast direction, and blocks B3 and B5 are moving toward the east direction. 180 Moreover, two secondary sliding regions R1 and R2 (Figs. S1(a) and (b)) were found in blocks B1 and B3 181 respectively, by visual interpretation of UAV image. From the optical image and shaded relief map shown in 182 Figs. S1(e) and (f), we can clearly see that there have been developed two large cracks (yellow arrows in Figs.

183 S1(e) and (f)) and a scarp (red arrows in Figs. S1(e) and (f)) at the both sides and head of the Region R1, respectively. The landslide is mainly composed of rock and soil fragments (Q_4^{del}) and mica quartz schist (PT_1g) 184 (Fig. 2(c)). The attitude of the bedrock is $190 \sim 256^{\circ} \angle 17 \sim 37^{\circ}$ (Li et al., 2021). The Xiongsong-Suwalong 185 186 fault, a branch of the Jinsha River fault zone, passes through the middle and back sections of the landslide in 187 the NNW direction (Figs. 2(b) and (c)). Field geological exploration revealed that the landslide is a translational 188 slide according to Cruden and Varnes (1996) classification, with two potential failure planes (Li et al., 2021), 189 i.e., S1 and S2 marked in Fig. 2(c). The first failure plane (S1) with a depth of 51 ~ 56 m, corresponds to a 190 landslide volume of $2.67 \times 10^8 \sim 2.88 \times 10^8 \text{ m}^3$; and the second failure plane (S2) with a depth of 101 ~ 115 m, corresponds to a landslide volume of $5.28 \times 10^8 \sim 6.02 \times 10^8$ m³. In addition, field geological exploration found 191 192 that there are two major locked segments in the middle of the Shadong landslide that control the deep-seated 193 stability of the landslide (Li et al., 2021), as shown by the green lines in Fig. 2(c).

194 2.2 Datasets

195 To demonstrate the proposed approach and investigate the detailed landslide characteristics, 165 SAR 196 images composed of four independent SAR datasets from three different sensors onboard the ENVISAT, 197 ALOS/PALSAR-1, and Sentinel-1 satellites were obtained. The spatial coverage of the SAR datasets used in 198 this study is shown in Fig. 1, and the basic parameters of the SAR images are summarized in Table 1. The 2D 199 and 3D displacement rates and time series were estimated using ascending and descending Sentinel-1 SAR 200 images. As there exists a time gap of nearly four years where no SAR images were archived, we recovered the 201 long-term displacement time series in the sliding direction by fusing the ascending ALOS/PALSAR-1 and 202 Sentinel-1 SAR measurements using the Tikhonov regularization method (Tikhonov 1963). It is worth noting 203 that the ALOS/PALSAR-1 images were acquired under both modes of fine-beam dual-polarization (FBD) and 204 beam single-polarization (FBS), and the SAR images in FBD mode were oversampled to the FBS mode in this 205 study to improve the spatial resolution.

206 **Table 1.** Basic parameters of SAR images used in this study

			Heading	Incidence	Start date	End date	No. of	No. of
Sensors	Track	Orbit	(°)	angle (°)	dd/mm/yyyy	dd/mm/yyyy	images	interferograms
ALOS/PALS AR-1	484	Ascending	-10.29	38.73	02/01/2007	28/02/2011	16	37

ENVISAT	190	Descending	-168.17	23.54	21/02/2007	13/10/2010	17	35
ASAR		U						
Sentinel-1	99	Ascending	-10.46	33.85	12/10/2014	03/10/2018	79	198
Sentinel-1	33	Descending	170.02	43.94	01/12/2016	03/11/2018	53	120

208 We employed a standard differential InSAR (DInSAR) procedure to handle all SAR images as follows. 209 To avoid the influences of temporal and spatial decorrelation, all possible interferometric pairs of the Sentinel-210 1 dataset were generated using a small baseline subset (SBAS) strategy (Berardino et al., 2002). The spatial and 211 temporal baseline thresholds were set at 250 m and 60 d, respectively. A full combination was conducted to 212 generate the interferograms for the ALOS/PALSAR-1 and ENVISAT datasets, as we had collected a relatively 213 small quantity of SAR data. After the interferogram filtering (Goldstein and Werner, 1998) and phase 214 unwrapping (Costantini, 1998), we carefully checked and processed the errors related to residual topography, 215 phase unwrapping and atmospheric artifacts. Furthermore, the corrected unwrapped interferograms with high 216 quality were finally chosen for further processing. The spatiotemporal baseline combinations of the selected 217 interferograms for each SAR sensor are shown in Fig. 3. To unify the spatial resolution and to map small-scale 218 landslides, the interferograms were multi-looked using factors of 2×5 (range \times azimuth) for 219 ALOS/PALSAR-1 images, 1×5 (range \times azimuth) for ENVISAT images, and 4×1 (range \times azimuth) for 220 Sentinel-1 images. The pixel spacing of the multi-looked images in both the ground-range and azimuth 221 directions was approximately 15 m for the ALOS/PALSAR-1 images, 20 m for the ENVISAT images, and 15 222 m for the Sentinel-1 images. One arc-second SRTM DEM with a spatial resolution of 30 m was adopted to 223 remove the topographic phase during differential InSAR processing.

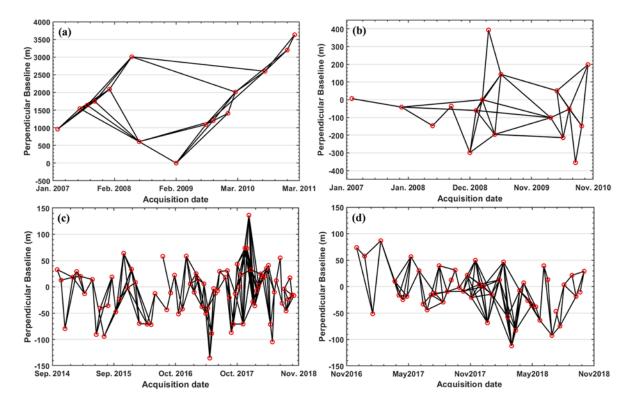


Fig. 3. Spatial-temporal baseline combinations of all interferograms used in this study. (a) ALOS/PALSAR-1
dataset for Path 484; (b) ENVISAT dataset for Path 190; (c) ascending Sentinel-1 dataset for Path 99; and (d)
descending Sentinel-1 dataset for Path 33.

228 3 Methodology

224

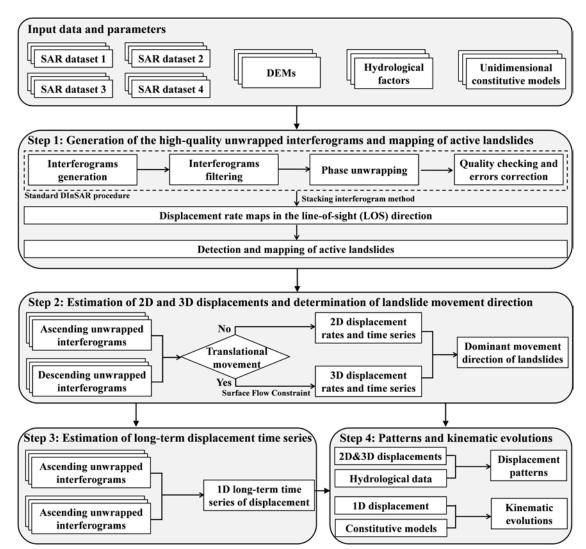
A new approach to fuse C- and L-band SAR images for 3D and long-term displacement time series monitoring of landslides is presented in this section. Figure 4 shows the workflow and main modules of the approach, which can be organized into four steps as follows.

232 Step 1: Each SAR dataset was processed independently to generate unwrapped interferograms using the 233 standard DInSAR procedure, including interferogram generation; filtering; phase unwrapping; quality checking; 234 and corrections for atmospheric artifacts, DEM errors, and phase unwrapping errors. The high-quality 235 unwrapped interferograms of each SAR dataset were geocoded and resampled to an identical spatial grid in the 236 World Geodetic System 1984 (WGS 84) coordinate system with a spatial resolution of 15 m for further 237 processing. Then, the displacement rate of each SAR dataset in the LOS direction was calculated using the 238 stacking interferograms method (Lyons et al., 2003) to detect and map active landslides. This was done because 239 the combination of multi-platform SAR datasets to detect active landslides can not only cross-validate the 240 results, but also weaken the influence of SAR geometric distortions on landslide mapping in areas with steep 241 topography with single-track SAR dataset.

Step 2: The 2D displacement rates and time series were calculated using the unwrapped interferograms from the identical SAR platform with different flight directions (i.e., ascending and descending Sentinel-1 images). Furthermore, for translational landslides, the 3D displacement rates and time series were further calculated with the same unwrapped interferograms by imposing a constraint on the surface parallel flow (Sun et al., 2016; Samsonov, 2019). The dominant movement directions of landslides were determined using the obtained 2D and 3D displacement maps and the geomorphological features that were obtained from DEM and optical images, including satellite and unmanned aerial vehicle (UAV) images.

249 Step 3: The optimal sliding direction for each pixel of the translational landslide was estimated using the 250 InSAR-derived 3D displacement fields. Subsequently, the LOS measurements from different SAR platforms 251 were transformed into the estimated sliding direction to achieve a unified datum of different SAR observations. 252 Then, the unwrapped interferograms from different SAR platforms, which had identical flight directions (i.e., 253 L-band ascending ALOS/PALSAR-1 and C-band ascending Sentinel-1 images) without overlap in the time 254 domain, were linked to estimate the long-term displacement time series in the sliding direction using the 255 Tikhonov regularization and singular value decomposition (SVD) methods. It is worth noting that an identical 256 reference region was chosen for phase unwrapping to avoid systematic biases among the results from different 257 SAR platforms.

Step 4: The displacement patterns and kinematic evolutions of landslides were investigated. The possible driving factors were determined for certain representative landslides based on the 2D and 3D displacement rates, time series, and hydrological factors including precipitation and water level fluctuation in the Jinsha River. Finally, unidimensional constitutive models of the rocks developed by laboratory creep testing (Aydan et al., 2014) were exploited to analyze the kinematic evolution and to determine the creep behavior of the landslide.



264 Fig. 4. Flowchart of 3D and long-term displacement time series estimation and mechanism analysis of landslide.

265

3.1 Inversion of two- and three-dimensional (2D and 3D) landslide displacement rates and time series

In general, InSAR satellites are insensitive to any movement along the azimuth direction (approximately in the north-south direction) as most SAR satellites operate in near-polar orbits (Samsonov et al., 2013). Therefore, for one specific landslide, if both ascending and descending SAR images are available with overlapping time intervals, the 2D displacement rates can be inverted using Eq. (1). This can be done based on the imaging geometry of SAR satellites by ignoring the displacement components in the north-south direction (Samsonov et al., 2014):

272

273
$$\begin{pmatrix} \hat{G} \\ \Gamma \end{pmatrix} \cdot \begin{pmatrix} V_E \\ V_U \end{pmatrix} = \begin{pmatrix} \hat{d} \\ \mathbf{0} \end{pmatrix} ,$$
 (1)

274

where \hat{d} is the observation vector in the LOS direction from the ascending and descending tracks, V_E and V_U are the displacement rate parameters in the east-west and vertical directions, respectively; \hat{G} is the design matrix of observations consisting of east-west and vertical components of the LOS vector and time intervals between consecutive SAR acquisitions; and Γ is the Tikhonov matrix composed of the regularization parameter λ and regularization order L.

As 2D displacement parameter estimation from multi-platform SAR acquisitions is a rank-deficient and ill-posed inversion problem, Eq. (1) is built by imposing the Tikhonov regularization constraint to stabilize parameter inversion; additionally, it can also be built by imposing the additional constraint that the displacement time series have minimum acceleration (Pepe et al., 2016b). The unknown 2D displacement rates V_E and V_U in Eq. (1) can be estimated using SVD, and the 2D displacement time series are then retrieved through numerical integration of the time intervals between adjacent SAR acquisitions based on the estimated 2D displacement rates.

287 When the north-south displacement component cannot be neglected, it is necessary to retrieve 3D 288 displacements. To date, several approaches have been explored to retrieve 3D displacements by combining 289 multi-platform SAR observations as well as integrating DInSAR-based displacement results with external data, 290 which includes combining of multi-track LOS and multiple aperture interferometry (MAI) measurements 291 (Wright et al., 2004), fusion of the DInSAR and offset-tracking measurements (Hu et al., 2014a), combining 292 multi-track offset-tracking measurements (Raucoules et al., 2013), integrating DInSAR and global navigation 293 satellite system (GNSS) measurements (Samsonov et al., 2007), and using a priori information as a constraint 294 (Gourmelen et al., 2011). Offset-tracking and MAI methods are challenging to map the displacement of slow-295 moving landslides owing to their low measurement precision. In the case that the SAR data sets from three 296 different platforms are available and with distinctive flight directions and incidence angles, the 3D displacement 297 rates and time series can be generated using a minimum acceleration approach (Pepe et al., 2016b). If only two 298 independent SAR datasets from ascending and descending tracks are available, it is still possible to estimate the 299 3D landslide displacements by applying an a priori model about displacement process to reduce the free degrees. 300 The surface-parallel flow model (Gourmelen et al., 2011) is an acceptable assumption in the displacement 301 mapping of landslides.

302 For translational landslides, the movement direction is almost parallel to the ground surface under the 303 effect of gravity (Varnes, 1996). Therefore, the surface–parallel displacement rate can be assumed as follows 304 (Gourmelen et al., 2011; Sun et al., 2016):

14 of 43

305

306

$$V_{U} = \left(\frac{\partial H}{\partial x}\right) V_{E} + \left(\frac{\partial H}{\partial y}\right) V_{N} \quad , \tag{2}$$

307

308 where *H* is the elevation of the topography, and $\frac{\partial H}{\partial x}$ and $\frac{\partial H}{\partial y}$ represent the first derivatives in the east and 309 north directions, respectively, which can be estimated using the external DEM. The sliding surface of a 310 translational slide is an approximately regular plane, which is usually smoother than the external DEM (Frattini 311 et al., 2018). Thus, prior filtering of the DEM often needs to be conducted to remove the effect of surface 312 features on landslide displacement estimation. The 3D displacement inversion model can be constructed using 313 Eqs. (1) and (2) (Samsonov, 2019):

314

315
$$\begin{pmatrix} \hat{G} \\ H \\ \Gamma \end{pmatrix} \begin{pmatrix} V_N \\ V_E \\ V_U \end{pmatrix} = \begin{pmatrix} \hat{d} \\ 0 \\ 0 \end{pmatrix}, \qquad (3)$$

316 317 where *H* is the constraint of surface–parallel flow and stands for $\left\{\frac{\partial H}{\partial y}, \frac{\partial H}{\partial x}, -1\right\}$; similarly, \hat{G} is the new

design matrix of observations composed of the matrix G and north-south, east-west, and vertical components of the LOS vector; and V_N , V_E and V_U are the unknown displacement rates in the north-south, east-west, and vertical directions, respectively. Eq. (3) can be solved using the SVD method to obtain the 3D displacement rates, and the 3D displacement time series are then recovered through the numerical integration mentioned above.

323 3.2 One-dimensional long-term displacement time series estimation of landslide

To forecast the time of failure of a specific active landslide, it is of great significance to retrieve long-term (longer than 10 years) historical displacement time series by fusing multi-platform SAR observations. Assuming two independent SAR datasets S_1 and S_2 without overlap in the time domain, their SAR acquisition dates would be $\mathbf{T}_1 = \begin{bmatrix} T_{1,1}, T_{1,2}, \dots, T_{1,S_1} \end{bmatrix}$ and $\mathbf{T}_2 = \begin{bmatrix} T_{2,1}, T_{2,2}, \dots, T_{2,S_2} \end{bmatrix}$, respectively. The unwrapped interferograms of two SAR datasets with homologous highly coherent pixels, namely $\mathbf{d}_1 = \begin{bmatrix} d_{1,1}, d_{1,2}, \dots, d_{1,M_1} \end{bmatrix}$ and, $\mathbf{d}_2 = \begin{bmatrix} d_{2,1}, d_{2,2}, \dots, d_{2,M_2} \end{bmatrix}$ are linked to produce a long-term displacement time series, namely, 330 $\mathbf{D} = \begin{bmatrix} D_1, D_2, \dots, D_{T_1 + T_2} \end{bmatrix}$, which spans all acquisition dates $\mathbf{T}_1 + \mathbf{T}_2$ of the two SAR datasets. Moreover, all

331 displacement time series are referred to as the earliest acquisition dates $T_{1,1}$.

332 InSAR measurements are a projection of the real 3D displacements of the earth's surface in the LOS 333 direction of each SAR satellite, and SAR images from different satellites possess different wavelengths, 334 incidence angles, and flight directions. Therefore, we should transform the LOS measurements from different 335 SAR satellites to the unique sliding direction of the landslide based on the SAR imaging geometry and landslide 336 geometry (Cascini et al., 2010). Here, we retrieved the optimal sliding direction for each pixel of the landslide 337 using the InSAR-derived 3D displacements. In the monitoring of land subsidence, the time-gapped InSAR 338 displacement time series from different SAR platforms can be linked using an a priori time-dependent model 339 for the on-going displacements (Pepe et al., 2016a). However, for landslides it is difficult to find an a priori 340 model that can exactly characterize the on-going slope displacements, since they are strongly controlled by 341 external variables (e.g., rainfall, reservoir level, seismic events) that change the movement trends over time. 342 Thus, in order to resolve the problem of rank deficiency caused by the time gap between two SAR datasets, we 343 adopt the Tikhonov regularization method as follows (Tikhonov 1963):

344
$$\begin{bmatrix} G \\ \Gamma \end{bmatrix} \cdot \boldsymbol{m} = \begin{bmatrix} d \\ 0 \end{bmatrix} , \qquad (4)$$
345

where $G = [G_{s_1}, G_{s_2}]^T$ is the design matrix consisting of time intervals between consecutive SAR acquisitions of two datasets, $d = [d_1, d_2]^T$ is the observations from two datasets, m represents the unknown displacement rate vector in the sliding direction of the landslide with the elements as $[m_0, m_1, m_2, \dots, m_{T_1+T_2-1}]^T$, and Γ is the Tikhonov matrix composed of regularization order L and regularization parameter λ , where the firstorder regularization is adopted in this study. The optimal value of λ is estimated using the L-curve method (Hansen and O'Leary, 1993). Equation (4) can then be resolved based on the criterion of minimizing the objective function, as shown in Eq. (5):

353 $\min(\|Gm - d\|_{L_2}^2 + \|\Gamma m\|_{L_2}^2)$, (5)

where $\|\cdot\|_{L_2}$ represents the Euclidean L_2 norm. Thus, the unknown displacement rate vector can be expressed as follows in Eq. (6), and the displacement time series is then reconstructed through numerical integration of the estimated displacement rates, as shown in Eq. (7):

$$\hat{\boldsymbol{m}} = (\boldsymbol{G}^{\mathrm{T}}\boldsymbol{G} + \boldsymbol{\Gamma}^{\mathrm{T}}\boldsymbol{\Gamma})^{-1} \cdot \boldsymbol{G}^{\mathrm{T}}\boldsymbol{d} \quad ; \tag{6}$$

 $D_{i+1} = D_i + m_i \Delta t_i, i = 0, 1, 2, \cdots, T_1 + T_2 - 1 \quad .$ ⁽⁷⁾

359

4 Displacement retrieval results and analyses

360 4.1 Line-of-sight (LOS) displacement rates between January 2007 and November 2018

361 The LOS displacement rate of each SAR dataset in the study area was independently calculated using the 362 standard DInSAR procedure and stacking interferogram method (Lyons et al., 2003), as shown in Fig. 5. It is 363 worth noting that the negative values (red color) represent the landslide moving away from the sensor, and the 364 positive values (blue color) indicate movement towards the sensor. Dense measurement scatterers (MSs) with 365 total numbers of 434927, 521529 and 551649 were identified from the ascending ALOS/PALSAR-1 (Fig. 5(a)), 366 ascending Sentinel-1 (Fig. 5(c)) and descending Sentinel-1 (Fig. 5(d)) datasets respectively, producing an 367 overall spatial density of greater than 2450 MSs/km² for the three SAR datasets. These scatterers were identified 368 on the roads, buildings, and rocks and soils with sparse vegetation. In contrast, extremely sparse MSs of only 369 60798 were identified from ENVISAT dataset, generating an overall density of less than 400 MSs/km². 370 Compared with other three SAR datasets, the incidence angle of the ENVISAT satellite was as small as 23°, 371 thus causing severe geometric distortions (i.e., layover and shadow) of the SAR images (Wasowski and 372 Bovenga, 2014), which result in extremely sparse MSs for landslide detection. As shown in Fig. 5, large-scale 373 displacement regions were detected in the study area, and most displacement regions were greater than 2 km in 374 length and/or width. For displacement rates calculated with ascending ALOS/PALSAR-1 (Fig. 5(a)) and 375 ascending Sentinel-1 SAR images (Fig. 5(c)), the displacement regions and their extent were basically 376 consistent, but the displacement magnitude and the detailed patterns were locally different across regions, likely 377 due to the different wavelengths, imaging geometries, and acquisition durations between the two SAR datasets 378 (see Table 1). Moreover, the locations of detected active displacement regions were generally consistent 379 between ascending and descending Sentinel-1 measurements, but the extent of the displacement measured by 380 ascending images was substantially greater than that of descending images (see Figs. 5(c) and (d)). This can be 381 attributed to the slope orientation and the different sensitivities of landslide movement to the flight direction 382 between ascending and descending SAR images. Therefore, we can combine both ascending and descending 383 SAR images to map the complete extent of active landslides.

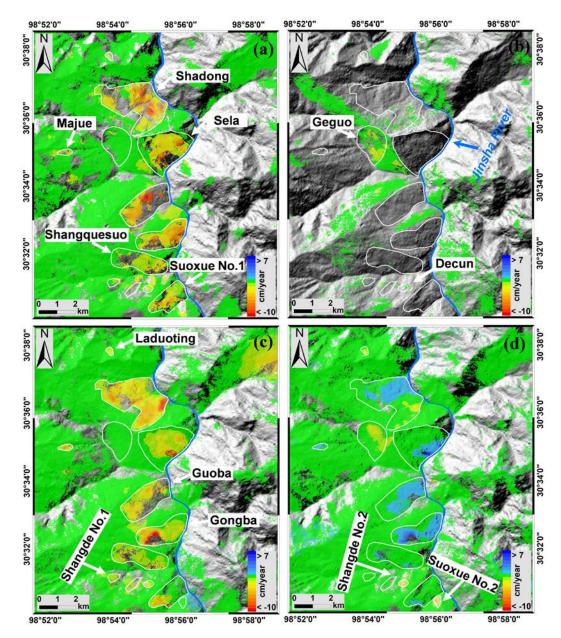
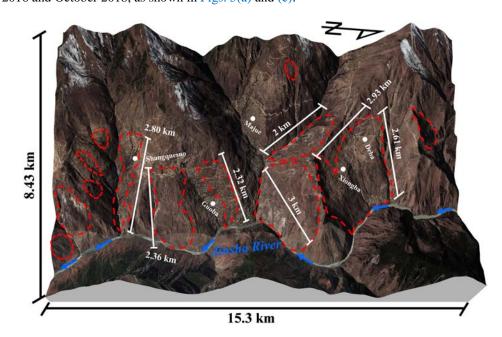


Fig. 5. Line-of-sight (LOS) displacement rate maps for the study area derived from (a) ascending ALOS/PALSAR-1 images between January 2007 and March 2011; (b) descending ENVISAT images between February 2007 and October 2010; (c) ascending Sentinel-1 images between August 2016 and October 2018; and (d) descending Sentinel-1 images between December 2016 and November 2018. The labels indicate the name of the detected landslides listed in Table 2, and the white solid polygons indicate the boundaries of the landslides.

Layover will be caused if the slope angle of the landslide is larger than the incidence angle of the SAR images, resulting in omissions for landslide detection. To avoid the effect of layover on the landslide mapping, we detected active landslides using a combination of the displacement rates derived from ascending ALOS/PALSAR-1, descending ENVISAT, and ascending and descending Sentinel-1 images, i.e., active landslides are first detected respectively using the displacement rates calculated with ascending 396 ALOS/PALSAR-1, descending ENVISAT, and ascending and descending Sentinel-1 images, and then the 397 mapped landslides from each SAR dataset are mosaiced to produce the final landslide inventory map. The 398 location and distribution of the detected active landslides are shown in Fig. 6, and detailed information is 399 presented in Table 2. These landslides are situated at slope angles ranging from 10° to 51° , which can be 400 attributed to the unique geological settings in the study area (Wang et al., 2000). Results from archived 401 ALOS/PALSAR-1 and ENVISAT images indicate that these detected landslides have been active since January 402 2007. However, the spatiotemporal displacement characteristics of these landslides were inconsistent during 403 different periods. For instance, the large displacement of the Shadong landslide mainly occurred in the middle 404 and upper left regions between January 2007 and March 2011 and transferred to the lower right regions between 405 August 2016 and October 2018, as shown in Figs. 5(a) and (c).



406

407 Fig. 6. Location and extent of the detected active landslides on the perspective remote sensing image. The points

408 indicate the location of the main villages placed in the study area.

409	Table 2. H	Basic informa	ation of the	detected l	andslides.
409	Table 2. I	Basic information	ation of the	detected I	andslides.

No.	Location Name	Aspect (°)	Slope (°)	Detected from SAR image	Dominant displacement
1	Ladratina	240	22-43		Vertical and North
1	Laduoting	342	22–43	ALOS, S1A, S1D	vertical and North
2	Shadong	32, 75	15–38	ALOS, S1A, S1D	Vertical, North and East
3	Sela	125	15–51	ALOS, S1A, S1D	East
4	Geguo	215	18–42	EV, S1D	South and West
5	Majue	70	20–38	ALOS, S1A, S1D	Vertical, North and East
6	Guoba	75	14–36	ALOS, S1A, S1D	East

7	Gongba	91, 110	10-35	ALOS, S1A, S1D	Vertical and East
8	Shangquesuo	140, 155	20–40	ALOS, S1A, S1D	East
9	Shangde No.1	60	15–34	ALOS, S1A	East
10	Shangde No.2	45	15-32	ALOS, S1A	North and East
11	Decun	350	14–34	ALOS, S1A, S1D	Vertical and North
12	Suoxue No.1	90	18–44	ALOS, S1A, S1D	Vertical and East
13	Suoxue No.2	349	22-38	ALOS, S1D	North and West

*Notes: ALOS and EV represent ALOS/PALSAR-1 and ENVISAT SAR images, respectively; and S1A and

411 S1D stand for ascending and descending Sentinel-1 SAR images, respectively.

412 4.2 Two-dimensional displacement patterns of the detected landslides

413 One-dimensional LOS displacement results can be applied to determine the locations and spatial extents 414 of landslides. However, it is challenging to accurately delimit the boundary of a landslide and determine its 415 movement direction by merely using the LOS displacement results. Figure 7 shows the 2D displacement rate 416 maps in the east-west and vertical directions of the detected landslides; the displacement rates were calculated 417 using the method described in Section 3.1, where the positive values (blue color) indicate eastward movement 418 and the negative values (red color) indicate westward movement in the horizontal component map (Fig. 7(a)), 419 and the negative values (red color) represent the downward movement and the positive values (blue color) 420 represent upward movement in the vertical component map (Fig. 7(b)). The maximum east-west displacement 421 rate is greater than 8 cm/year, and the maximum vertical displacement rate is less than -7 cm/year. In general, 422 the displacement and failure patterns of landslides are subject to topography, lithology, and geological structure 423 of slopes, as well as external driving factors, such as earthquakes and rainfall. From Fig. 7, we can see that each 424 detected landslide has its own movement direction and displacement pattern. All the detected landslides except 425 the Laduoting, Geguo and Suoxue No.2 landslides are moving eastward, whereas the Laduoting landslide is 426 moving northward and the Geguo and Suoxue No.2 landslides are moving westward. It is worth noting that, 427 evidence from optical image (Fig. S3) illustrates that the main movement direction of the Laduoting landslide 428 is along the north-south direction, thus failing to measure its movement by the east-west displacement map 429 presented in Fig.7 (a). Moreover, most landslides are dominated simultaneously by horizontal and vertical 430 movements, such as the Laduoting, Shadong, Majue, Gongba, Decun, and Suoxue No.1 and No.2 landslides 431 (see Table 2), and some landslides are dominated by horizontal movement, such as the Sela and Geguo 432 landslides (see Table 2).

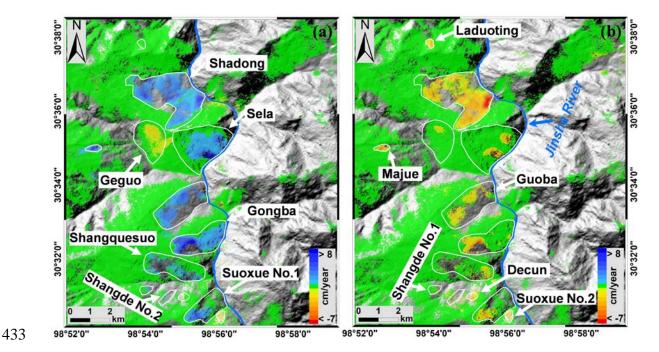


Fig. 7. Two-dimensional displacement rate maps of the detected landslides from December 2016 to October
2018 calculated with ascending and descending Sentinel-1 images. The white solid polygons indicate the
boundaries of the landslides. (a) Horizontal east-west displacement rate map; and (b) vertical displacement rate
map.

4.3 Three-dimensional displacement characteristics of the Shadong landslide

439 It is necessary to retrieve the 3D displacement rates and time series of landslides if the north-south 440 displacement cannot be neglected. We take the Shadong landslide located at the outside of a meander bend of 441 the Jinsha River, as an example to retrieve its 3D displacement rates and time series using the method described 442 in Section 3.1. Field geological exploration (Fig. 2(c)) revealed that the landslide can be classed as a 443 translational slide according to Cruden and Varnes (1996) classification. Figure 8(a) shows the optical remote 444 sensing image of the Shadong landslide acquired in March 2015. The extent of the landslide is ~2.61 km in 445 length and ~2.93 km in width. The polygons with different colors in Fig. 8(a) indicate different blocks (i.e. B1-446 B5) of the landslide, which are divided according to the geomorphological analysis and the developments of 447 the gullies (see Section 2.1). The 3D displacement rates in the north-south, east-west, and vertical directions 448 from December 2016 to October 2018 are shown in Figs. 8(b), (c), and (d), respectively. The positive values 449 (blue color) indicate northward movement and the negative values (red color) indicate southward movement in 450 Fig. 8(b). The maximum displacement rates in the north-south, east-west, and vertical directions were more 451 than 80, 76, and -67 mm/year, respectively. We then extracted the displacement rates and elevation along two 452 representative Profiles AA' and BB' (see Fig. 8(d)) to reveal the detailed spatial displacement characteristics,

453 as shown in Fig. 9. The error bars in Fig. 9 indicate the standard deviations of the estimated 3D displacement 454 rates. Profile AA' is approximately parallel to the main sliding direction of block B1, and Profile BB' 455 transversely passes through blocks B1-B4. Furthermore, the optimal sliding direction for each pixel of the 456 landslide was calculated using the estimated 3D displacement rates, as shown in Fig. 10.

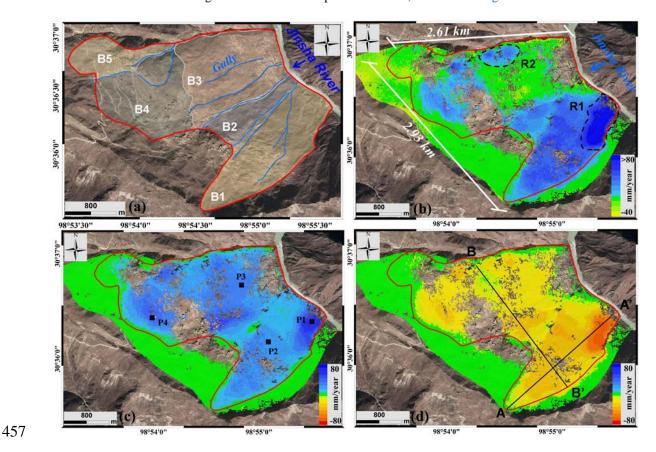
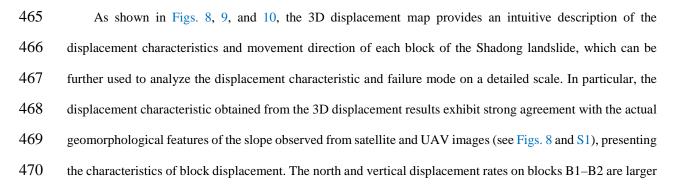
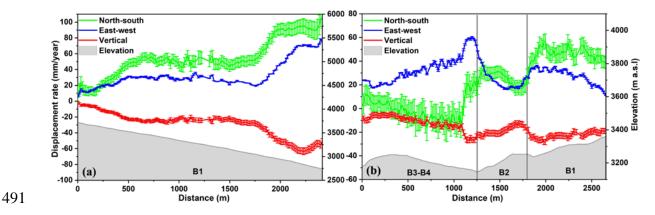


Fig. 8. Remote sensing image and 3D displacement rate maps from December 2016 to October 2018 of the Shadong landslide. The boundary of the landslide movement is marked using the red solid lines, and the black dotted polygons (i.e., R1 and R2) in (b) indicate the two secondary sliding regions. (a) Remote sensing image acquired in March 2015, where different colors represent five blocks of the landslide; (b) north-south displacement rate map; (c) east-west displacement rate map, from which Points P1–P4 are analyzed in the text to show displacement time series; and (d) vertical displacement rate map, where two black lines indicate the locations of Profiles AA' and BB'.



471 than those on blocks B3–B4. In contrast, the east-west displacement rates of blocks B1–B2 are slightly lower 472 than those of blocks B3–B4, except at the lower-right part of block B1. From the 3D displacement results shown 473 in Figs. 8(b), (c), and (d), we can clearly see a distinct sliding boundary between block B1 and block B2. As 474 evidenced in the east-west and vertical displacement rate maps shown in Figs. 8(c) and (d), the entire landslide 475 shows a trend of eastward and downward movement. However, evidence from Figs. 8(b) and 9 suggests that 476 the northward displacement mainly occurred in blocks B1, B2 and B4, and there is no remarkable north-south 477 displacement in the block B3 except for a small region on its left side (i.e., R2 labelled in Fig. 8(b)). The 478 geomorphological feature and optical image (Fig. S1(a) and (b)) demonstrate that the Region R2 is a secondary 479 sliding area on the block B3, and it moves mainly to the north direction. Furthermore, geomorphological 480 analysis and slope aspect indicate that blocks B1, B2 and B4 are moving toward the northeast direction, and 481 blocks B3 is moving toward the east direction, as described in detail in Section 2.1.

482 In Fig. 9(a), the 3D displacement rates of block B1 (along profile AA') are negatively correlated with the 483 elevation, that is, the displacement at the lower section is larger than that at the middle-to-upper section. This 484 evidence indicates that block B1 belongs to a pull-type landslide (Lu, 2015), which can be adequately verified 485 by the displacement boundary presented in Fig. 8 and the geomorphological feature presented in Fig. 10, that 486 is, the displacement boundary of block B1 is shaped like a tower, and the lateral width of the head is smaller 487 than that of the foot. A similar type of landslide has previously been identified in the Wudongde reservoir area 488 in the lower reaches of the Jinsha River (Zhao et al., 2018). Moreover, the displacement rate in the north 489 direction of block B1 is also larger than that in the east and vertical directions, which suggests that block B1 490 mainly moves toward the north.



492 Fig. 9. Displacement rates along the three components and elevation along the Profiles AA' and BB' labeled in
493 Fig. 8(d). (a) Profile AA'; and (b) Profile BB', where B1, B2 and B3–B4 indicate block 1, block 2 and blocks
494 3-4 of the Shadong landslide labeled in Fig. 8(a), respectively.

495 The largest displacement rates were observed at the leading edge of block B1, that is, Region R1 marked 496 in Fig. 8(b). The movement direction of each pixel is shown in Fig. 10(b), and the 3D displacement rate maps 497 are presented in Fig. 11. The boundary of the maximum displacement region can be clearly seen in Fig. 11, 498 where the 3D displacements are precisely bounded by the cracks and scarp. The region moves toward the Jinsha 499 River with maximum displacement rates of approximately 125, 75, and -40 mm/year in the north, east, and 500 vertical directions, respectively. The displacement in the north direction was significantly larger than that in the 501 east and vertical directions as the slope faced north (see Figs. S1 and 11(a)). Region R1 is the most active area 502 on the entire Shadong landslide, where a main scarp has formed at the back edge of the region, and two 503 continuous, large cracks have also developed on the left and right sides of the region (see Figs. S1(e), (f) and 504 11). These displacement and geomorphological features are completely consistent with the failure modes I and 505 III of pull-type landslide derived from the theoretical analyses of geologist (Lu, 2015). Thus, it can be concluded 506 that block B1 are deforming along the entire weak face under the control of the mechanical behaviors (strain 507 and shear stress) of geo-materials, and the shear deformation occurs in the Region R1 under the effects of 508 external driving factors (e.g., water level fluctuations in the Jinsha River, see Section 5.2).

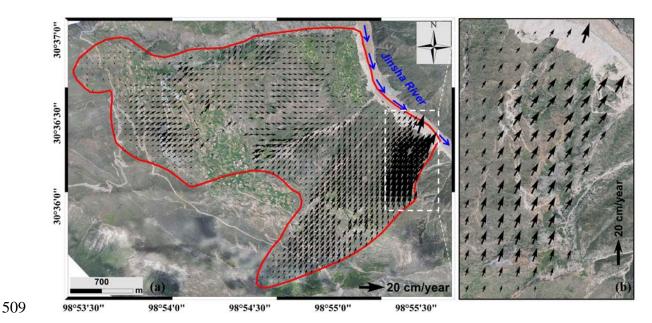


Fig. 10. (a) The horizontal movement vector of the Shadong landslide; and (b) the enlarged horizontal
movement vector over Region R1 marked in Fig. 8(b). The base map is the UAV image acquired on 13 June
2020, with a spatial resolution of 0.3 m.

In Fig. 10, the sliding directions show that the block B3 moves eastward, and the block B4 moves northward and eastward, which is highly consistent with the actual geomorphic features of blocks B3 and B4 (see the details in Section 2.1). Geomorphological analyses of optical images and shaded relief map suggest

- that the slope aspect of block B3 mainly faces to the east, and the slope aspect of block B4 mainly faces to the
- 517 northeast, see the details in Section 2.1 and Figs. 2(b) and S1.

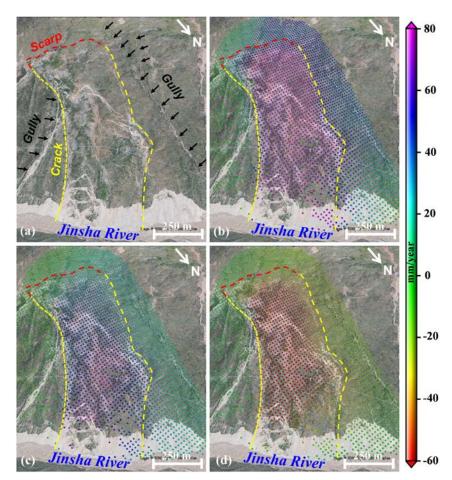


Fig. 11. Three-dimensional displacement rate maps of Region R1 marked in Fig. 8(b). (a) UAV image acquired
on 13 June 2020; (b) north-south displacement rate; (c) east-west displacement rate; and (d) vertical
displacement rate.

522 To investigate the temporal evolution of the landslide displacements, we selected four typical points (P1-523 P4 in Fig. 8(c)) located in different parts of the Shadong landslide to exhibit their 3D displacement time series. 524 Points P1 and P2 are located on block B1, and Points P3 and P4 are located on blocks B3 and B4, respectively. 525 Figure 12 shows the displacement time series along the three main components (i.e., north, east, and vertical 526 directions) for Points P1-P4 from December 2016 to October 2018. We can see that the largest cumulative 527 displacement that occurs at Point P1 was approximately 157, 116, and -98 mm in the north, east, and vertical 528 directions, respectively, and it corresponds to the fastest moving area (Fig. S1). Meanwhile, a larger cumulative 529 displacement was also observed at Points P2 and P4, with cumulative displacements of 89, 43, and -49 mm for 530 Point P2 and 84, 97, and -50 mm for Point P4 in the north, east, and vertical directions, respectively. Point P3 531 showed relatively small cumulative displacements as -7.3, 60.5, and -24.8 mm in the north, east, and vertical 532 directions, respectively. Field geological exploration evidenced that there is a major locked segment in the area where Point P3 is located (Fig. 2(c)), and it controls the deep-seated stability of the Shadong landslide (Li et al., 2021). Points P1, P2 and P4 showed an approximately linear displacement trend in the three directions during the InSAR observation period from December 2016 to October 2018; and Point P3 exhibited a roughly linear movement trend, and there are short periods of acceleration displacement signal in some SAR acquisitions. Furthermore, the displacement time series along the three main components revealed that the temporal evolution of the displacement of the four points was inconsistent. The cumulative displacement of Points P1 and P2 in the north direction was larger than that in the east and vertical directions. In contrast, the displacement in the

540 north direction of Points P3 and P4 is smaller than that in the east and vertical directions.

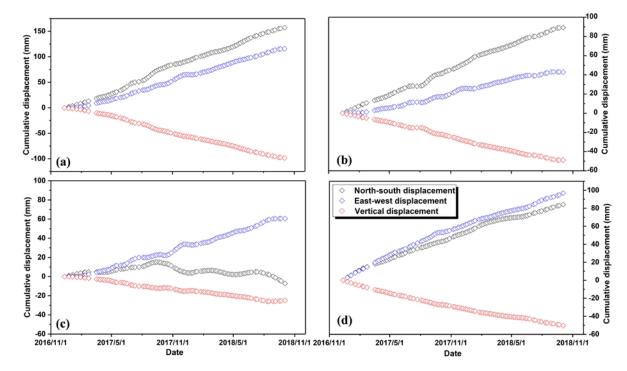


Fig. 12. The displacement time series along the three main components for Points P1–P4 (marked in Fig. 8(c))
of the Shadong landslide from December 2016 to October 2018. (a) P1; (b) P2; (c) P3; and (d) P4.

544 4.4 Long-term displacement time series in the sliding direction of the Shadong landslide

541

545 To generate long-term displacement time series in the sliding direction of the Shadong landslide over ten 546 years, we link the L-band ALOS/PALSAR-1 measurements acquired between January 2007 and March 2011 547 and the C-band Sentinel-1 measurements acquired between October 2014 and October 2018 with a four-year 548 gap based on the method described in Section 3.2. First, we resampled the high-quality unwrapped 549 interferograms from the ALOS/PALSAR-1 and Sentinel-1 images to a common georeferenced grid with the 550 uniform spatial resolution of 15 m, and the common measurement scatterers among the two datasets were 551 selected for further processing. Then, the resampled interferograms in the LOS direction of the Sentinel-1 and 552 ALOS/PALSAR-1 images were transformed into the estimated sliding direction of the slope (Fig. 10). 553 Subsequently, the long-term displacement time series was estimated using Eq. 4. Meanwhile, the long-term 554 time series of displacements were also calculated using the least squares (LS) and linear fitting methods, 555 respectively, to highlight the performance of the proposed method.

556 Figure 13 shows the long-term displacement time series of Points P1-P4 (marked in Fig. 8(c)) of the 557 Shadong landslide, where the red triangles indicate the displacements calculated with the proposed method (i.e., 558 Tikhonov regularization), the blue rectangles indicate the ones calculated using the LS method, and the gray 559 solid circles are the ones calculated by the linear fitting method. We can see that the results obtained by the LS 560 method exhibit a serious deviation compared with those obtained by the proposed method and the linear fitting 561 method for the sake of rank deficiency problem. This suggests that the long-term displacement time series 562 results generated by the LS method are unreliable to some extent (Pepe et al., 2016a). Comparison of the results 563 derived from the Tikhonov regularization and linear fitting methods, the displacement time series results 564 generated by the two methods are relatively close at Points P1 and P3; however, there is a large deviation at 565 Points P2 and P4, which will be discussed in detail in Section 5.2. Here the results from the Tikhonov 566 regularization method are finally selected to investigate the movement characteristics of the Shadong landslide 567 over the past nearly 12 years. Results show that all points exhibit creep displacement characteristics, among 568 which the fastest movement was measured in Region R1 marked in Fig. 8(b), and the cumulative displacement 569 in the sliding direction at Point P1 was around -1.33 m between January 2007 and October 2018. The smallest 570 cumulative displacement was measured at Point P4 with a magnitude of approximately -0.56 m. In addition, 571 some large cumulative displacements were also observed at Points P2 and P3, with magnitudes of around -0.97 572 and -0.8 m, respectively. A significant signal of the displacement acceleration was observed at Points P1, P2, 573 and P3 from January 5 to May 22, 2008, which may be exactly correlated with the Wenchuan earthquake in 574 Sichuan, China, on May 12, 2008 (Yin et al., 2009). Furthermore, we can see from Fig. 13 that Points P1, P2, 575 P3, and P4 experienced a nonlinear displacement trend during the period from January 2007 to October 2018. 576 The movement rates of Points P2 and P3 before October 10, 2009, were faster than those after October 10, 2009, 577 and the slight acceleration signals of the displacement were detected at Points P1 and P4 on July 21, 2016. Thus, 578 it is essential to conduct continuous displacement monitoring with newly acquired SAR images or ground-based 579 equipment, such as GNSS or crack gauges.



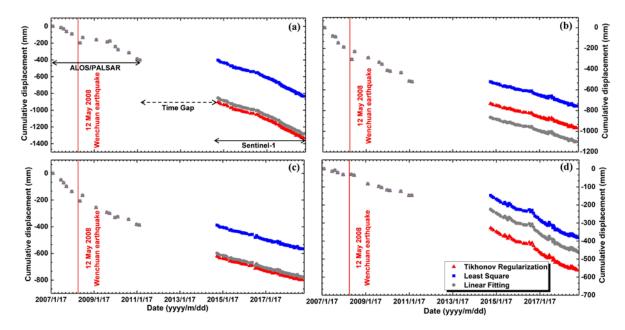


Fig. 13. One-dimensional long-term displacement time series in the sliding direction of the Shadong landslide
for Points P1–P4 calculated by fusing L-band ALOS/PALSAR-1 and C-band Sentinel-1 SAR measurements
from January 2007 to October 2018. (a) P1; (b) P2; (c) P3; and (d) P4.

584 5 Discussion

580

585 5.1 Kinematic evolution and creep behavior of the Shadong landslide

586 To assess the long-term stability and forecast the time of failure of an active landslide, it is important to 587 investigate its long-term kinematic evolution and creep behavior. Previous studies (Fukuzono, 1985; Intrieri et 588 al., 2019; Saito, 1969; Aydan et al., 2014) have demonstrated three stages (also sometimes known as 589 displacement-time curve) of the kinematic evolution and creep behavior of slopes before failure, as shown in 590 Fig. 14(a). The first stage is the primary creep (or transient or decelerating) with the displacement rate 591 logarithmically decreasing, followed by the second stage of secondary creep (or constant-state) with a steady 592 displacement rate. After a period of relative stability within the second stage, the third stage of tertiary creep 593 (or hyperbolic acceleration) begins, and the slope either accelerates until it ruptures (or fails) (A) or accelerates 594 and then reaches a new limit equilibrium (B), as shown in Fig. 14 (a). The results from laboratory creep testing 595 of rocks (Aydan et al., 2014) have demonstrated that such the three stages can be characterized using 596 unidimensional constitutive laws/models of the rocks, as illustrated in Eqs. (8)-(10). In these equations, Eq. (8) 597 is applicable to primary stage, hereinafter refer as Lomnitz 1956, 1957; Eq. (9) is applicable to primary and 598 secondary stages, hereinafter refer as Modified Lomnitz law; and Eq. (10) is applicable to all stages creep 599 terminating with rupture, hereinafter refer as Aydan et al. 2003.

600
$$S = A \cdot \ln(1 + \alpha t)$$
 (Lomnitz 1956, 1957) (8)

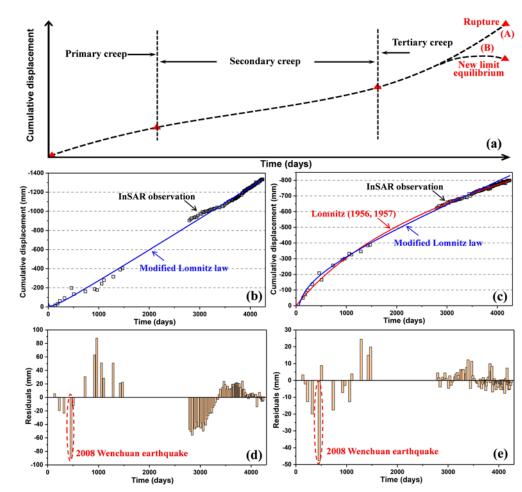
$$S = A + B \cdot \log(t) + C \cdot t \quad (\text{Modified Lomnitz law}) \tag{9}$$

602
$$S = A \cdot (1 - e^{-t/\tau_1}) + B \cdot (e^{t/\tau_2} - 1)$$
 (Aydan et al. 2003) (10)

603 where S indicates the displacement, A, B, α , C, τ_1 and τ_2 are constants, and t is the time.

604 To investigate the kinematic evolution and creep behavior of the Shadong landslide, we applied 605 unidimensional constitutive laws of the rocks to model the displacement behavior of Points P1 and P3 marked 606 in Fig. 8(c). The displacement time series of Points P1 and P3 were modelled based on Eqs. (8), (9) and (10) 607 using the Levenberg-Marquardt algorithm (Marquardt, 1963), respectively. The original InSAR observations, 608 the modelled displacement and the residuals are plotted in Fig. 14, and comparison of the results modelled by 609 different unidimensional constitutive laws is presented in Table 3. For Point P1, the displacement modelled by 610 Modified Lomnitz law perfectly matches that observed by ALOS/PALSAR-1 and Sentinel-1 images (see Fig. 611 14(b)), with a correlation coefficient (R) of 0.997. Nevertheless, the laws of Lomnitz 1956, 1957 and Aydan et 612 al. 2003 failed to model the displacement of Point P1, because Eqs. (8) and (10) cannot be converged when they 613 were used to model the displacement of Point P1. Similar to Point P1, the law of Aydan et al. 2003 also failed 614 to model the displacement of Point P3, but it can be perfectly modelled by the laws of Lomnitz 1956, 1957 and 615 Modified Lomnitz (see Fig. 14(c)), with the correlation coefficients (R) of 0.999 and 0.996, respectively. 616 Evidences from Table 3 and Fig. 14(c) suggest that the displacement modelled by Lomnitz 1956, 1957 is closer 617 to InSAR observations than that modelled by Modified Lomnitz law, i.e., there are higher correlation coefficient 618 and smaller mean of the residuals in the modelled results from Lomnitz 1956, 1957. Moreover, from Figs.14 619 (b) and (c), we can see that the cumulative displacement of Point P1 is much larger than that of Point P3. During 620 the period of January 2007 to October 2018, the temporal evolution of Point P1 showed an overall linear trend, 621 whilst Point P3 was deforming in a non-linear trend with the logarithmically decreasing rate. Based on the 622 modelled results of the unidimensional constitutive laws of rocks, in conjunction with the temporal evolution 623 behaviours of Points P1 and P3, it can be concluded that the slope movement at Point P1 may be in the second 624 stage (secondary creep), while the slope movement at Point P3 may be in the first stage (primary creep). The 625 three stages of creep behavior of slopes can be broadly organized into two categories (Lu, 2015): stable feature 626 (primary and secondary creeps) and unstable feature (tertiary creep). As a consequence, the results suggest that 627 the Shadong landslide exhibits the stable feature currently. In addition, we can see from Figs. 14(d) and (e) that 628 the maximum residual appears on the SAR observation on May 22, 2008 (see the red dotted ellipses). This

629 finding further supports the conclusion that the 2008 Wenchuan earthquake resulted in a transient acceleration



630 in landslide displacement.

631

632 Fig. 14. Kinematic evolution and creep behavior of the Shadong landslide from January 2007 to October 2018. 633 (a) Standard three-stage creep rupture curve of the slope (modified after Fukuzono, 1985; Intrieri et al., 2019; 634 and Saito, 1969); (b) displacement time series (in the sliding direction) of the Shadong landslide for Point P1 635 derived from InSAR observations (black squares) versus that derived by modeling of rock's unidimensional 636 constitutive laws (blue curve); (c) displacement time series of Point P3 derived by InSAR observations (black 637 squares) versus that derived by modelling (red and blue curves); (d) Residuals of Point P1, calculated by 638 subtracting the modeled values (using Modified Lomnitz law) from the observed values; (e) Residuals of Point 639 P3, calculated by subtracting the modeled values (using Lomnitz 1956, 1957) from the observed values. The 640 locations of Points P1 and P3 are marked in Fig. 8(c).

641

 Table 3 Comparison of the results modelled by different unidimensional constitutive laws

Points	Models/Laws	Convergence of the solution	R	Mean of residuals (mm)	Standard deviation of residuals (mm)
P1	Lomnitz 1956, 1957	No	-	-	-
	Modified Lomnitz law	Yes	0.997	18.8305	18.6

	Aydan et al. 2003	No	-	-	-
	Lomnitz 1956, 1957	Yes	0.999	4.8357	6.5
P3	Modified Lomnitz law	Yes	0.996	7.0208	6.1
	Aydan et al. 2003	No	-	-	-

642 5.2 Performance of the proposed method for estimating the long-term landslide displacement

643 Some researchers (Pepe et al., 2016a; Wu et al., 2020) have explored the use of geotechnical models to 644 link time-gapped InSAR displacement time series that derived from different SAR sensors (e.g., ENVISAT and 645 COSMO-SkyMed), thus estimating the long-term time series (> 10 year) of land settlement. The outcomes 646 obtained in Section 5.3 clearly show that the long-term displacement time series of the Shadong landslide 647 calculated with the proposed method can be well modelled by the unidimensional constitutive laws of rocks. 648 As there are no ground-based measurements of displacements, we regard the modelled displacement results of 649 rocks' unidimensional constitutive laws as references to assess the performance of our proposed method. Apart 650 from the Points P1-P4 marked in Fig. 8(c), six points (PS1-PS6) located in different areas of the Shadong 651 landslide were further selected to exhibit the long-term displacement time series. The locations of Points PS1-652 PS6 are marked in Fig. S4, and the long-term displacement time series derived from the Tikhonov regularization, 653 linear fitting and LS methods are given in Fig. S5. Furthermore, we exploited the unidimensional constitutive 654 laws of rocks (Eqs. (8)-(9)) to model the displacement time series generated by Tikhonov regularization and 655 linear fitting methods, respectively. Fig. S6 shows the displacement time series of Points P1-P4 and Points PS1-656 PS6 estimated from the Tikhonov regularization method (black squares) and rocks' unidimensional constitutive 657 models (blue curves), and Fig. S7 shows the ones estimated from the linear fitting method (black squares) and 658 rocks' unidimensional constitutive models (blue curves). In addition, a quantitative comparison of the modelled 659 displacement results is presented in Table S1. As can be seen from Figs. S6 and S7, the long-term displacement 660 time series estimated with the Tikhonov regularization method overall outperform those estimated with the 661 linear fitting method, in which the rocks' unidimensional constitutive laws modelled the displacement time 662 series of each point estimated from the Tikhonov regularization method very well. In contrast, in some 663 measurements generated by the linear fitting method, such as Points P4 and PS2 in Fig. S7, the rocks' 664 unidimensional constitutive laws did not model the displacement time series very well. Moreover, from the 665 standard deviations (STDs) of the residuals (calculated by subtracting the modeled values from the InSAR 666 measured values) listed in Table S1, we can see that the STDs of the Tikhonov regularization method are 667 generally smaller than those of the linear fitting method. These evidences can verify the validity of our proposed 668 method to some extent. It is worth to specify that, the unidimensional constitutive laws presented in Eqs. (8)-

(9) were developed under the natural movement state of the rocks (Aydan et al., 2014), i.e., there is no intense and sudden disturbances from external environmental factors such as strong earthquakes. Similarly, our method is suitable for retrieving the long-term displacements of slopes which are moving naturally under the effect of gravity. However, the generated results may be biased in the case that the landslides exhibit strong non-linear movement trends or transient acceleration displacement signals caused by periodic strong rainfall or strong earthquake events.

675

5.3 Possible driving factors for the landslide displacement

676 Gravity is usually the primary driving factor for landslide displacement. In addition, several external 677 environmental factors can contribute to the acceleration of landslide displacement, such as heavy precipitation, 678 groundwater and river level fluctuations, and earthquakes. To investigate the possible driving factors for 679 landslide displacement in this case, we selected six points (Points P5-P10) located in different regions of four 680 massive landslides to analyze the correlations between displacement and environmental factors. Figure 15(a) 681 shows an optical image of four massive landslides and six locations, and the optical images of these landslides 682 are enlarged in Fig. S8 to clearly show evidences of their activity. The analysis of the optical images reveals 683 that there have been cracks, collapses and scarps developed on the surface of these slopes. Points P5, P7, and 684 P9 are located near the intersection of the slope and the watercourse of the Jinsha River, and Points P6, P8, and 685 P10 are located far away from the Jinsha River. Figures 15(b)–(g) show the 2D displacement time series in the 686 east-west and vertical directions of Points P5-P10 and the monthly precipitation in the study area.

687 Figure 15 demonstrates that heavy precipitation mainly occurred from June to September each year (i.e., 688 in the summer) in the study area. In particular, the number of days with rainfall during this period was much 689 greater than in other periods. Heavy precipitation may have accelerated the displacement of landslides in two 690 ways. First, the stability of the landslide may have been directly reduced, that is, regional increases in the 691 duration, intensity and amount of rainfall can generate elevated pore-water pressures of the slope, thus resulting 692 in a decrease in the shearing strength of the soil and an increase in displacement (Handwerger et al., 2019). 693 Second, the displacement of landslides may be indirectly accelerated as follows: periodic rainfall generally 694 causes fluctuations in the Jinsha River water level, which reduces shear stress in the foot of the landslide and 695 further decreases the safety factor (FS); this increases its instability (Shi et al., 2015; Lacroix et al., 2020). As 696 shown in Fig. 15, the landslide displacements at Points P5, P7, and P9 showed a strong correlation with monthly 697 precipitation, while there was a weak correlation at Points P6, P8, and P10, where the landslides exhibited a 698 linear evolution trend. The landslide displacements in Figs. 15(b), (d), and (f) can be further segmented into 699 three major stages annually by visual interpretation, as indicated by the blue dashed rectangles. First, the 700 landslide was in a stable state (Stage I), with very little precipitation from December 2016 to February 2017. It 701 then began to deform along with small rainfall from March to May 2017. In particular, significant acceleration 702 (Stage II) was observed, accompanied by heavy rainfall from June to August 2017, with a maximum monthly 703 precipitation of 154 mm in June. A particular displacement evolution of the landslide was detected from August 704 to December 2017, that is, the landslides exhibited a stable state during this period; however, the study area was 705 still in the rainy season, with a monthly precipitation of approximately 111 mm. A notable acceleration of 706 landslide displacement (Stage III) was also observed from September to December 2017. Furthermore, the 707 displacement accelerated again (see the black dashed rectangles in Figs. 15(b), (d), and (f)) along with the 708 emergence of strong precipitation in the summer of 2018. From the results of the correlation analysis between 709 precipitation and water level changes in the Jinsha River as shown in Fig. 15(h), we can observe that there is a 710 strong correlation between the water level changes in the Jinsha River and precipitation. That is, a sharp rise 711 (see A marked in Fig. 15(h)) in the water level of the Jinsha River resulted from heavy rainfall and quick 712 declines (see B marked in Fig. 15(h)) were observed with the decrease in rainfall. These findings suggest that 713 the non-linear movement behaviour of the landslide at Points P5, P7 and P9 is likely caused by the water level 714 fluctuations resulted from periodic heavy rainfall. Thus, we infer that the fluctuation of river water level is one 715 of the major driving factors of landslide activity in the study area.



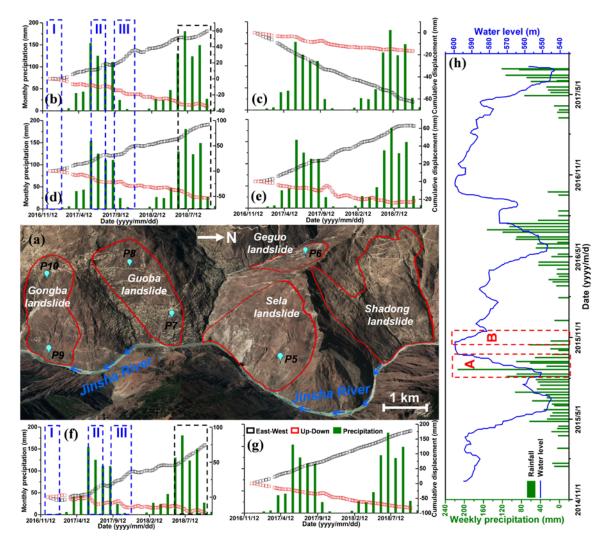


Fig. 15. Plots of 2D displacement time series of typical landslides versus monthly precipitation. (a) Optical image of the selected typical landslides, where the red lines are the boundary of the landslides, and the green circles indicate the locations of Points P5–P10; (b) P5; (c) P6; (d) P7; (e) P8; (f) P9; (g) P10; and (h) weekly precipitation in the Xiluodu reservoir area of the Jinsha River versus actual water level of the Jinsha River.

721 6 Conclusions

716

We presented a new approach for fusing C- and L-band SAR images to retrieve the 3D and long-term (nearly 12 years) displacement time series of landslides. Its performance was tested and validated by landslides over the Jinsha River in Gongjue County, China. The spatial distribution and spatiotemporal displacement patterns of landslides were retrieved using four SAR datasets of L-band ascending ALOS/PALSAR-1, C-band descending ENVISAT, and C-band ascending and descending Sentinel-1 acquired from January 2007 to November 2018. Moreover, the kinematic evolution and possible driving factors of landslide displacements were analyzed and discussed. Several conclusions can be drawn as follows: First, 13 active landslides with diverse dimensions were detected and mapped with a total coverage of approximately 176 km², seven of which were larger than 2 km in either length or width. The two-dimensional displacement results revealed that the detected landslides had the different spatiotemporal displacement patterns and movement directions, which were strongly correlated with the geomorphological features of the slopes. In particular, the heterogeneous displacement pattern and movement direction of each block of the Shadong landslide were identified using 3D displacement rates and time series.

735 Second, nearly 12 years of displacement time series of the Shadong landslide were first retrieved by linking 736 L-band ALOS/PALSAR-1 and C-band Sentinel-1 SAR images based on the Tikhonov regularization (TR) 737 method. The experimental results indicated that the largest cumulative displacement of the Shadong landslide 738 reached -1.33 m in the sliding direction from January 2007 to October 2018, and the kinematic evolution and 739 creep behavior of the Shadong landslide were investigated using rock's unidimensional constitutive laws of 740 Lomnitz 1956, 1957, Modified Lomnitz, and Aydan et al. 2003. The displacement observed by InSAR data fit 741 well with that modelled by unidimensional constitutive laws. Therefore, we can conclude that the Shadong 742 landslide may have been in the primary and secondary creep stages.

Third, the 2D nonlinear displacement time series were captured on the landslides near the Jinsha River, which corresponded directly to the river water level fluctuations that were caused by seasonal heavy rainfall. Consequently, the river water level fluctuations can be inferred as one of the major driving factors of landslide displacement.

747 Acknowledgments

748 This research was financially funded by the Natural Science Foundation of China (Grant Nos. 41731066, 749 41874005, 41790440), the Fundamental Research Funds for the Central University (Grant Nos. 300102269712 750 and 300102269303), and China Geological Survey Project (DD20190637 and DD20190647). This research was 751 also supported by a Chinese Scholarship Council studentship awarded to Xiaojie Liu (Ref. 202006560031). 752 Roberto Tomás was supported by the Spanish Ministry of Economy, Industry and Competitiveness (MINECO), 753 the State Agency of Research (AEI) and European Funds for Regional Development (FEDER) under project 754 TEMUSA (TEC2017-85244-C2-1-P). We thank the editors and eight anonymous reviewers for their 755 constructive and insightful comments and suggestions.

756 References

- 757 Aydan, Ö., Ito, T., Özbay, U., Kwasniewski, M., Shariar, K., Okuno, T., Özgenoğlu, A., Malan, D.F., Okada,
- T., 2014. ISRM suggested methods for determining the creep characteristics of Rock. Rock Mech Rock Eng,
 47, 275-290.
- Ao, M., Zhang, L., Shi, X.G., Liao, M.S., Dong, J., 2019. Measurement of the three-dimensional surface
 deformation of the Jiaju landslide using a surface-parallel flow model. Remote Sensing Letters 10(8), 776-
- 762 785.
- Berardino, P., Fornaro, G., Lanari, R., Sansosti, E., 2002. A new algorithm for surface deformation monitoring
 based on small baseline differential SAR interferograms. IEEE Trans. Geosci. Remote Sens. 40(11), 2375–
 2383.
- Burrows, K., Walters, R.J., Milledge, D., Spaans, K., Densmore, A., 2019. A new method for large-scale
 landslide classification from satellite radar. Remote Sensing 11, 237.
- Cascini, L., Fornaro, G., Peduto, D., 2010. Advanced low- and full-resolution DInSAR map generation for
 slow-moving landslide analysis at different scales. Engineering Geology 112, 29-42.
- Chen, J., Dai, F.C., Lv, T.Y., Cui, Z.J., 2013. Holocene landslide-dammed lake deposits in the Upper Jinsha
 River, SE Tibetan Plateau and their ages. Quaternary International 298, 107-113.
- Chen, K.J., Avouac, J.P., Aati, S., Milliner, C., Zheng, F., Shi, C., 2020. Cascading and pulse-like ruptures
 during the 2019 Ridgecrest earthquakes in the Eastern California Shear Zone. Nature Communications 11,
- 774 1-8.
- 775 Chen, L.Q., Zhao, C.Y., Kang, Y., Chen, H.Y., Yang, C.S., Li, B., Liu, Y.Y., Xing, A.G., 2020. Pre-event
- deformation and failure mechanism analysis of the Pusa landslide, China with multi-sensor SAR imagery.
 Remote Sensing 12, 856.
- Costantini, M., 1998. A novel phase unwrapping method based on network programming. IEEE Trans. Geosci.
 Remote Sens. 36 (3), 813–821.
- 780 Cruden, D.M., Varnes, D.J., 1996. Landslide types and process. In: Turner, A.K. & Schuster, R.L. (eds.)
- Landslides: investigation and mitigation (Special Report). National Research Council, Transportation and
 Research Board Special Report, Washington, DC, USA, 36–75.
- 783 Dong, J., Liao, M.S., Xu, Q., Zhang, L., Tang, M.G., Gong, J.Y., 2018. Detection and displacement
- 784 characterization of landslides using multi-temporal satellite SAR interferometry: A case study of Danba
- 785 County in the Dadu River Basin. Engineering Geology 240, 95-109.

- 786 Dai, K.R., Li, Z.H., Xu, Q., Bürgmann, R., Milledge, D.G., Tomás, R., Fan, X.M. et al., 2020. Entering the era
- 787 of earth observation-based landslide warning systems: A novel and exciting framework. IEEE Geoscience 788 and Remote Sensing Magazine 8(1), 136-153.
- 789 Eriksen, H., Lauknes, T., Larsen, Y., D. Corner, G., G. Bergh, S., Dehls, J., Kierulf, H.P., 2017. Visualizing
- 790 and interpreting surface displacement patterns on unstable slopes using multi-geometry satellite SAR

791 interferometry (2D InSAR). Remote Sensing of Environment 191, 297-312.

- 792 Frattini, P., B. Crosta, G., Rossini, M., Allievi, J., 2018. Activity and kinematic behaviour of deep-seated 793 landslides from PS-InSAR displacement rate measurements. Landslides 15, 1053 - 1070.
- 794 Froude, M., Petley, D., 2018. Global fatal landslide occurrence from 2004 to 2016. Nat. Hazards Earth Syst. 795 Sci. 18, 2161–2181.
- 796 Fukuzono, T., 1985. A new method for predicting the failure time of a slope failure. In: Proceeding of 4th 797 International Conference and Field Workshop on Landslides, Tokyo (Japan), pp. 145-150.
- 798 Goldstein, R., Werner, C., 1998. Radar interferogram filtering for geophysical applications. Geophys. Res. Lett. 799 25 (21), 4035-4038.
- 800 Gourmelen, N., Kim, S.W., Shepherd, A., Park, J.W., Sundal, A.V., BjÖrnsson, H., Pálsson, F., 2011. Ice
- 801 velocity determined using conventional and multiple-aperture InSAR. Earth Planet. Sci. Lett. 307 (1-2), 156-
- 802 160.
- 803 Guo, C.B., R. Montgomery, D., Zhang, Y.S., Wang, K., Yang, Z.H., 2015. Quantitative assessment of landslide 804 susceptibility along the Xianshuihe fault zone, Tibetan Plateau, China. Geomorphology 248, 93-110.
- 805 Handwerger, A.L., Fielding, E.J., Huang, M.H., Bennett, G.L., Liang, C.R., Schulz, W.H., 2019. Widespread
- 806 Initiation, Reactivation, and Acceleration of Landslides in the Northern California Coast Ranges due to 807 Extreme Rainfall. Journal Geophysical Research: Earth Surface 124(7), 1-16.
- 808 Hansen, P., O'Leary, D., 1993. The use of the L-curve in the regularization of discrete ill-posed problems.
- 809 SIAM Journal on Scientific Computing 14(6), 1487–1503.
- 810 He, P., Wen, Y.M., Xu, C.J., Chen, Y.G., 2019. Complete three-dimensional near-field surface displacements
- 811 from imaging geodesy techniques applied to the 2016 Kumamoto earthquake. Remote Sensing of 812
- Environment 232, 111321.
- 813 Herrera, G., Gutiérrez, F., García-Davalillo, J.C., Guerrero, J., Notti, D., Galve, J.P., Fernández-Merodo, J.A.,
- 814 Cooksley, G., 2013. Multi-sensor advanced DInSAR monitoring of very slow landslides: The Tena Valley
- 815 case study (Central Spanish Pyrenees). Remote Sensing of Environment 128, 31-43.

- Hu, J., Li, Z.W., Ding, X.L., Zhu, J.J., Zhang, L., Sun, Q., 2014a. Resolving three-dimensional surface
 displacements from InSAR measurements. Earth-Science Reviews 133, 1-17.
- 818 Hu, J., Li, Z.W., Li, J., Zhang, L., Ding, X.L., Zhu, J.J., Sun, Q., 2014b. 3-D movement mapping of the alpine
- glacier in Qinghai-Tibetan Plateau by integrating D-InSAR, MAI and Offset-Tracking: Case study of the
- 820 Dongkemadi Glacier. Global and Planetary Change 118, 62-68.
- Hu, X., Bürgmann, R., Lu, Z., Handwerger, A. L., Wang, T., Miao, R., 2019. Mobility, thickness, and hydraulic
- 822 diffusivity of the slow-moving Monroe landslide in California revealed by L-band satellite radar
- 823 interferometry. Journal of Geophysical Research: Solid Earth 124, 1-15.
- Hu, X., Lu, Z., Pierson, T.C., Kramer, R., George, D.L., 2018. Combining InSAR and GPS to determine
 transient movement and thickness of a seasonally active low-gradient translational landslide. Geophysical
 Research Letters 45, 1-10.
- 827 Hu, X., Wang, T., Pierson, T.C., Lu, Z., Kim, J.W., Cecere, T.H., 2016. Detecting seasonal landslide movement
- within the Cascade landslide complex (Washington) using time-series SAR imagery. Remote Sensing of
 Environment 187, 49-61.
- 830 Intrieri, E., Carlà, T., Gigli, G., 2019. Forecasting the time of failure of landslides at slope-scale: A literature
 831 review. Earth-Science Reviews 193, 333-349.
- 832 Intrieri, E., Frodella, W., Raspini, F., Bardi, F., Tofani, V., 2020. Using satellite interferometry to infer landslide
 833 sliding surface depth and geometry. Remote Sensing 12, 1462.
- Jo, M.J., Jung, H.S., Won, J.S. Measurement of precise three-dimensional volcanic deformations via TerraSAR-
- X synthetic aperture radar interferometry. Remote Sensing of Environment 192. 228-237.
- Kang, Y., Zhao, C.Y., Zhang, Q., Lu, Z., Li, B., 2017. Application of InSAR Techniques to an Analysis of the
 Guanling Landslide. Remote Sensing 9, 1046.
- Lacroix, P., Handwerger, A.L., Bièvre, G., 2020. Life and death of slow-moving landslides. Nature Reviews
 Earth & Environment 1, 404-419.
- Li, M.H., Zhang, L., Shi, X.G., Liao, M.S., Yang, M.S., 2019. Monitoring active motion of the Guobu landslide
- 841 near the Laxiwa Hydropower Station in China by time-series point-like targets offset tracking. Remote
 842 Sensing of Environment 221, 80-93.
- Li, X., Guo, C.B., Yang, Z.H., Liao, W., Wu, R.A., Jin, J.J., He, Y.X., 2021. Development characteristics and
- formation mechanism of the Xiongba giant ancient landslide in the Jinshajiang tectonic zone. Geoscience

845 35(1), 47-55. (In Chinese)

- Li, Y., Fan, X.Y., Cheng, G.W., 2006. Landslide and rockfall distribution by reservoir of stepped hydropower
 station in the Jinsha River. Wuhan University Journal of Natural Science 4, 801-805.
- Lin, Q.G., Wang, Y., 2018. Spatial and temporal analysis of a fatal landslide inventory in China from 1950 to
 2016. Landslides 15, 2357-2372.
- 850 Lu, Y.F., 2015. Deformation and failure mechanism of slope in three dimensions. Journal of Rock Mechanics
- and Geotechnical Engineering 7, 109-119.
- Lu, H.Y., Li, W.L., Xu, Q., Dong, X.J., Dai, C., Wang, A., 2019. Early detection of landslides in the upstream
- and downstream areas of the Baige landslide, the Jinsha River based on optical remote sensing and InSAR
- technologies. Geomatics and Information Science of Wuhan University 44, 1342-1354.
- Lyons, S., Sandwell, D., 2003. Fault creep along the southern San Andreas from interferometric synthetic
 aperture radar, permanent scatterers, and stacking. J. Geophys. Res. Solid Earth 108.
- Ma, D.T., Tu, J.J., Cui, P., Lu, R.R., 2004. Approach to Mountain Hazards in Tibet, China. Journal of Mountain
 Science 2, 143-154.
- Marquardt, D., 1963. An algorithm for least square estimation on non-linear parameters. SIAM J. APPL. MATH.
 11, 431-441.
- 861 Pepe, A., Bonano, M., Zhao, Q., Yang, T.L., Wang, H.M., 2016a. The use of C-/X-band time-gapped SAR data
- and geotechnical models for the study of Shanghai's ocean-reclaimed lands through the SBAS-DInSAR
 technique. Remote Sensing 8, 911.
- Pepe, A., Solaro, G., Calo, F., Dema, C., 2016b. A Minimum Acceleration Approach for the Retrieval of
 Multiplatform InSAR Deformation Time Series. IEEE Journal of Selected Topics in Applied Earth
 Observations and Remote Sensing 9(8), 3883-3898.
- Piciullo, L., Calvello, M., Cepeda, J.M., 2018. Territorial early warning systems for rainfall-induced landslides.
 Earth-Science Reviews 179, 228-247.
- 869 Raucoules, D., de Michele, M., MaletC, J.P., Ulrich, P., 2013. Time-variable 3D ground displacements from
- 870 high-resolution synthetic aperture radar (SAR). Application to La Valette landslide (South French Alps).
- 871 Remote Sensing of Environment 139, 198-204.
- 872 Samsonov, S., 2019. Three-dimensional deformation time series of glacier motion from multiple-aperture
- 873 DInSAR observation. Journal of Geodesy 93, 2651-2660.
- 874 Samsonov, S., d'Oreye, N., Smets, B, 2013. Ground deformation associated with post-mining activity at the
- 875 French–German border revealed by novel InSAR time series method. International Journal of Applied Earth
- 876 Observation and Geoinformation 23, 142-154.

- 877 Samsonov, S., d'Oreye, N., González, J., Tiampo, K., Ertolahti, L., Clague, J., 2014. Rapidly accelerating
- 878 subsidence in the Greater Vancouver region from two decades of ERS-ENVISAT-RADARSAT-2 DINSAR
- 879 measurements. Remote Sensing of Environment 143, 180-191.
- 880 Samsonov, S., Tiampo, K., Rundle, J., Li, Z.H., 2007. Application of DInSAR-GPS optimization for derivation
- 881 of fine-scale surface motion maps of southern California. IEEE Trans. Geosci. Remote Sens. 45 (2), 512–
- **882 521**.
- Satio, M., 1969. Forecasting time of slope failure by tertiary creep. In Proceeding of 7th International
 Conference on Soil Mechanics and Foundations Engineering, Montreal (Canada), pp. 667-683.
- 885 Schaefer, L.N., Traglia, F.D., Chaussard, E., Lu, Z., Nolesini, T., Casagli, N., 2019. Monitoring volcano slope
- instability with Synthetic Aperture Radar: A review and new data from Pacaya (Guatemala) and Stromboli
- 887 (Italy) volcanoes. Earth-Science Reviews 192, 236-257.
- 888 Shi, X.G., Yang, C., Zhang, L., Jiang, H.J., Liao, M.S., Zhang, L., Liu, X.G., 2019. Mapping and characterizing
- displacements of active loess slopes along the upstream Yellow River with multi-temporal InSAR datasets.
- 890 Science of the Total Environment 674, 200-210.
- Shi, X.G., Zhang, L., Balz, T., Liao, M.S., 2015. Landslide deformation monitoring using point-like target offset
 tracking with multi-mode high-resolution TerraSAR-X data. ISPRS Journal of Photogrammetry and Remote
 Sensing 105, 128-140.
- 894 Shi, X.G., Zhang, L., Zhang, Y.L., Zhang, L., Liao, M.S., 2020. Detection and characterization of active slope
- deformations with Sentinel-1 InSAR analyses in the southwest area of Shanxi, China. Remote Sensing 12,392.
- Shi, X.G., Zhang, L., Zhou, C., Li, M.H., Liao, M.S., 2018. Retrieval of time series three-dimensional landslide
 surface displacements from multi-angular SAR observations. Landslides 15, 1015-1027.
- 899 Sun, Q., Hu, J., Zhang, L., Ding, X.L., 2016. Towards Slow-Moving Landslide Monitoring by Integrating Multi-
- 900 Sensor InSAR Time Series Datasets: The Zhouqu Case Study, China. Remote Sensing 8, 908.
- 901 Tikhonov, A., 1963. Solution of incorrectly formulated problems and the regularization method. Soviet Math.
 902 Dokl. 4, 1035–1038.
- 903 Tong, X.H., Liu, S., Li, R.X., Xie, H., Liu, S.J., Qiao, G., Feng, T.T., Tian, Y.X., Ye, Z., 2018. Multi-track
- 904 extraction of two-dimensional surface velocity by the combined use of differential and multiple-aperture
- 905 InSAR in the Amery Ice Shelf, East Antarctica. Remote Sensing of Environment 204, 122-137.
- 906 Varnes, D., 1996. Landslide types and processes. Landslides-invesitgation and mitigation 247, 36-75.

- Wang, S.J., Li, G.H., Zhang, Q., Lan, C.L, 2000. Engineering geological study of the active tectonic region for
 hydropower development on the Jinsha River, upstream of the Yangtze River. Acta Geologica Sinica 74(2),
 353-361.
- 910 Wang, T., Jonsson, S., 2015. Improved SAR amplitude image offset measurements for deriving three-
- 911 dimensional coseismic displacements. IEEE Journal of Selected Topics in Applied Earth Observations and
- 912 Remote Sensing 8, 3271–3278.
- Wasowski, J., Bovenga, F., 2014. Investigation landslides and unstable slopes with satellite multi temporal
 interferometry: Current issues and future perspectives. Engineering Geology 174, 103-138.
- 915 Wasowski, J., Pisano, L., 2020. Long-term InSAR, borehole inclinometer, and rainfall records provide insight
- 916 into the mechanism and activity patterns of an extremely slow urbanized landslide. Landslides 17, 445–457.
- 917 Wright, T.J., Parsons, B.E., Lu, Z., 2004. Toward mapping surface deformation in three dimensions using
- 918 InSAR. Geophysical Research Letters 31, L01607.
- 919 Wu, S.B., Yang, Z.F., Ding, X.L., Zhang, B.C., Zhang, L., Lu, Z., 2020. Two decades of settlement of Hong
- Wong International Airport measured with multi-temporal InSAR. Remote Sensing of Environment 248,
 111976.
- Yin, Y.P., Wang, F.W., Sun, P., 2009. Landslide hazards triggered by the 2008 Wenchuan earthquake, Sichuan,
 China. Landslides 6, 139-151.
- 24 Zhao, C.Y., Kang, Y., Zhang, Q., Lu, Z., Li, B., 2018. Landslide identification and monitoring along the Jinsha
- 925 River catchment (Wudongde reservoir area), China, using the InSAR method. Remote Sensing 10, 993.

927 List of Figure Captions

- 928
- 929 Fig. 1. Location of the study area and coverage of the synthetic aperture radar (SAR) images, with SRTM DEM

930 as the base map. The white and black rectangles represent the study area and the coverage of the SAR images,

931 respectively, and the red dots are the earthquakes that occurred in the study area and vicinity during the period

- of 1954 to 2019. The red lines are the faults modified from Li et al., 2021, where F1: Jinsha River East Fault;
- 933 F2: Jinsha River Main Fault; F3: Xiongsong-Suwalong Fault; and F4: Batang Fault.
- 934

Fig. 2. (a) Geological setting of the study area, with the scale of 1: 250000. The name of the labeled landslides

936 (i.e., No.1 ~ No.13) is listed in Table 2, and the red lines indicate the faults. (b) Shaded relief map of the Shadong

937 landslide, labeled as No.2 in (a). The polygons with different colors represent five blocks (B1-B5) of the

landslide. (c) Geological cross section along the Profile I-I' marked in (b), adapted from Li et al., 2021.

939

- 940 Fig. 3. Spatial-temporal baseline combinations of all interferograms used in this study. (a) ALOS/PALSAR-1
- 941 dataset for Path 484; (b) ENVISAT dataset for Path 190; (c) ascending Sentinel-1 dataset for Path 99; and (d)
- 942 descending Sentinel-1 dataset for Path 33.
- 943
- 944 Fig. 4. Flowchart of 3D and long-term displacement time series estimation and mechanism analysis of landslide.945
- 946 Fig. 5. Line-of-sight (LOS) displacement rate maps for the study area derived from (a) ascending 947 ALOS/PALSAR-1 images between January 2007 and March 2011; (b) descending ENVISAT images between 948 February 2007 and October 2010; (c) ascending Sentinel-1 images between August 2016 and October 2018; 949 and (d) descending Sentinel-1 images between December 2016 and November 2018. The labels indicate the 950 name of the detected landslides listed in Table 2, and the white solid polygons indicate the boundaries of the 951 landslides.
- 952
- **Fig. 6.** Location and extent of the main detected active landslides on the perspective remote sensing image. Thepoints indicate the location of the main villages placed in the study area.
- 955

Fig. 7. Two-dimensional displacement rate maps of the detected landslides from December 2016 to October
2018 calculated with ascending and descending Sentinel-1 images. The white solid polygons indicate the
boundaries of the landslides. (a) Horizontal east-west displacement rate map; and (b) vertical displacement rate
map.

960

Fig. 8. Remote sensing image and 3D displacement rate maps from December 2016 to October 2018 of the Shadong landslide. The boundary of the landslide movement is marked using the red solid lines, and the black dotted polygons (i.e., R1 and R2) in (b) indicate the two secondary sliding regions. (a) Remote sensing image acquired in March 2015, where different colors represent five blocks of the landslide; (b) north-south displacement rate map; (c) east-west displacement rate map, from which Points P1–P4 are analyzed in the text to show displacement time series; and (d) vertical displacement rate map, where two black lines indicate the locations of Profiles AA' and BB'.

Fig. 9. Displacement rates along the three components and elevation along the Profiles AA' and BB' labeled in
Fig. 8(d). (a) Profile AA'; and (b) Profile BB', where B1, B2 and B3–B4 indicate block 1, block 2 and blocks
3-4 of the Shadong landslide labeled in Fig. 8(a), respectively.

972

973 Fig. 10. (a) The horizontal movement vector of the Shadong landslide; and (b) the enlarged horizontal
974 movement vector over Region R1 marked in Fig. 8(b). The base map is the UAV image acquired on 13 June
975 2020, with a spatial resolution of 0.3 m.

976 Fig. 11. Three-dimensional displacement rate maps of Region R1 marked in Fig. 8(b). (a) UAV image acquired
977 on 13 June 2020; (b) north-south displacement rate; (c) east-west displacement rate; and (d) vertical
978 displacement rate.

979

Fig. 12. The displacement time series along the three main components for Points P1–P4 (marked in Fig. 8(c))
of the Shadong landslide from December 2016 to October 2018. (a) P1; (b) P2; (c) P3; and (d) P4.

982

Fig. 13. One-dimensional long-term displacement time series in the sliding direction of the Shadong landslide
for Points P1–P4 calculated by fusing L-band ALOS/PALSAR-1 and C-band Sentinel-1 SAR measurements
from January 2007 to October 2018. (a) P1; (b) P2; (c) P3; and (d) P4.

986

987 Fig. 14. Kinematic evolution and creep behavior of the Shadong landslide from January 2007 to October 2018. 988 (a) Standard three-stage creep rupture curve of the slope (modified after Fukuzono, 1985; Intrieri et al., 2019; 989 and Saito, 1969); (b) displacement time series (in the sliding direction) of the Shadong landslide for Point P1 990 derived from InSAR observations (black squares) versus that derived by modeling of rock's unidimensional 991 constitutive laws (blue line); (c) displacement time series of Point P3 derived by InSAR observations (black 992 squares) versus that derived by modelling (red and blue lines); (d) Residuals of Point P1, calculated by 993 subtracting the modeled values (using Modified Lomnitz law) from the observed values; (e) Residuals of Point 994 P3, calculated by subtracting the modeled values (using Lomnitz 1956, 1957) from the observed values. The 995 locations of Points P1 and P3 are marked in Fig. 8(c).

996

Fig. 15. Plots of 2D displacement time series of typical landslides versus monthly precipitation. (a) Opticalimage of the selected typical landslides, where the red lines are the boundary of the landslides, and the green

- circles indicate the locations of Points P5–P10; (b) P5; (c) P6; (d) P7; (e) P8; (f) P9; (g) P10; and (h) weekly
- 1000 precipitation in the Xiluodu reservoir area of the Jinsha River versus actual water level of the Jinsha River.