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Essential bounds of Dirichlet polynomials

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Abstract

In this paper we have given conditions on exponential polynomials $P_n(s)$ of Dirichlet type to be attained the equality between each of two pairs of bounds, called essential bounds, $a_{P_n(s)}$, ρ_N and $b_{P_n(s)}$, ρ_0 associated with $P_n(s)$. The reciprocal question has been also treated. The bounds $a_{P_n(s)}$, $b_{P_n(s)}$ are defined as the end-points of the minimal closed and bounded real interval $I = [a_{P_n(s)}, b_{P_n(s)}]$ such that all the zeros of $P_n(s)$ are contained in the strip $I \times \mathbb{R}$ of the complex plane \mathbb{C} . The bounds ρ_N , ρ_0 are defined as the unique real solutions of Henry equations of $P_n(s)$. Some applications to the partial sums of the Riemann zeta function have been also showed.

Keywords Dirichlet polynomials · Zeros of exponential polynomials · Diophantine and rational dependence · Zeros of partial sums of the Riemann zeta function

Mathematics Subject Classification Primary 30B50 · 11M41; Secondary 30D05

1 Introduction

An integer $N \geq 1$, non-null complex numbers α_j and positive real numbers $\lambda_1 < \dots < \lambda_N$ define an exponential polynomial of the form

$$P(s) = 1 + \sum_{j=1}^N \alpha_j e^{-s\lambda_j}, \quad s := \sigma + it \in \mathbb{C}, \quad (1.1)$$

where α_j are called the coefficients and λ_j the exponents, or frequencies, of $P(s)$. An immediate property is satisfied:

$$\lim_{\sigma \rightarrow +\infty} P(s) = \lim_{\sigma \rightarrow -\infty} Q(s) = 1, \quad (1.2)$$

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where $Q(s) := \alpha_N^{-1} e^{s\lambda_N} P(s)$. On the other hand, any non-constant exponential polynomial has infinitely many zeros as a consequence of Hadamard's Factorization Theorem [2, p. 151] or from Pólya's Theorem (see [12, p. 71]). Therefore, since $P(s)$ and $Q(s)$ have exactly the same zeros, by (1.2) it follows the existence of a constant $A > 0$ such that $P(s) \neq 0$ for all s with $\Re s = \sigma$ such that $|\sigma| > A$. This means that the two half planes $\{s : \sigma < -A\}$, $\{s : \sigma > A\}$ are zero-free for $P(s)$. Consequently for any $P(s)$ of the form (1.1) there exist two real numbers $a_{P(s)}$, $b_{P(s)}$ defined as

$$a_{P(s)} := \inf\{\sigma : P(s) = 0\}, \quad b_{P(s)} := \sup\{\sigma : P(s) = 0\}. \tag{1.3}$$

On the other hand, given an exponential polynomial $P(s)$ we have the equations, with ρ as unknown,

$$|\alpha_N| e^{-\rho\lambda_N} = 1 + \sum_{j=1}^{N-1} |\alpha_j| e^{-\rho\lambda_j}, \quad 1 = \sum_{j=1}^N |\alpha_j| e^{-\rho\lambda_j}, \tag{1.4}$$

called Henry's equations [7]. By Pólya Criterium [13, p. 46], each equation of (1.4) has a unique real solution denoted by ρ_N and ρ_0 , respectively. Therefore to an exponential polynomial $P(s)$ of the form (1.1) we can associated the numbers $a_{P(s)}$, $b_{P(s)}$, defined in (1.3), and ρ_N , ρ_0 , defined in (1.4). These four numbers will be named essential bounds associated with $P(s)$.

An elementary analysis of the real functions

$$f(\rho) := |\alpha_N| e^{-\rho\lambda_N} - \left(1 + \sum_{j=1}^{N-1} |\alpha_j| e^{-\rho\lambda_j} \right), \quad g(\rho) := 1 - \sum_{j=1}^N |\alpha_j| e^{-\rho\lambda_j},$$

whose unique real zeros are ρ_N and ρ_0 respectively, proves that there is no zero of $P(s)$ at the left of ρ_N neither at the right of ρ_0 . That is, if s is a zero of $P(s)$, necessarily $\rho_N \leq \Re s \leq \rho_0$. Therefore $\rho_N \leq a_{P(s)}$ and $b_{P(s)} \leq \rho_0$. Since it is always true that $a_{P(s)} \leq b_{P(s)}$, the essential bounds of any exponential polynomial $P(s)$ of the form (1.1) are related by the inequalities

$$\rho_N \leq a_{P(s)} \leq b_{P(s)} \leq \rho_0. \tag{1.5}$$

Furthermore, noticing that any non-constant exponential polynomial $P(s)$ has infinitely many zeros, the closure of the real parts of its zeros

$$R_{P(s)} := \overline{\{\sigma : P(s) = 0\}}, \tag{1.6}$$

is a non-empty-set. From (1.5), it follows that

$$R_{P(s)} \subset [a_{P(s)}, b_{P(s)}] \subset [\rho_N, \rho_0]. \tag{1.7}$$

It is evident that if $N = 1$ (the trivial case) the two Henry's equations are equal, so the numbers ρ_N and ρ_0 coincide. Then, from (1.5), the four bounds are equal and the exponential polynomial $P(s)$ has infinitely many zeros aligned. Consequently, in order to avoid the trivial case, from now on, we will assume that $N > 1$ in the expression that defines an exponential polynomial $P(s)$ of the form (1.1). That is, we will consider exponential polynomials with at least three non-null terms.

For a given $P(s)$ of the form (1.1) it would not be too much difficult to obtain computationally the values of ρ_N , ρ_0 by means of Henry's equations (1.4). However we could not say the same for finding an analytical expression for ρ_N , ρ_0 , as well as for the numbers $a_{P(s)}$, $b_{P(s)}$, when $N \rightarrow \infty$. Furthermore, even in the case to have analytical expressions of those

numbers, as usually they are asymptotic, the differences between the bounds $\rho_N, a_{P(s)}$ and $\rho_0, b_{P(s)}$, if there are, are really not easy to determine. For instance, for the special type of Dirichlet polynomials $\zeta_n(s) := \sum_{j=1}^n j^{-s}$, i.e., the n th partial sums of the series $\sum_{j=1}^{\infty} j^{-s}$, $\sigma > 1$, that defines the Riemann zeta function, we have the estimates

$$b_{\zeta_n(s)} = 1 + \left(\frac{4}{\pi} - 1 + o(1) \right) \frac{\log \log n}{\log n}, \quad n \rightarrow \infty,$$

and

$$a_{\zeta_n(s)} = -\frac{\log 2}{\log\left(\frac{n-1}{n-2}\right)} + \Delta_n, \quad \limsup_{n \rightarrow \infty} |\Delta_n| \leq \log 2, \quad n > 2,$$

found in 2001 [8] and 2015 [10], respectively. By comparing the previous bounds $a_{\zeta_n(s)}$, $b_{\zeta_n(s)}$ with the solutions of (1.4) for ρ_N, ρ_0 , we can see that computationally $\rho_N, a_{\zeta_n(s)}$ and $\rho_0, b_{\zeta_n(s)}$ are indistinguishable when n is large. The difficulty to settle the equality or not between ρ_0 and $b_{\zeta_n(s)}$ is specially hard in this case. Regarding to ρ_N and $a_{\zeta_n(s)}$, to appreciate such difference the value of $|\rho_N - a_{\zeta_n(s)}|$ would have to be greater than $\log 2$, but usually it does not occur, as we can see in [10].

In the same way that is relevant the abscissa of convergence for a Dirichlet series [1, p. 165] (very interesting works on this subject and generalizations can be seen, for instance, in [4,5]), it is also relevant the essential bounds for a Dirichlet polynomial. In a recent article [14] both notions, i.e., the abscissa of convergence of an ordinary Dirichlet series, whose coefficients α_n are defined by $\alpha_n := f(n)$ (f denotes a multiplicative function [1, p. 138]) and the essential bound $b_{P_N(s)}$ corresponding to the N th partial sum $P_N(s)$ of the given series, have been related. Important results have been obtained in the aforementioned paper such as an analytical expression for $b_{P_N(s)}$ that generalizes the obtained for $b_{\zeta_n(s)}$ in [8] by Montgomery and Vaughan.

In the present paper, for a given exponential $P(s)$ of the form (1.1) we have treated the problem of the equality between the bounds $\rho_N, a_{P(s)}$ and $\rho_0, b_{P(s)}$. Our study has been focused on a class of exponential polynomials that we have called Dirichlet polynomials because they are partial sums of ordinary Dirichlet series [1, p. 161]. That is, we have considered the class of normalized exponential polynomials of the form

$$P_n(s) := 1 + \sum_{j=1}^{n-1} \frac{\alpha_j}{(j+1)^s}, \quad n > 2, \quad \alpha_j \geq 0, \alpha_j \alpha_{n-1} \neq 0 \text{ for some } j < n-1. \quad (1.8)$$

To be more concrete, in the present paper we have found the conditions that must be imposed on a Dirichlet polynomial $P_n(s)$ to have either

$$\rho_N = a_{P_n(s)} \quad (1.9)$$

or

$$\rho_0 = b_{P_n(s)}. \quad (1.10)$$

The converse question is relevant and it also has been studied. Throughout the manuscript we have demonstrated that the aforementioned conditions are linked to the notions of diophantine and rational dependence (see below for a precise definition). We will show that the exponential polynomials of the class of prime Dirichlet polynomials (see below Definition 2.1) satisfy the property (1.9), whereas the exponential polynomials of the class of strict prime Dirichlet polynomials (see below Definition 2.2) satisfy both properties (1.9) and (1.10). To prove the main results of the paper we have used analytical-arithmetical techniques. For instance, it

Author Proof

has been used a characterization of the set (1.6) (see [3, Theorem 3.1], [11, Theorem 1] that is crucial to prove Theorem 3.1 and Theorem 3.2. This theorem generalizes [9, Theorem 3] (see below Example 3.2). Another important result that we have handled has been Bohr’s equivalence theorem [1, Theorem 8.16] used to prove Theorem 4.1. Among the applications to the partial sums of the Riemann zeta function (see the Sect. 5 in the manuscript), we have obtained a characterization of the set of all prime numbers by means of those partial sums $\zeta_n(s)$ that satisfy the property $\rho_N = a_{\zeta_n(s)}$.

2 Preliminaries

Firstly we introduce two remarkable classes of Dirichlet polynomials.

Definition 2.1 A Dirichlet polynomial $P_n(s)$ of the form (1.8) is said to be a prime Dirichlet polynomial if and only if n is a prime number. The class of all prime Dirichlet polynomials will be denoted as \mathcal{P} .

For instance, the Dirichlet polynomials $P_5(s) := 1 + 2^{-s} + 3^{-s} + 4^{-s} + 5^{-s}$, $P_7(s) := 1 + 2^{-s} + 4^{-s} + 7^{-s}$ are in the class \mathcal{P} .

A special subclass of \mathcal{P} is the following.

Definition 2.2 A Dirichlet polynomial $P_n(s)$ of the form (1.8) is said to be a strict prime Dirichlet polynomial if and only if n is a prime number and $\alpha_j \neq 0$ for $j = p - 1$, $\alpha_j = 0$ for all $j \neq p - 1$, for all primes p with $p \leq n$. The class of all strict prime Dirichlet polynomials will be denoted as \mathcal{P}_{st} .

For instance, the prime Dirichlet polynomials $Q_3(s) := 1 + 2^{-s} + 3^{-s}$, $Q_5(s) := 1 + 2^{-s} + 3^{-s} + 5^{-s}$ are in the class \mathcal{P}_{st} .

In order to use some results already known on exponential polynomials, in the next result we prove that the class of Dirichlet polynomials is a subclass of a more general class of exponential polynomials that can be written in the form

$$P(s) := 1 + \sum_{j=1}^N \alpha_j e^{-s\gamma_j \cdot r}, \quad \alpha_j \in \mathbb{R}, \alpha_{n-1} \neq 0, \quad N > 1, \tag{2.1}$$

where $\gamma_j \cdot r$ represents the inner product of $\gamma_j = (\gamma_{j1}, \gamma_{j2}, \dots, \gamma_{jM})$, non-null vectors of \mathbb{R}^M , $M \geq 1$, distinct, with non-negative integers components, by a vector $r = (r_1, r_2, \dots, r_M)$ of \mathbb{R}^M with positive rationally independent components (i.e., the equation $\sum_{k=1}^M \epsilon_k r_k = 0$, with $\epsilon_k \in \mathbb{Q}$, implies that $\epsilon_k = 0$ for all $k = 1, \dots, M$). Observe that any exponential polynomial $P(s)$ of the form (2.1) is in turn a particular case of exponential polynomial of the form (1.1) by increasingly ordering the exponents $\lambda_j := \gamma_j \cdot r$, $1 \leq j \leq N$.

Lemma 2.1 Any Dirichlet polynomial of the form (1.8) can be expressed in the form (2.1) with $M > 1$.

Proof Let $P_n(s) = 1 + \sum_{j=1}^{n-1} \frac{\alpha_j}{(j+1)^s}$ be a Dirichlet polynomial of the form (1.8). Then we have

$$\frac{1}{(1+j)^s} = e^{-s \log(1+j)} \quad \text{for every } j = 1, \dots, n-1. \tag{2.2}$$

For a given integer $n > 2$, consider all prime numbers not greater than n , denoted as $p_1 < p_2 < \dots < p_{k_n} \leq n$. Hence $p_1 = 2$, $p_2 = 3$, etc., and p_{k_n} is the last prime not exceeding n ,

136 where k_n represents the number of primes p such that $p \leq n$, so $k_n \geq 2$. Take $M = k_n$ and
137 define

$$138 \quad r := (\log p_1, \log p_2, \dots, \log p_{k_n}). \quad (2.3)$$

139 It is immediate to check that the numbers $\log p_1, \log p_2, \dots, \log p_{k_n}$ are rationally indepen-
140 dent. By virtue of Fundamental Theorem of Arithmetic write

$$141 \quad j + 1 = p_1^{m_{j_1}} \cdot p_2^{m_{j_2}} \cdots p_{k_n}^{m_{j_{k_n}}} \quad \text{for every } j = 1, \dots, n - 1$$

142 and then

$$143 \quad \log(j + 1) = m_{j_1} \log p_1 + m_{j_2} \log p_2 + \cdots + m_{j_{k_n}} \log p_{k_n}. \quad (2.4)$$

144 Now we define

$$145 \quad \gamma_j = (\gamma_{j_1}, \gamma_{j_2}, \dots, \gamma_{j_{k_n}}) := (m_{j_1}, m_{j_2}, \dots, m_{j_{k_n}}), \quad j = 1, \dots, n - 1. \quad (2.5)$$

146 In particular if $j + 1$ is prime, i.e. if $j + 1 = p_m$ with $m \in \{1, 2, \dots, k_n\}$, then the vector γ_j
147 is given by

$$148 \quad \gamma_j = (0, \dots, 1, \dots, 0), \quad \text{where } 1 \text{ is the } m\text{th component of } \gamma_j. \quad (2.6)$$

149 Then, from (2.5) and taking into account the definition of the vector r , it follows that $\gamma_j \cdot r =$
150 $\log(1 + j)$ for every $j = 1, \dots, n - 1$. Therefore by taking $N = n - 1$ (see (2.1), noticing
151 (2.2), we get

$$152 \quad P_n(s) = 1 + \sum_{j=1}^{n-1} \alpha_j e^{-s \log(1+j)} = 1 + \sum_{j=1}^N \alpha_j e^{-s \gamma_j \cdot r}.$$

153 It means that $P_n(s)$ can be written in the form (2.1), where the vector r is given by (2.3) and
154 the vectors γ_j by (2.5). Then the lemma follows. \square

155 3 The property $\rho_N = a_{P_n(s)}$ in the class of Dirichlet polynomials

156 In this section we will analyse the property $\rho_N = a_{P_n(s)}$ for the class of Dirichlet polynomials
157 (see (1.8)). For this, firstly we note that if some coefficient α_j of $P_n(s) = 1 + \sum_{j=1}^{n-1} \frac{\alpha_j}{(j+1)^s}$
158 is equal to 0, by removing the corresponding term, we can write $P_n(s)$ under the form

$$159 \quad P_n(s) = 1 + \sum_{j=1}^m \beta_j e^{-s \log n_j} \quad \text{with } 0 < \beta_j, m \geq 2, \quad (3.1)$$

160 where $\beta_j = \alpha_j$ for the $\alpha_j \neq 0$, and positive integers $2 \leq n_1 < n_2 < \cdots < n_m = n$.

161 We introduce the following concepts.

162 **Definition 3.1** Given an integer $n > 2$ and integers $2 \leq n_1 < n_2 < \cdots < n_k$, we will say
163 that $\log n$ is diophantinally dependent on $\log n_j$, $1 \leq j \leq k$, if and only if there are integers
164 δ_j , $1 \leq j \leq k$, such that

$$165 \quad \log n = \sum_{j=1}^k \delta_j \log n_j. \quad (3.2)$$

Definition 3.2 Given an integer $n > 2$ and integers $2 \leq n_1 < n_2 < \dots < n_k$, we will say that $\log n$ is rationally dependent on $\log n_j$, $1 \leq j \leq k$, if and only if there are rationals ϵ_j , $1 \leq j \leq k$, such that

$$\log n = \sum_{j=1}^k \epsilon_j \log n_j.$$

Observe that if $\log n$ is diophantinally dependent on $\log n_j$, $1 \leq j \leq k$, then in particular $\log n$ is rationally dependent on $\log n_j$, $1 \leq j \leq k$. The converse is not true. Indeed, noticing

$$\log 36 = \frac{2}{3} \log 8 + \log 9, \tag{3.3}$$

we can see that $\log 36$ is rationally dependent on $\log 8$ and $\log 9$, but it is not diophantinally dependent.

It is worth to stress that $\log n$ can be rationally independent of $\log n_j$, $1 \leq j \leq k$, but the set $\{\log n, \log n_j, 1 \leq j \leq k\}$ can be rationally dependent. For instance, $\log 2250$ is rationally independent of $\log 30$ and $\log 900$. Indeed, assume there are rationals ϵ_1, ϵ_2 such that $\log 2250 = \epsilon_1 \log 30 + \epsilon_2 \log 900$. Then, since $30 = 2 \cdot 3 \cdot 5$, $900 = 2^2 \cdot 3^2 \cdot 5^2$ and $2250 = 2 \cdot 3^2 \cdot 5^3$ we have

$$\log 2250 = \log 2 + 2 \log 3 + 3 \log 5 = (\epsilon_1 + 2\epsilon_2)(\log 2 + \log 3 + \log 5). \tag{3.4}$$

But $\log 2$, $\log 3$ and $\log 5$ are rationally independent because 2, 3 and 5 are primes. Then by identifying the coefficients of $\log 2$, $\log 3$ and $\log 5$ in both sides of (3.4), we are led to a contradiction. Therefore $\log 2250$ is rationally independent of $\log 30$ and $\log 900$. However, the numbers $\{\log 2250, \log 30, \log 900\}$ are rationally dependent. Indeed, the equation

$$A \log 2250 + B \log 30 + C \log 900 = 0$$

has non-null solutions, it is satisfied for $A = 0$, $B = 1$ and $C = -1/2$.

The next result requires a characterization of the set defined in (1.6) (see for instance [3, Theorem 3.1], [11, Theorem 1]).

Theorem 3.1 Given an integer $n > 2$, if a Dirichlet polynomial $P_n(s)$ written in the form (3.1) satisfies the property $\rho_N = a_{P_n(s)}$, then $\log n$ is diophantinally independent of $\log n_j$, $1 \leq j < m$.

Proof Let $P_n(s)$ be a Dirichlet polynomial of the form (3.1) that satisfies the property $\rho_N = a_{P_n(s)}$. Since it is always true that $a_{P_n(s)} \in R_{P_n(s)}$ (see (1.3) and (1.6)), then $\rho_N \in R_{P_n(s)}$. By applying [3, Theorem 3.1], there exists a vector $\theta = (\theta_1, \theta_2, \dots, \theta_M) \in \mathbb{R}^M$, $M \leq k_n$ (see the proof of Lemma 2.1), such that

$$1 + \sum_{j=1}^m \beta_j e^{-\rho_N \log n_j} e^{i\gamma_j \cdot \theta} = 0, \tag{3.5}$$

where γ_j are the vectors defined in (2.5) and $\gamma_j \cdot \theta$ denotes the inner product of γ_j by θ . From (3.5) and noticing $n_m = n$, it follows that

$$|\beta_m e^{-\rho_N \log n} e^{i\gamma_m \cdot \theta}| = \left| 1 + \sum_{j=1}^{m-1} \beta_j e^{-\rho_N \log n_j} e^{i\gamma_j \cdot \theta} \right|. \tag{3.6}$$

Author Proof

200 Now we write the right hand side of (3.6) as

$$\begin{aligned}
 & \left| \frac{1}{m-1} + \beta_1 e^{-\rho_N \log n_1} e^{i\gamma_1 \cdot \theta} + \dots + \frac{1}{m-1} + \beta_{m-1} e^{-\rho_N \log n_{m-1}} e^{i\gamma_{m-1} \cdot \theta} \right| \\
 & \leq \left| \frac{1}{m-1} + \beta_1 e^{-\rho_N \log n_1} e^{i\gamma_1 \cdot \theta} \right| + \dots \\
 & \quad + \left| \frac{1}{m-1} + \beta_{m-1} e^{-\rho_N \log n_{m-1}} e^{i\gamma_{m-1} \cdot \theta} \right| \\
 & \leq \frac{1}{m-1} + \beta_1 e^{-\rho_N \log n_1} + \dots + \frac{1}{m-1} + \beta_{m-1} e^{-\rho_N \log n_{m-1}}. \tag{3.7}
 \end{aligned}$$

205 Then, from (3.6) and (3.7), we deduce that

$$\beta_m e^{-\rho_N \log n} \leq 1 + \sum_{j=1}^{m-1} \beta_j e^{-\rho_N \log n_j}. \tag{3.8}$$

207 But, taking into account the definition of ρ_N (see Eq. (1.4)), the inequality in (3.8) becomes
 208 an equality. Now we recall the property:

209 Given $z, w \in \mathbb{C}$ with $zw \neq 0$, one has $|z+w| = |z|+|w|$ if and only if there exists $\lambda > 0$
 210 such that $w = \lambda z$.

211 Then, noticing the above property, in each summand of (3.7), necessarily it must be
 212 $e^{i\gamma_j \cdot \theta} > 0$ for each $1 \leq j \leq m-1$. Since $|e^{i\gamma_j \cdot \theta}| = 1$, it means that

$$e^{i\gamma_j \cdot \theta} = 1 \text{ for all } 1 \leq j \leq m-1, \text{ so } \gamma_j \cdot \theta = \pi l_j, l_j \in \mathbb{Z}, l_j \text{ even.} \tag{3.9}$$

214 Therefore, substituting in (3.5), it follows $e^{i\gamma_m \cdot \theta} < 0$. Consequently,

$$e^{i\gamma_m \cdot \theta} = -1, \text{ so } \gamma_m \cdot \theta = \pi l_m, l_m \in \mathbb{Z}, l_m \text{ odd.} \tag{3.10}$$

216 Let $\{q_1, q_2, \dots, q_M\}$ be the minimal set of ordered prime numbers that are necessary to
 217 obtain the prime factorization of the numbers $\{n_1, n_2, \dots, n_{m-1}, n\}$. Then, from (2.3), the
 218 vector $r := (\log q_1, \log q_2, \dots, \log q_M)$. Noticing the expression (3.1), for each $1 \leq j \leq m$,
 219 the vector $\gamma_j = (\gamma_{j1}, \dots, \gamma_{jM})$ (see (2.4), (2.5)) is such that

$$\log n_j = \sum_{k=1}^M \gamma_{jk} \log q_k, \text{ with } \gamma_{jk} \geq 0 \text{ integers.}$$

221 Then the above equality can be written as

$$\log n_j = \gamma_j \cdot r, \text{ for all } 1 \leq j \leq m. \tag{3.11}$$

223 Assume $\log n$ is not diophantinally independent of $\log n_j, 1 \leq j \leq m-1$. It means that
 224 there are integers $(\delta_j)_{j=1}^{m-1}$ such that $\log n = \sum_{j=1}^{m-1} \delta_j \log n_j$. Then, since $n_m = n$, by (3.11),
 225 we can write

$$\log n = \gamma_m \cdot r = \sum_{j=1}^{m-1} \delta_j (\gamma_j \cdot r).$$

227 Therefore,

$$\left(\gamma_m - \sum_{j=1}^{m-1} \delta_j \gamma_j \right) \cdot r = 0. \tag{3.12}$$

Author Proof

Since $\{q_k : 1 \leq k \leq M\}$ are primes, the set $\{\log q_k : 1 \leq k \leq M\}$ is rationally independent, so, from (3.12), we infer that $\gamma_m = \sum_{j=1}^{m-1} \delta_j \gamma_j$. Then, by multiplying by θ , we have $\gamma_m \cdot \theta = \sum_{j=1}^{m-1} \delta_j (\gamma_j \cdot \theta)$. Now, by dividing by π , we are led to the following contradiction

$$\frac{1}{\pi} (\gamma_m \cdot \theta) = \sum_{j=1}^{m-1} \frac{\delta_j}{\pi} (\gamma_j \cdot \theta). \tag{3.13}$$

Indeed, because (3.9), the right hand side of (3.13) is an even integer whereas, from (3.10), the left hand side is odd. This completes the proof. \square

The next example proves that the converse of the previous theorem is not true in general.

Example 3.1 The Dirichlet polynomial

$$P_{36}(s) := 1 + 2 \cdot 8^{-s} + 2 \cdot 9^{-s} + \frac{35}{36} \cdot 36^{-s}$$

does not satisfy the property $\rho_N = a_{P_{36}(s)}$. However $\log 36$ is diophantinally independent of $\log 8$ and $\log 9$.

Indeed, in (3.3) we have seen that $\log 36$ is diophantinally independent of $\log 8$ and $\log 9$. From (1.4), ρ_N is the unique real solution of the equation

$$\frac{35}{36} \cdot 36^{-\rho} = 1 + 2 \cdot 8^{-\rho} + 2 \cdot 9^{-\rho}, \tag{3.14}$$

that clearly is satisfied for $\rho = -1$, so $\rho_N = -1$. Assume $\rho_N = a_{P_{36}(s)}$, so $-1 = a_{P_{36}(s)}$. Since $\{2, 3\}$ is the minimal set of ordered prime numbers that are necessary to obtain the prime factorization of $\{8, 9, 36\}$, the vectors γ_j (see (2.5)) are $\gamma_1 = (3, 0)$, $\gamma_2 = (0, 2)$ and $\gamma_3 = (2, 2)$. Then, since always it is true that $a_{P_{36}(s)} \in R_{P_{36}(s)}$ (see (1.3) and (1.6)), from [3, Theorem 3.1] there exists a vector $\theta = (\theta_1, \theta_2) \in \mathbb{R}^2$ such that

$$1 + 2 \cdot 8e^{i\gamma_1 \cdot \theta} + 2 \cdot 9e^{i\gamma_2 \cdot \theta} + \frac{35}{36} \cdot 36e^{i\gamma_3 \cdot \theta} = 0, \tag{3.15}$$

where $\gamma_j \cdot \theta$, $j = 1, 2, 3$, denotes the inner product in \mathbb{R}^2 . From (3.15), we have

$$|35e^{i\gamma_3 \cdot \theta}| = |1 + 16e^{i\gamma_1 \cdot \theta} + 18e^{i\gamma_2 \cdot \theta}|.$$

Therefore

$$35 = |1 + 16e^{i\gamma_1 \cdot \theta} + 18e^{i\gamma_2 \cdot \theta}|,$$

which means that we are in a particular case of (3.6). Then, we get $\gamma_j \cdot \theta = \pi l_j$, $l_j \in \mathbb{Z}$, where l_j is even for $j = 1, 2$ (see (3.9)). Consequently $e^{i\gamma_1 \cdot \theta} = e^{i\gamma_2 \cdot \theta} = 1$. By substituting in (3.15), it follows that

$$e^{i\gamma_3 \cdot \theta} = -1. \tag{3.16}$$

Nevertheless, $\gamma_1 \cdot \theta = 3\theta_1 = \pi l_1$, $\gamma_2 \cdot \theta = 2\theta_2 = \pi l_2$. Then

$$\gamma_3 \cdot \theta = 2\theta_1 + 2\theta_2 = \frac{2}{3}\pi l_1 + \pi l_2, \text{ with } l_j \text{ even for } j = 1, 2,$$

so, $e^{i\gamma_3 \cdot \theta} = e^{i\frac{2}{3}\pi l_1} \cdot e^{i\pi l_2} = e^{i\frac{2}{3}\pi l_1}$. But it is immediate that $e^{i\frac{2}{3}\pi l_1} \neq -1$ for any l_1 even integer. This contradicts (3.16). Consequently, $\rho_N \neq a_{P_{36}(s)}$.

To guarantee the validity of the converse of the above result we need a more restrictive condition that of the diophantine independence. Such condition is exactly the rational independence.

Theorem 3.2 *Given an integer $n > 2$. If in a Dirichlet polynomial $P_n(s)$ written in the form (3.1), $\log n$ is rationally independent of $\log n_j$, $1 \leq j < m$, then $P_n(s)$ satisfies the property $\rho_N = a_{P_n(s)}$.*

Proof Let $\{q_1, q_2, \dots, q_M\}$ be the minimal set of ordered primes numbers that it is necessary to obtain the prime factorization of $\{n_1, n_2, \dots, n_{m-1}, n_m = n\}$. Then there are integers $\delta_{jk} \geq 0$, $1 \leq j \leq m$, $1 \leq k \leq M$, such that we can write

$$n_j = q_1^{\delta_{j1}} \cdot q_2^{\delta_{j2}} \cdots q_M^{\delta_{jM}},$$

so the vectors γ_j (see (2.5)) are

$$\gamma_j = (\delta_{j1}, \dots, \delta_{jM}), \quad 1 \leq j \leq m.$$

Consequently

$$\log n_j = \sum_{k=1}^M \delta_{jk} \log q_k, \quad 1 \leq j \leq m. \quad (3.17)$$

Hence, since $\log n$ is rationally independent of $\log n_j$, $1 \leq j \leq m - 1$, the vector γ_m is rationally independent of the vectors γ_j , $1 \leq j \leq m - 1$. First we claim that $M \geq 2$, i.e., we need at least two primes $\{q_{k_1}, q_{k_2}\}$ to obtain the prime factorization of n_j , $1 \leq j \leq m$.

Otherwise, assume all $n_j = q_{k_1}^{\delta_{jk_1}}$, $1 \leq j \leq m$ for some $1 \leq k_1 \leq M$. Since $n_j \geq 2$ for all $1 \leq j \leq m$ (see (3.1)), necessarily $\delta_{jk_1} > 0$ for all $1 \leq j \leq m$. Then we can write

$$\log n = \delta_{mk_1} \log q_{k_1} = \delta_{mk_1} \frac{\delta_{1k_1}}{\delta_{1k_1}} \log q_1 = \frac{\delta_{mk_1}}{\delta_{1k_1}} \log n_1 + 0 \log n_2 + \cdots + 0 \log n_{m-1}$$

that contradicts the fact of $\log n$ is rationally independent of $\log n_j$, $1 \leq j \leq m - 1$.

With the aim to exhibit the reasoning of the proof, we start by proving the theorem for $m = 2$ (the minimum value for m). We claim that the system $\gamma_1 \cdot \theta = 0$, $\gamma_2 \cdot \theta = \pi$, has a solution, where the unknown is the vector $\theta = (\theta_1, \dots, \theta_M)$. Indeed, by writing the system of the usual form

$$\left. \begin{aligned} \delta_{11}\theta_1 + \cdots + \delta_{1M}\theta_M &= 0 \\ \delta_{21}\theta_1 + \cdots + \delta_{2M}\theta_M &= \pi \end{aligned} \right\}, \quad (3.18)$$

it is immediate that

$$\text{rank} \begin{pmatrix} \delta_{11} & \cdots & \delta_{1M} \\ \delta_{21} & \cdots & \delta_{2M} \end{pmatrix}_{2 \times M} = 2,$$

because the vector $\gamma_2 = (\delta_{21}, \dots, \delta_{2M})$ is rationally independent of $\gamma_1 = (\delta_{11}, \dots, \delta_{1M})$. Hence

$$\text{rank} \begin{pmatrix} \delta_{11} & \cdots & \delta_{1M} \\ \delta_{21} & \cdots & \delta_{2M} \end{pmatrix}_{2 \times M} = \text{rank} \begin{pmatrix} \delta_{11} & \cdots & \delta_{1M} & 0 \\ \delta_{21} & \cdots & \delta_{2M} & \pi \end{pmatrix},$$

and then, by Rouché–Frobenius Theorem, the system (3.18) has a solution. It means that there exists a vector θ such that $\gamma_1 \cdot \theta = 0$, $\gamma_2 \cdot \theta = \pi$. Then $e^{i\gamma_1 \cdot \theta} = 1$, $e^{i\gamma_2 \cdot \theta} = -1$, i.e., it fulfills (3.9) and (3.10). Consequently, for $m = 2$, (3.5) follows. Then by applying [3,

Theorem 3.1], $\rho_N \in R_{P_n(s)}$ (see (1.6)). Now, by using (1.5), we have $\rho_N = a_{P_n(s)}$. Therefore the theorem is true for $m = 2$. Now we study the general case $M \geq 2, m > 2$.

Since $\log n$ is rationally independent of $\log n_j, 1 \leq j < m$, the system $\log n = \sum_{j=1}^{m-1} X_j \log n_j$ has no solution in \mathbb{Q} . Hence, by using (3.17), Rouché–Frobenius Theorem says us that the matrices

$$\begin{pmatrix} \delta_{11} & \delta_{21} & \dots & \delta_{m-1,1} \\ \delta_{12} & \delta_{22} & \dots & \delta_{m-1,2} \\ \dots & \dots & \dots & \dots \\ \delta_{1M} & \delta_{2M} & \dots & \delta_{m-1,M} \end{pmatrix}, \begin{pmatrix} \delta_{11} & \delta_{21} & \dots & \delta_{m-1,1} & \delta_{m1} \\ \delta_{12} & \delta_{22} & \dots & \delta_{m-1,2} & \delta_{m2} \\ \dots & \dots & \dots & \dots & \dots \\ \delta_{1M} & \delta_{2M} & \dots & \delta_{m-1,M} & \delta_{mM} \end{pmatrix}, \quad (3.19)$$

have different rank. Denote $A_{M \times (m-1)}$ and $B_{M \times m}$ the matrices of (3.19), respectively. Then if $R := \text{rank} A_{M \times (m-1)}$, necessarily $\text{rank} B_{M \times m} = R + 1$ because $B_{M \times m}$ has one column more than $A_{M \times (m-1)}$. Now we consider the system $\gamma_1 \cdot \theta = 0, \gamma_2 \cdot \theta = 0, \dots, \gamma_m \cdot \theta = \pi$, written as

$$\left. \begin{aligned} \delta_{11}\theta_1 + \dots + \delta_{1M}\theta_M &= 0 \\ \dots & \\ \delta_{m1}\theta_1 + \dots + \delta_{mM}\theta_M &= \pi \end{aligned} \right\}. \quad (3.20)$$

We claim that (3.20) has solution. To show that, it is enough to prove that the matrices

$$\begin{pmatrix} \delta_{11} & \delta_{12} & \dots & \delta_{1M} \\ \delta_{21} & \delta_{22} & \dots & \delta_{2M} \\ \dots & \dots & \dots & \dots \\ \delta_{m1} & \delta_{m2} & \dots & \delta_{mM} \end{pmatrix}, \begin{pmatrix} \delta_{11} & \delta_{12} & \dots & \delta_{1M} & 0 \\ \delta_{21} & \delta_{22} & \dots & \delta_{2M} & 0 \\ \dots & \dots & \dots & \dots & \dots \\ \delta_{m1} & \delta_{m2} & \dots & \delta_{mM} & \pi \end{pmatrix} \quad (3.21)$$

have equal rank. Indeed, denote as $C_{m \times M}$ and $D_{m \times (M+1)}$ the matrices of (3.21), respectively. Now we observe that $C_{m \times M}$ is the transposed matrix of $B_{M \times m}$, so $\text{rank} C_{m \times M} = \text{rank} B_{M \times m} = R + 1$. Since $D_{m \times (M+1)}$ has one column more than $C_{m \times M}$, the maximum value of $\text{rank} D_{m \times (M+1)}$ is $R + 2$. However it is not possible. Indeed, first consider the case $m = M + 1$. In this case, we have $\det D_{m \times (M+1)} = \pi \det A'_{(m-1) \times M} = \pi \det A_{M \times (m-1)}$, where $A'_{(m-1) \times M}$ denotes the transposed matrix of $A_{M \times (m-1)}$. Hence, if $\text{rank} D_{m \times (M+1)} = R + 2$, necessarily $\det D_{m \times (M+1)} \neq 0$, so $\det A_{M \times (m-1)} \neq 0$, and then $R = \text{rank} A_{M \times (m-1)} = M = m - 1$. But, on the other hand,

$$\text{rank} B_{M \times m} = R + 1 \leq \min\{M, m\} \leq M = R,$$

which is absurdum. Consequently $m \neq M + 1$. Assume $m < M + 1$. Since $R := \text{rank} A_{M \times (m-1)} \leq \min\{M, m-1\} = m - 1$, in $A_{M \times (m-1)}$ there are R rationally independent columns. In $B_{M \times m}$ there are $R + 1$ rationally independent columns, being the last one of those. Hence in $C_{m \times M}$ there are $R + 1$ rationally independent rows, being the last one of those. Consequently in $D_{m \times (M+1)}$ there are exactly $R + 1$ rationally independent rows because if a new row were rationally independent of the others, then $\text{rank} D_{m \times (M+1)} = R + 2$. However, as the last component of such new row is a 0, it would mean that the $\text{rank} B_{M \times m} = R + 2$. But this is a contradiction since $\text{rank} B_{M \times m} = R + 1$. Finally, we analyse the case $m > M + 1$. In this case $R := \text{rank} A_{M \times (m-1)} \leq M$ and then in $A_{M \times (m-1)}$ there are R rationally independent rows. In $B_{M \times m}$ there are $R + 1$ rationally independent rows, being the last one of those. Hence in C we have $R + 1$ rationally independent columns, being the last column one of those. If $\text{rank} D_{m \times (M+1)} = R + 2$, it would mean that the last column contributes to the number of rationally independent columns. Hence, there is a square submatrix of $D_{m \times (M+1)}$, say $E_{(R+2) \times (R+2)}$, that contains the last column, such that $\det E_{(R+2) \times (R+2)} \neq 0$. Since the last column is of the form

$$\begin{pmatrix} 0 \\ 0 \\ \vdots \\ \pi \end{pmatrix},$$

332

333 we have $\det E_{(R+2) \times (R+2)} = \pi \det F_{(R+1) \times (R+1)}$, where $F_{(R+1) \times (R+1)}$ is the submatrix of E
 334 obtained by deleting the last column and the last row of $E_{(R+2) \times (R+2)}$. Hence $F_{(R+1) \times (R+1)}$
 335 is a submatrix of $A'_{(m-1) \times M}$ with

336

$$\det F_{(R+1) \times (R+1)} \neq 0.$$

337 Then, it means that $\text{rank } A'_{(m-1) \times M} \geq R+1$. But this a contradiction because $\text{rank } A'_{(m-1) \times M} =$
 338 $\text{rank } A_{M \times (m-1)} = R$. Consequently (3.20) has solution. That is, there exists a vector θ such
 339 that $\gamma_1 \cdot \theta = 0, \gamma_2 \cdot \theta = 0, \dots, \gamma_m \cdot \theta = \pi$. Then the conditions (3.9) and (3.10) are fulfilled.
 340 Consequently (3.5) follows. Hence by applying [3, Theorem 3.1], $\rho_N \in R_{P_n(s)}$ (see (1.6))
 341 and it implies that $\rho_N = a_{P_n(s)}$. Now the proof is completed. \square

342 In [9, Theorem 3] was proved that if the exponents (the $\log n_j$ in (3.1)) of an exponential
 343 polynomial are rationally independents, then the property $\rho_N = a_{P_n(s)}$ follows. However,
 344 in Theorem 3.2, for assuring that $\rho_N = a_{P_n(s)}$, it is only needed that $\log n$ does not depend
 345 rationally on $\{\log n_j : 1 \leq j \leq m-1\}$. This condition is less restrictive than the rational
 346 independence of the set $\{\log n_j : 1 \leq j \leq m\}$ as we have seen in a preceding example (see
 347 (3.4)). Therefore the previous Theorem 3.2 generalizes [9, Theorem 3] such as we point out
 348 by means of the following example:

349 **Example 3.2** Consider the Dirichlet polynomial (see (3.4))

350

$$P_{2250}(s) := 1 + 30^{-s} + 900^{-s} + \frac{931}{2250} 2250^{-s}.$$

351 Since $\log 2250$ does not depend rationally on $\{\log 30, \log 900\}$, the Theorem 3.2 implies that
 352 $P_{2250}(s)$ satisfies the property $\rho_N = a_{P_{2250}(s)} = -1$ (it can be also checked that (3.5) is
 353 fulfilled for $\theta = (\pi, \pi, 0)$ and $\gamma_1 = (1, 1, 1), \gamma_2 = (2, 2, 2), \gamma_3 = (1, 2, 3)$). However, as we
 354 saw, the set $\{\log 30, \log 900, \log 2250\}$ is rationally dependent. Consequently, the property
 355 $\rho_N = a_{P_{2250}(s)}$ would not be able deduced from [9, Theorem 3].

356 In the next result we prove that the exponential polynomials of the class of prime Dirichlet
 357 polynomials satisfy the property $\rho_N = a_{P_n(s)}$.

358 **Theorem 3.3** Any prime Dirichlet polynomial $P_n(s)$ satisfies the property $\rho_N = a_{P_n(s)}$.

359 **Proof** We know that any prime Dirichlet Polynomial $P_n(s)$ can be written under the form
 360 (3.1) as

$$361 \quad P_n(s) = 1 + \sum_{j=1}^m \beta_j e^{-s \log n_j}, \quad 0 < \beta_j, m \geq 2,$$

362 with positive integers $2 \leq n_1 < n_2 < \dots < n_m = n$ with n prime. Let $\{q_1, q_2, \dots, q_L\}$ be
 363 the minimal set of ordered prime numbers that it is necessary to obtain the prime factorization
 364 of the numbers of the set $\{n_1, n_2, \dots, n_{m-1}\}$. Then there are integers $\delta_{jk} \geq 0, 1 \leq j \leq m-1,$
 365 $1 \leq k \leq L$, such that we can write $n_j = q_1^{\delta_{j1}} q_2^{\delta_{j2}} \dots q_L^{\delta_{jL}}$. Hence

$$366 \quad \log n_j = \sum_{k=1}^L \delta_{jk} \log q_k. \quad (3.22)$$

Now, by assuming that $\log n$ is rationally dependent of $\log n_j, 1 \leq j \leq m - 1$, there are rationals ϵ_j such that

$$\log n = \sum_{j=1}^{m-1} \epsilon_j \log n_j.$$

Consequently, from (3.22),

$$\log n = \sum_{j=1}^{m-1} \epsilon_j \sum_{k=1}^L \delta_{jk} \log q_k. \tag{3.23}$$

Since n is prime and $n > n_j$ for all $1 \leq j \leq m - 1$, it follows that $n \notin \{q_1, q_2, \dots, q_L\}$. Taking into account that the logarithms of a set of prime numbers define a rationally independent set, the coefficient of each logarithm of the left hand side of (3.23) must be equal to the coefficient of the same logarithm of the right hand side one. But this leads us a contradiction because the coefficient of $\log n$ in the left hand side of (3.23) is 1 whereas the coefficient of $\log n$ in the right hand side is 0. This means that $\log n$ is rationally independent of $\log n_j, 1 \leq j \leq m - 1$. Then by applying the previous theorem, $P_n(s)$ satisfies the property $\rho_N = a_{P_n(s)}$. \square

4 A characterization of Dirichlet polynomials in terms of $\rho_0 = b_{P_n(s)}$

In the next result we characterize the class of Dirichlet polynomials that satisfy the property $\rho_0 = b_{P_n(s)}$.

Theorem 4.1 *Given an integer $n > 2$, a Dirichlet polynomial*

$$P_n(s) = 1 + \sum_{j=p-1}^{\alpha_j} \frac{\alpha_j}{(j+1)^s} + \alpha_{n-1} n^{-s}, \quad \alpha_j > 0, \quad \alpha_{n-1} > 0, \tag{4.1}$$

for all primes p with $p \leq n$, satisfies the property $\rho_0 = b_{P_n(s)}$ if and only if $m_1 + m_2 + \dots + m_{k_n}$ is odd, where $p_1^{m_1} \cdot p_2^{m_2} \cdot \dots \cdot p_{k_n}^{m_{k_n}}$ is the prime factorization of n and p_{k_n} being the last prime not exceeding n .

Proof We first prove the sufficiency. Consider the exponential polynomial

$$Q_n(s) := 1 - \sum_{j=p-1}^{\alpha_j} \frac{\alpha_j}{(j+1)^s} - \alpha_{n-1} n^{-s}.$$

Define a function $f : \mathbb{N} \rightarrow \mathbb{C}$ as

$$f(m) = (f(p_1))^{l_1} (f(p_2))^{l_2} \dots (f(p_{k_m}))^{l_{k_m}}, \quad m \in \mathbb{N}, m > 1, f(1) = 1, \tag{4.2}$$

where $f(p) = -1$ for any p prime and $p_1^{l_1} p_2^{l_2} \dots p_{k_m}^{l_{k_m}}$ being the prime factorization of m . It is immediate that f is a completely multiplicative function [1, p. 138]. Then, because of $m_1 + m_2 + \dots + m_{k_n}$ is odd, from (4.2), we have

$$f(n) = (-1)^{m_1+m_2+\dots+m_{k_n}} = -1.$$

This proves that $Q_n(s)$ is an exponential polynomial that is Bohr equivalent to $P_n(s)$ (see for instance [1, Theorem 8.12]). By (1.4), ρ_0 satisfies

$$1 = \alpha_{n-1} e^{-\rho_0 \log n} + \sum_{j=p-1}^{\alpha_j} \alpha_j e^{-\rho_0 \log(j+1)}, \tag{4.3}$$

Author Proof

398 so ρ_0 is a zero of $Q_n(s)$. Then by Bohr's equivalence theorem [1, Theorem 8.16], there exists
 399 a zero of $P_n(s)$ in every strip $S_\delta := \{s : \rho_0 - \delta \leq \Re s < \rho_0\}$, for arbitrary $\delta > 0$. It means that
 400 $\sup\{\Re s : P_n(s) = 0\} \geq \rho_0$. But $b_{P_n(s)} := \sup\{\Re s : P_n(s) = 0\}$ (see (1.3)), so $b_{P_n(s)} \geq \rho_0$.
 401 Then, from (1.5), we infer that $\rho_0 = b_{P_n(s)}$. Consequently the sufficiency follows.

402 Reciprocally, let $P_n(s)$ be a Dirichlet polynomial of the form (4.1) satisfying $\rho_0 = b_{P_n(s)}$.
 403 If n is prime, $n = p_{k_n}$ and then the prime factorization of n coincides with p_{k_n} , so $m_l = 0$
 404 for all $l \neq k_n$ and $m_l = 1$ for $l = k_n$. Hence $m_1 + m_2 + \dots + m_{k_n} = 1$ and the necessity
 405 follows in this case. We assume n is composite. Since $\rho_0 = b_{P_n(s)}$ and $b_{P_n(s)} \in R_{P_n(s)}$, from
 406 [3, Theorem 3.1], there exists a vector $\theta = (\theta_1, \theta_2, \dots, \theta_M)$ with $M = k_n$ such that

$$407 \quad 1 + \alpha_{n-1} e^{-\rho_0 \log n} e^{i\gamma_{n-1} \cdot \theta} + \sum_{j=p-1} \alpha_j e^{-\rho_0 \log(j+1)} e^{i\gamma_j \cdot \theta} = 0, \tag{4.4}$$

408 where $\gamma_j, j = 1, \dots, n-1$, are the vectors defined in (2.5) and p runs on the prime numbers
 409 less than n . From (4.4) we have

$$410 \quad 1 = \left| \alpha_{n-1} e^{-\rho_0 \log n} e^{i\gamma_{n-1} \cdot \theta} + \sum_{j=p-1} \alpha_j e^{-\rho_0 \log(j+1)} e^{i\gamma_j \cdot \theta} \right|, \tag{4.5}$$

411 Taking into account that in the right hand side of (4.5) there are $k_n + 1$ summands, we put

$$412 \quad \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} = k_n \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta},$$

413 and write (4.5) as

$$414 \quad 1 = \left| \alpha_{n-1} e^{-\rho_0 \log n} e^{i\gamma_{n-1} \cdot \theta} + \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} \right. \\ 415 \quad \left. + \sum_{\substack{j=p-1 \\ j>1}} \left(\frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} + \alpha_j e^{-\rho_0 \log(j+1)} e^{i\gamma_j \cdot \theta} \right) \right|. \tag{4.6}$$

416 For instance, for $n = 8$, the number of primes $p < 8$, denoted as k_8 , is 4, namely, 2, 3, 5 and 7.
 417 Hence the right hand side of (4.5) has 5 summands and then, for certain $\theta = (\theta_1, \theta_2, \theta_3, \theta_4)$,
 418 it can be expressed as

$$419 \quad 1 = \left| \alpha_7 e^{-\rho_0 \log 8} e^{i\gamma_7 \cdot \theta} + \frac{1}{4} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} + \frac{1}{4} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} \right. \\ 420 \quad \left. + \alpha_2 e^{-\rho_0 \log 3} e^{i\gamma_2 \cdot \theta} + \frac{1}{4} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} + \alpha_4 e^{-\rho_0 \log 5} e^{i\gamma_4 \cdot \theta} \right. \\ 421 \quad \left. + \frac{1}{4} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} + \alpha_6 e^{-\rho_0 \log 7} e^{i\gamma_6 \cdot \theta} \right|.$$

422 Here, according to the prime factorization of the numbers 2, 3, 5, 7 and 8, respectively, the
 423 vectors γ_{p-1} , with p prime less than 8, and γ_{n-1} , for $n = 8$ (see (2.6)) are

$$424 \quad \gamma_1 = (1, 0, 0, 0), \gamma_2 = (0, 1, 0, 0), \gamma_4 = (0, 0, 1, 0), \gamma_6 = (0, 0, 0, 1), \gamma_7 = (3, 0, 0, 0).$$

Author Proof

425 Then, from (4.6), we have

$$\begin{aligned}
 426 \quad 1 \leq & \left| \alpha_{n-1} e^{-\rho_0 \log n} e^{i\gamma_{n-1} \cdot \theta} + \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} \right| \\
 427 \quad & + \sum_{\substack{j=p-1 \\ j>1}} \left| \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} + \alpha_j e^{-\rho_0 \log(j+1)} e^{i\gamma_j \cdot \theta} \right|. \quad (4.7)
 \end{aligned}$$

428 Now, as in Theorem 3.1, we use the property that for any $z, w \in \mathbb{C}$ with $zw \neq 0$, we have
 429 $|z + w| = |z| + |w|$ if and only if there exists $\lambda > 0$ such that $w = \lambda z$. If there is either a
 430 summand of the form

$$431 \quad \left| \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} + \alpha_j e^{-\rho_0 \log(j+1)} e^{i\gamma_j \cdot \theta} \right|, \quad \text{for } j = p - 1, j > 1,$$

432 or the summand

$$433 \quad \left| \alpha_{n-1} e^{-\rho_0 \log n} e^{i\gamma_{n-1} \cdot \theta} + \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} \right|$$

434 such that one has

$$435 \quad \left| \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} + \alpha_j e^{-\rho_0 \log(j+1)} e^{i\gamma_j \cdot \theta} \right| < \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} + \alpha_j e^{-\rho_0 \log(j+1)}$$

436 or

$$437 \quad \left| \alpha_{n-1} e^{-\rho_0 \log n} e^{i\gamma_{n-1} \cdot \theta} + \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta} \right| < \alpha_{n-1} e^{-\rho_0 \log n} + \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2},$$

438 then, noticing (4.3), we are led to the following contradiction:

$$439 \quad 1 < \sum_{j=p-1} \alpha_j e^{-\rho_0 \log(j+1)} + \alpha_{n-1} e^{-\rho_0 \log n} = 1.$$

440 Therefore, for every $j = p - 1 > 1, j = n - 1$, there exists $\lambda_j > 0$ such that

$$441 \quad \alpha_j e^{-\rho_0 \log(j+1)} e^{i\gamma_j \cdot \theta} = \lambda_j \frac{1}{k_n} \alpha_1 e^{-\rho_0 \log 2} e^{i\gamma_1 \cdot \theta}.$$

442 Since $\frac{1}{k_n} \alpha_j > 0$ for all $j = p - 1 > 1$ and $j = n - 1$, it means that $e^{i(\gamma_j - \gamma_1) \cdot \theta} > 0$, so
 443 $e^{i(\gamma_j - \gamma_1) \cdot \theta} = 1$. Hence

$$444 \quad \gamma_j \cdot \theta = \gamma_1 \cdot \theta + 2\pi l_j, l_j \in \mathbb{Z}, \quad \text{for all } j = p - 1 > 1 \text{ and } j = n - 1. \quad (4.8)$$

445 Then $e^{i\gamma_j \cdot \theta} = e^{i\gamma_1 \cdot \theta}$ for $j = p - 1$ and $j = n - 1$, so by substituting it in (4.4) we have

$$446 \quad e^{i\gamma_1 \cdot \theta} \left(\alpha_{n-1} e^{-\rho_0 \log n} + \sum_{j=p-1} \alpha_j e^{-\rho_0 \log(j+1)} \right) = -1.$$

447 Now, noticing (4.3), we deduce that $e^{i\gamma_1 \cdot \theta} = -1$. Then, $\gamma_1 \cdot \theta = \pi t_1$, with $t_1 \in \mathbb{Z}$ odd.
 448 Therefore, from (4.8), we get

$$449 \quad \gamma_j \cdot \theta = \pi t_j, \quad t_j \in \mathbb{Z} \quad \text{odd for all } j = p - 1, j = n - 1. \quad (4.9)$$

450 From (2.6) the vectors γ_j corresponding to each prime $p < n$, with $j = p - 1$, are of the
 451 form

$$452 \quad \gamma_1 = (1, 0, \dots, 0), \gamma_2 = (0, 1, 0, \dots, 0), \dots, \gamma_{k_{n-1}} = (0, 0, \dots, 0, 1),$$

453 whereas the vector γ_{n-1} corresponding to n , according to its prime factorization, is

$$454 \quad \gamma_{n-1} = (m_1, m_2, \dots, m_{k_n}).$$

455 Therefore, it follows that

$$456 \quad \gamma_j \cdot \theta = \theta_j, \quad j = p - 1 \quad \text{and} \quad \gamma_{n-1} \cdot \theta = m_1\theta_1 + m_2\theta_2 + \dots + m_{k_n}\theta_{k_n}. \quad (4.10)$$

457 Then, from (4.9), (4.10) and (4.8), we infer

$$458 \quad \begin{aligned} \pi t_{n-1} &= \gamma_{n-1} \cdot \theta \\ 459 \quad &= m_1\theta_1 + m_2\theta_2 + \dots + m_{k_n}\theta_{k_n} = m_1\theta_1 + m_2(\theta_1 + 2\pi l_2) + \dots \\ 460 \quad &+ m_{k_n}(\theta_1 + 2\pi l_{k_n}) = (m_1 + m_2 + \dots + m_{k_n})\theta_1 + 2\pi l, \quad \text{for some } l \in \mathbb{Z}. \end{aligned} \quad (4.11)$$

462 By (4.9) and (4.10), we have $\gamma_1 \cdot \theta = \pi t_1 = \theta_1$ with t_1 odd. Now, by substituting θ_1 in (4.11) and dividing that expression by π , we get

$$464 \quad t_{n-1} = (m_1 + m_2 + \dots + m_{k_n})t_1 + 2l, \quad l \in \mathbb{Z}. \quad (4.12)$$

465 Then, since t_1 and t_{n-1} are odd, the relation (4.12) implies that necessarily $m_1 + m_2 + \dots + m_{k_n}$ is odd. Consequently the theorem follows. \square

467 In the next result we prove that all the exponential polynomials of the class \mathcal{P}_{st} (see Definition 2.2) satisfy the properties (1.9) and (1.10).

469 **Theorem 4.2** *Any strict prime Dirichlet polynomial satisfies the properties $\rho_N = a_{P_n(s)}$ and $\rho_0 = b_{P_n(s)}$.*

471 **Proof** Let $P_n(s)$ be a strict prime Dirichlet polynomial. Then in particular $P_n(s)$ is a prime Dirichlet polynomial, so by Theorem 3.3, $P_n(s)$ satisfies the property $\rho_N = a_{P_n(s)}$. On the other hand, $P_n(s)$ is of the form (4.1) and since n is prime, $n = p_{k_n}$ (see the statement of Theorem 4.1). Hence the prime factorization of n is p_{k_n} and then $m_1 = m_2 = \dots = m_{k_n-1} = 0$ and $m_{k_n} = 1$. Therefore, $m_1 + m_2 + \dots + m_{k_n} = 1$, so odd. Then, Theorem 4.1 applies and consequently $P_n(s)$ fulfills the property $\rho_0 = b_{P_n(s)}$. \square

477 5 Applications

478 As an application of the previous results we obtain a characterization of the set of prime numbers by means of the partial sums of the Riemann zeta function that satisfy the property $\rho_N = a_{\zeta_n(s)}$.

481 **Theorem 5.1** *A positive integer n is prime if and only if the partial sum of the Riemann zeta function $\zeta_n(s) := \sum_{j=1}^n j^{-s}$ satisfies the property $\rho_N = a_{\zeta_n(s)}$.*

483 **Proof** If $n = 2$, the zeros of $\zeta_2(s)$ are given by the formula $s_k = \frac{(2k+1)\pi i}{\log 2}$, $k \in \mathbb{Z}$. Then all the zeros of $\zeta_2(s)$ are imaginary, so $a_{\zeta_2(s)} = 0$ (see (1.3)), and ρ_N is the unique real solution of the equation $2^{-\rho} = 1$, so $\rho_N = 0$ (see (1.4)). Therefore $\rho_N = a_{\zeta_2(s)}$ and then the necessity follows for $n = 2$. Assume $n > 2$ is prime. Let $\zeta_n(s)$ be a partial sum with n prime. Then $\zeta_n(s)$ is a prime Dirichlet polynomial (see Definition 2.1). Therefore, by applying Theorem 3.3,

$\zeta_n(s)$ satisfies the property $\rho_N = a_{\zeta_n(s)}$. Reciprocally, suppose that $\zeta_n(s)$ satisfies the property $\rho_N = a_{\zeta_n(s)}$. We write

$$\zeta_n(s) = 1 + \sum_{j=1}^{n-1} (j+1)^{-s} = 1 + \sum_{j=1}^{n-1} e^{-s \log(j+1)}.$$

Hence $\zeta_n(s)$ is a Dirichlet polynomial of the form (3.1) with $\beta_j = 1$ and $n_j = j + 1$, for each $1 \leq j \leq n - 1 = m$, and $n_m = n$. Then, by applying Theorem 3.1, $\log n$ is diophantinally independent of $\{\log n_j : 1 \leq j < m\}$. This means that n is prime. Indeed, assume n is composite. Then $n = p_1^{m_1} \cdot p_2^{m_2} \dots p_{k_n}^{m_{k_n}}$, where p_1, p_2, \dots, p_{k_n} are the prime numbers less than n and m_1, m_2, \dots, m_{k_n} are non negative integers with at least one of them, say m_l , with $m_l > 0$. Then

$$\log n = m_1 \log p_1 + m_2 \log p_2 + \dots + m_{k_n} \log p_{k_n}. \tag{5.1}$$

Since $n_j = j + 1$ and $1 \leq j \leq n - 1$, noticing n is composite, one has $p_{k_n} < n$ and then $p_{k_n} \leq n - 1$. Therefore

$$\{p_1, p_2, \dots, p_{k_n}\} \subset A := \{d \in \mathbb{N} : 1 < d \leq n - 1\}.$$

Then the expression (5.1) can be written as

$$\log n = \sum_{j=1}^{k_n} m_j \log p_j + \sum_{d \text{ composite} \in A} 0 \log d. \tag{5.2}$$

But it is clear that (5.2) is a contradiction because it would mean that $\log n$ is diophantinally dependent on $\{\log n_j : 1 \leq j < m\}$. Then n is prime and consequently the theorem follows. \square

Remark 5.1 We point out that the necessity of the above theorem could be also obtained as a consequence of a result contained in [6, Proposition 5]. Indeed, there it was proved that for n prime one has

$$\sup\{\sigma : G_{n-1}(\sigma) = n^\sigma\} = \sup\{\sigma : G_n(s) = 0\}, \tag{5.3}$$

where $G_n(s) := 1 + 2^s + \dots + n^s$. By Pólya Criterium [13, p. 46], $G_{n-1}(\sigma) = n^\sigma$ has only one real solution. Then, noticing that the n th partial sum of the Riemann zeta function, $\zeta_n(s) := \sum_{j=1}^n j^{-s}$, is such that $\zeta_n(-s) = G_n(s)$ for all $s \in \mathbb{C}$, from equality (5.3), it follows that $-\rho_N = -a_{\zeta_n(s)}$, so $\rho_N = a_{\zeta_n(s)}$.

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