

1 **PARAMETRIC FINITE ELEMENT ANALYSIS OF PUNCHING SHEAR BEHAVIOUR**  
2 **OF RC SLABS REINFORCED WITH BOLTS**

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8  
9 **ABSTRACT**

10 Reinforced concrete slabs are an essential part of high-rise structures and are designed to  
11 withstand the loads to which they are subjected. However, concrete slabs may fail due to punching  
12 shear, which is one of the greatest risks they face. This type of failure, hard to predict, befalls  
13 almost instantaneously and may lead to catastrophic consequences. In this paper, we analyse a  
14 series of non-linear numerical models —using ABAQUS— simulating the punching shear effect  
15 on a flat, reinforced concrete slab retrofitted with bolts arranged in three different positions around  
16 the support. As starting point, we carried out an initial calibration of the Finite Element Model  
17 (FEM) using Adetifa and Polak's experimental results. We then performed a parametric analysis  
18 to determine the influence of the geometrical parameters of the retrofiting. For this purpose, we  
19 created over two hundred models with the help of an automation algorithm programmed in  
20 Python. Our results effectively predict the precise distribution of the retrofiting bolts that will  
21 successfully increase the punching shear strength.

22 **KEYWORDS**

23 Flat slab, reinforced concrete, bolts, nonlinearity, FEM analysis, parametric study,  
24 automatization, structural retrofit.

25 **1 Introduction**

26 In concrete slabs punching shear failures occur in a brittle manner— abruptly and without any  
27 warning. Therefore, in most of the cases, the consequences of these failures tend to be tragic [1],  
28 [2]. Fernández-Ruiz et al [3] refer to a case in which a fire breaking out in a parking building  
29 caused the failure of a reinforced concrete (RC) slab next to a support due to punching shear. This  
30 RC slab failure triggered the complete collapse of the whole frame, and the death of seven  
31 firemen. Fernández-Ruiz et al. also reported the presence of other factors intervening in the  
32 collapse, these being: (i) an unexpected load located on the roof, (ii) the lack of transverse  
33 reinforcement that limited the final deformation significantly, and (iii) poor calculations, which  
34 underestimated the punching shear phenomenon. In the field of safety, resistance to punching

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35 shear is arguably the most critical feature in a conventional flat or waffle RC slab building  
36 structure, and so the problem needs to be examined carefully.

37 The phenomenon of punching shear has been studied for a number of years in a number of studies,  
38 numerical [4] and experimental [5], [6]. De Borst and Nauta [7], Cervera et al. [8] and Shehata  
39 and Regan [9] may be said to be pioneers in applying FEM to describe the phenomenon of  
40 punching shear failure. In the 1990s, Marzouk and Hussein [6] and Lips et al. [10] described the  
41 behaviour of concrete slabs experimentally, focusing on the different mechanical and geometrical  
42 parameters affecting punching shear failures. Men  trety et al. [11] simulated how cracks impacted  
43 on the failure mode. In the late 1990s and early 2000s, Polak and Genikomsou [12]–[14]  
44 developed FEM models to simulate the experimental results in punching shear tests on RC slabs  
45 accurately.

46 Concrete plastic damage has recently been studied by Wosatko et al. [15]. They have proposed  
47 and compared two theories: Gradient-enhanced damage plasticity and Rate-dependent damage  
48 plasticity. In the former, they contend that the evolution of the gradient makes the constitutive  
49 model to be non-local. In the latter, they introduce a parameter associated with the viscoelastic  
50 deformation. Shu et al. [19] have examined the influence of the fracture energy and shear  
51 retention. Cavagnis et al. [16], on their part, have used photogrammetry to tackle failure evolution.  
52 Analytical models have also been proposed to assess punching shear strength, those by Men  trety  
53 [17], Muttoni [18], and Mar   et al. [19] are worth mentioning.

54 Studies have also been carried out on slabs subjected to other different conditions: Micallef et al.  
55 [20] analysed numerically the dynamic impact on a RC slab, and Almeida et al. [21], the reverse  
56 horizontal loading and the vertical load to which RC slabs may be subjected.

57 Comparatively, not much research has focused on how punching shear failure is affected by  
58 parameters such as (i) reinforcement type, (ii) reinforcement configuration and external  
59 reinforcement for retrofitting, and (iii) reinforcement geometrical and mechanical ratios.  
60 Men  trety et al. [11] produced one of the first studies in this area. They focused on parameters,  
61 such as concrete strength, amount of reinforcement, and geometric relationships applied to an  
62 axisymmetrical model on a circular column. Later, he [17] published a synthesis of RC slab  
63 failure, delivering experimental results and numerical simulations from which he derived an  
64 analytical model. Guan [22] centred his study in the size and location of the cracks in relation to  
65 on the column. Belletti et al. [23] compared the numerical predictions –based on a non-linear  
66 finite element made up of two-dimensional reinforcing layers– with the experimental results and  
67 analytical values obtained from the application of different standards.

68 Still other authors have compared their experimental and analytical results with different  
69 analytical model regulation specifications. In  cio et al. [24], for example, contend that the main  
70 regulations might overestimate the strength of the slab, especially for high values, and Navarro et

71 al. [25] present a parametric analysis of RC slabs without retrofitting and compare some  
72 predictions with Eurocode 2 [26] and Model Code 2010 [27].

73 Now, the types of reinforcement with which a slab can be retrofitted are varied. They include steel  
74 or fibre reinforced polymer (FRP) plates or strips, different configurations of external shear bolts  
75 (acting as additional transverse reinforcement), and variations in concrete composition. El-  
76 Salakawy et al. [28] studied the effect of slab openings in the column's neighbourhood and the  
77 carbon/glass-fibre external reinforcement strips.

78 Durucan and Anil [29] carried out a similar study. Polak and others [30]–[32] illustrated, both  
79 experimentally and numerically, the effects of steel and FRP shear bolts used as transverse  
80 reinforcement on punching shear failure. Meisami et al. [33] also proposed reinforcement  
81 alternatives to RC slabs. These consist in carbon fibre reinforced polymer (CFRP) grids and bolts  
82 fixed with epoxy resins in obliquely drilled holes effected from the bottom of the slab. Pilakoutas  
83 and Li [34] presented an undulating-steel-band type of reinforcement. Dam and Wight [35] and  
84 Elbarkry and Allam [36] highlight the effectiveness of different bolt layouts in rails and plates.

85 Still other reinforcement types need especial mention. They are those that modify the concrete  
86 composition not only by altering the basic components and using (i) lightweight aggregates [37],  
87 (ii) lightweight cement [38], but also by placing concrete with high compressive strength in the  
88 centre of the floor [39]. Other solutions contemplate the incorporation of FRP [40]–[43], and steel  
89 fibres [43] to CR slabs.

90 This article focuses on the analysis of the influence of the aforementioned factors. This parametric  
91 analysis is carried out using slab models developed in ABAQUS, taking advantage of the  
92 available models for plastic damage of concrete [44]–[46]. Numerical simulations have proven to  
93 help reduce costs in terms of experimental studies of RC failure mechanisms [47], [48], thus  
94 facilitating further parametric research and proposals as well as the incorporation of different  
95 formulation and behaviour models.

96 After the introduction, in the second section, we will show that Adetifa and Polak's test results  
97 [49] on a RC slab retrofitted with shear bolts will serve us to calibrate the FEM model numerically.

98 In the third section, we will make a comparison between the experimental data and the numerical  
99 results to help us validate the main features of the FEM model itself. In the fourth section, we will  
100 apply the numerically calibrated FEM model to a parametric study of the shear bolt diameter, the  
101 number of bolts needed in each configuration, the distance between the first shear bolt and the  
102 face of the column, the spacing of the subsequent shear bolts, and the geometrical layout of the  
103 bolt placement. The combination of these parameters has provided us with 243 different models,  
104 which can be automated when programmed in Python [50] for ABAQUS [46] to reduce labour  
105 time. In the fifth section, we draw the most relevant conclusions and propose further lines of  
106 research. One of them we suggest is to devise a reliable modelling tool to assess the real capacity

107 of the reinforcement in both one-way and waffle slabs so as to design the reinforcement, e.g. using  
108 FRP, precisely—see Meisami et al [33] and Faria et al. [51].

109 The novelty of this article resides in two main aspects: a) the development of a parametric study  
110 centred on the retrofitting of a RC flat slab—in a non-linear three-dimensional FEM model—, and  
111 b) the innovative character of the code creation and automation of FEM models.

## 112 **2 Description of the experimental background**

113 For the calibration of the FEM model, we used Adetifa and Polak’s [49] test results. These results  
114 are drawn from real-scale models of RC slabs to column connections. The slabs measure  
115 1800×1800×120 mm, and the column studs, protruding from both the upper and lower faces of  
116 the slab, have a height of 150 mm and a cross section of 150×150 mm. Table 1 shows the concrete  
117 mechanical properties, steel reinforcing bars, and external reinforcement shear bolts—required to  
118 reproduce Adetifa and Polak’s test results from which we calibrate our FEM model.

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Table 1. Material properties of the slab tested in [49]

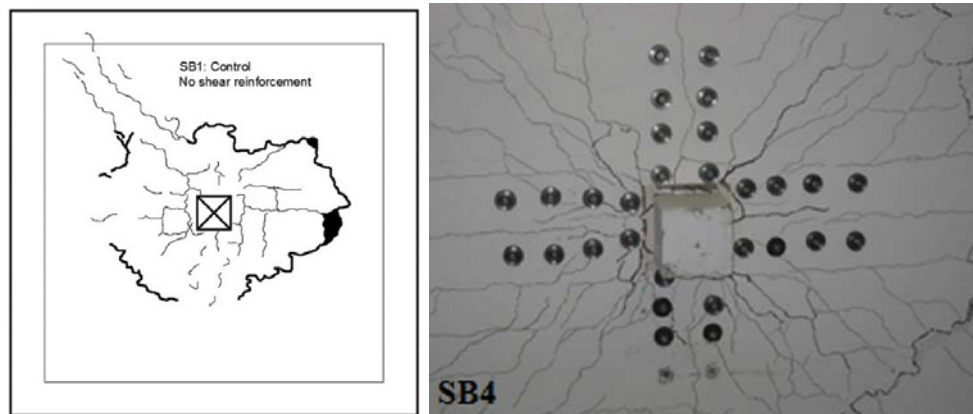
<b>Compressive strength of concrete [MPa]</b>	<b>Tensile strength of concrete [MPa]</b>	<b>Yield strength of steel reinforcement [MPa]</b>	<b>Yield strength of steel shear bolts [MPa]</b>
41	2.1	455	381

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122 The longitudinal flat slab reinforcement consists of a top and bottom 10M-bar mesh of 100 mm<sup>2</sup>  
123 in cross-sectional area. These bars are located on the compression and tension zones. Those in the  
124 compression zone are 200 mm apart in both X and Y orthogonal directions while the bars located  
125 in the tension zone are spaced 100 mm in both directions. The concrete layer covering the  
126 longitudinal bar centre is 20 mm thick. As for the column stud reinforcement, it consists of four  
127 20M longitudinal bars of 300 mm<sup>2</sup> in cross-sectional area, and four 8M confinement bars acting  
128 as shear reinforcement having a cross-sectional area of 50 mm<sup>2</sup>. The column studs have an  
129 effective depth of 130 mm. For boundary conditions, the slab is simply supported along the edges  
130 on small neoprene supports, creating spans of 1500 mm in the X and Y directions.

131 The external transverse reinforcement shear bolts were fitted in 16 mm diameter holes, drilled  
132 around the column studs prior to testing. Depending on the number of shear bolts, we devised and  
133 tested several specimens. However, only the test results from specimen SB4 are going to be used  
134 for calibration. The configuration of specimen SB4 shows the placement of the shear bolts to be  
135 concentric and parallel to the perimeter of the column. Each row of shear bolts has two bolts  
136 parallel to the face of the column stud. Specimen SB4 has, in fact, four rows of bolts per column  
137 face (see Fig. 1b), which means that a total of 32 shear bolts have been fitted.

138 The load keeps being transmitted through the column studs until the failure point is reached, and  
139 failure occurs in a brittle manner due to punching shear. (Note that the experimental layout is  
140 opposite to that of a real structure because it test). Fig. 1b shows the shape of the crack at failure  
141 point, and Fig. 1a the crack pattern in specimen SB1 –equal to SB4 but lacking the external shear  
142 bolts and consequently, transverse reinforcement. The relationship between the applied load and  
143 the vertical displacement of the centre of the lower face of the column was recorded. Table 4 and  
144 Fig. 5 show load-displacement response.  
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147 Fig. 1. Crack pattern from experimental study [49]: (a) test carried out in a specimen without shear bolts  
148 as transverse reinforcement; (b) test carried out in specimen SB4, with 32 shear bolts.  
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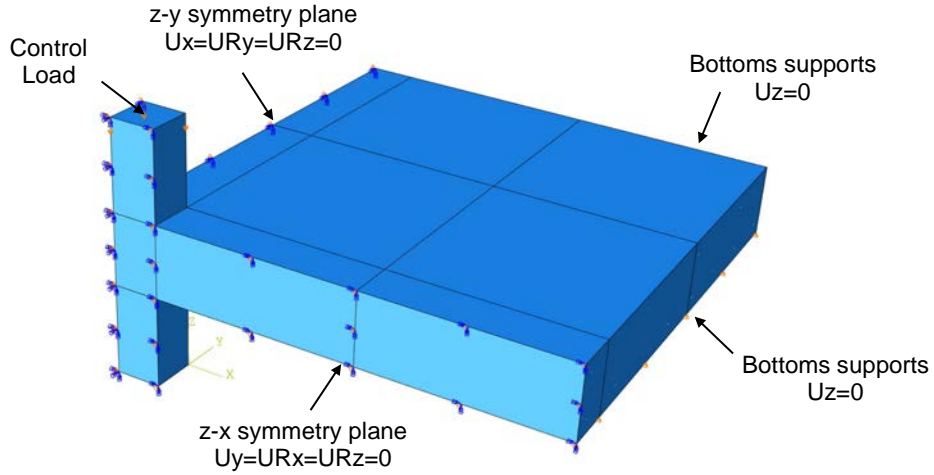
### 150 3 Implementation of the slab numerical model

#### 151 3.1 Features of the model

152 The FEM has been implemented in ABAQUS [46] for calibration. The program is capable of  
153 simulating accurately the non-linearity of materials, such as steel and concrete and besides, it has  
154 been applied by Mirza [52], Obaidat [53] and Alfarah et al. [54] to simulate concrete structures  
155 successfully. Now, for experimental purposes and because of the symmetry in the load and in  
156 geometry of the entire column, we have modelled just one quarter of the slab-column connection  
157 to reproduce Adetifa and Polak's experimental tests [49]. Then, this quarter of the slab shows  
158 simple supports on its two outer edges and the corresponding symmetry conditions have been  
159 applied to its inner edges. The test carried out with a vertical displacement control gradually  
160 increases linearly over time. See Fig. 2 and Fig. 3 for details.

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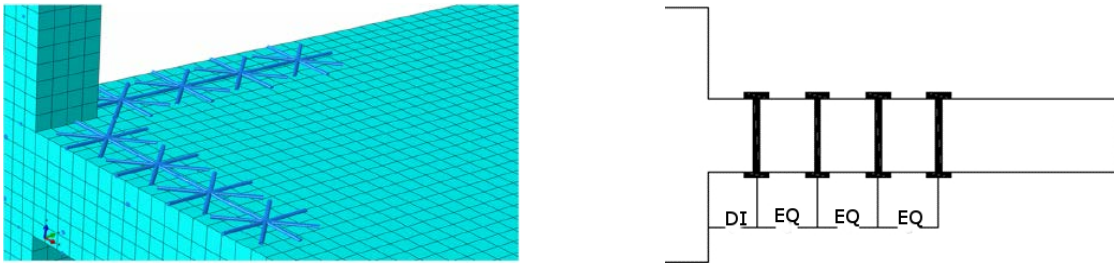


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Fig. 2. Geometry and boundary conditions of the model.

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Fig. 3. Shear bolt disposition in the slab model simulating specimen SB4 in [49]. Left: general view of the FEM. Right: scheme showing location and spacing of shear bolts.

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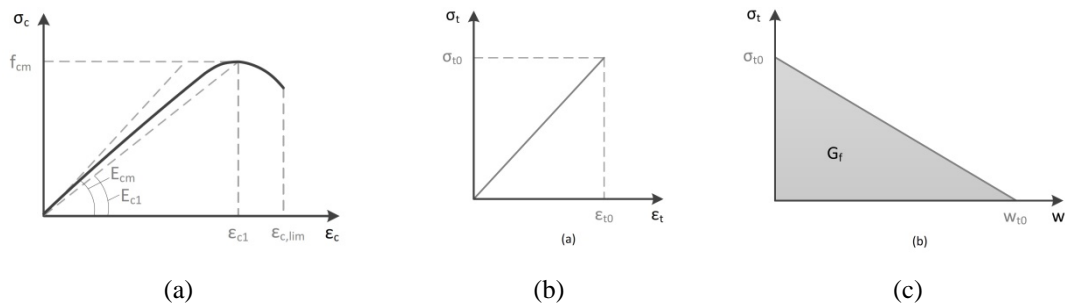
175 For the purpose of studying the behaviour of concrete, we have applied the Concrete Damage  
 176 Plasticity model, available in ABAQUS. In this context, concrete is said to display two types of  
 177 failure mechanisms: cracking and crushing. The model is a revision of Drucker and Prager's  
 178 approach [55], which, in turn, assumes Lubliner et al.'s criterion [45] and incorporates Lee and  
 179 Fenves' adjustments [44] to address the evolution of both compression strength and tension  
 180 strength in concrete. Since the main stresses appear in various directions, the tension-strain  
 181 relationship can be defined through Eq. (1).

$$182 \quad \sigma = (1-d) D_0^{el} : (\varepsilon - \varepsilon^{pl}) \quad (1)$$

183 where  $d$  is the scalar stiffness degradation variable, whose values range from zero (undamaged)  
 184 to one (completely damaged);  $D_0^{el}$  the initial elasticity matrix;  $\varepsilon$  the total strain; and  $\varepsilon^{pl}$  the  
 185 plastic deformation.

186 The constitutive behaviour of concrete in compression is based on the Model Code CEB 2010  
 187 [27] and is represented in Fig. 4a, where  $\sigma_c$  is the compression stress,  $\varepsilon_c$  the deformation of  
 188 concrete,  $f_{cm}$  the average compression strength of concrete,  $\varepsilon_{c,lim}$  the ultimate strain,  $E_{cm}$  the  
 189 tangent modulus of elasticity and  $E_{c1}$  the secant modulus of elasticity. With regard to the concrete  
 190 uniaxial behaviour in tension, its constitutive model is based on Hillerborg et al.'s fracture energy

191 [56] –Fig. 4b and Fig. 4c– where  $\sigma_t$  is the tensile stress,  $\varepsilon_c$  the concrete strain,  $w$  the crack width,  
 192 and  $G_f$  the fracture energy.  
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194 Fig. 4. Constitutive behaviour of concrete: (a) in compression, in compliance with Model Code 2010 [27];  
 195 (b) in tension before cracking; (c) softening after cracking, according to Hillerborg et al. [57].

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 197 For steel reinforcement, we have adopted the bi-linear model proposed in Eurocode 2 [26].  
 198 Starting with a linear elastic curve, the model reaches the steel yield strength  $f_y$ , and then a second  
 199 curve follows up to the point the model fails at a stress equal to  $f_s$ , a higher point than that of the  
 200 yield strength. In addition, we have applied the Von Mises failure criterion to steel. The bolts are  
 201 assumed to be perfectly elastic as they never reach tensions close to their yield strength. Also, the  
 202 surfaces of concrete and steel are assumed to be perfectly bonded, a common practice in the study  
 203 of reinforced concrete pieces globally analysed (Genikomsou and Polak [13], and Wosatko et al.  
 204 [58]).

205 The concrete mesh has been made up of 8-node hexahedral elements with reduced integration  
 206 (C3D8R), the longitudinal reinforcement meshes within the RC slab of 4-node reduced-  
 207 integration shell elements (S4R), the reinforcement bars in the concrete studs protruding the RC  
 208 slab of 2-node truss elements with reduced integration (T3D2), and finally, the shear bolts of 3-  
 209 node quadratic beams in space (B32).

210 In line with the experimental test, we use a displacement control method in the FEM slab model.  
 211 We set a constant vertical displacement speed for the application of the vertical load. Thus, the  
 212 convergence problems that would entail a load-control solution are minimized.

213 Table 2 shows the observed calibrated values taken from the Concrete Damage Plasticity model  
 214 to tally Adetifa and Polak's [49] experimental results. Table 3 exhibits the calibrated values for  
 215 the behaviour of concrete subjected to compression and to tension.

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Table 2. Calibrated values for the *Concrete Damage Plasticity* finite element analysis (FEA).

	Dilation angle $\psi$	Excentricity $\epsilon$	Viscosity $\mu$	Shape parameter $K_c$	Max. compression axial/biaxial
Genikomsou & Polak [59]	40°	0.1	0	1.16	0.667
Current FEA	36°	0.1	0.00001	1.16	0.667

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Table 3. Concrete properties associated with the constitutive behaviour of concrete in compression and in tension.

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	Modulus of elasticity of concrete [MPa]	Poisson's ratio	Fracture energy of concrete [N/mm]	Tensile strength of concrete [MPa]
Genikomsou & Polak [59]	35217	0.2	0.077	2.2
Current FEA	35217	0.2	0.105	2.1

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### 3.2 Validation of the calibration for the slab model

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Fig. 5 and Table 4 show the experimental results from [49], and the load displacement responses from our calibrated finite element analysis (FEA). Fig. 5 illustrates the SB1 (specimen without shear reinforcement) load deflection curve, the SB4 (specimen with shear reinforcement) load deflection curve, our FEA results, and Genikomsou and Polak's FEA results [13]. The values of the parameters of [13] can be found in Tables 2 and 3. These tables show slight differences in general terms. However, note that in Genikomsou and Polak's FEM model, the slab longitudinal reinforcement consisting of T3D2 elements to represent each of the bars has been replaced in our analysis by a continuous mesh already introduced in the previous section.

Figure 5 shows that for displacements greater than 10 mm and loads in excess of 250 kN, the calibrated FEA results offer a better agreement with the experimental results than that proposed in [13], which can be readily appreciated in the 25-30 mm interval, just before failure. Our calibrated model overestimates both the stiffness of the slab-column connection for small displacements and small loads (in the range of 50 to 125kN) Table 4 illustrates the level of concordance between our model and Adetifa and Polak's experiment results: the relative error of ultimate load and ultimate displacement are 0.28% and 1.01%, respectively.



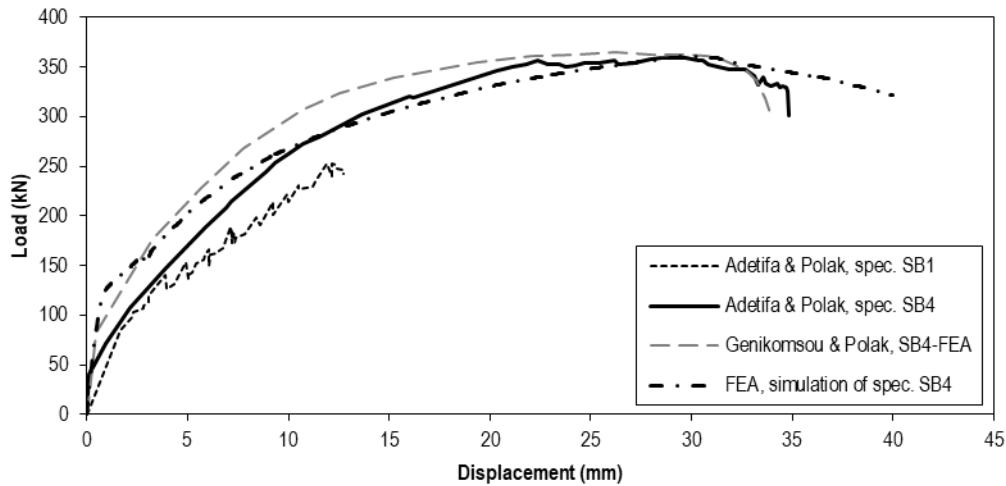


Fig. 5. Load–deflection responses obtained in the tests by Adetifa and Polak [49], in the FEA by Genikomsou and Polak [59] and in the current FEA with the calibrated parameters.

Table 4. Results of punching shear tests reported in [49] and through FEA of the calibrated numerical model for RC slab with shear bolts.

Without shear reinforcement		With shear reinforcement			
Adetifa and Polak [49], specimen SB1		Adetifa and Polak [49], specimen SB4		Current FEA with calibrated parameters	
Ultimate load (kN)	Ultimate deflection (mm)	Ultimate load (kN)	Ultimate deflection (mm)	Ultimate load (kN)	Ultimate deflection (mm)
253	11.9	360	29.8	361	30.1

### 3.3 Parametric analysis variables

Table 5 shows our source model for a parametric study. It will be compared with other models exhibiting different reinforcement configurations. The variables taken into account are: diameter of the bolts, number of bolts in each layout, distance from the first bolt to the face of the support; (DI), spacing between bolts, (EQ), and layout of the shear bolts. Table 6 illustrates the three different values or types assigned to each variable.

Table 5. Values of parameters in the reference model for parametric study.

Variable	Initial value
Yield strength of steel (MPa)	500
Concrete compressive strength (MPa)	25
Longitudinal reinforcement ratio	1.5%
Column width/Slab width ratio	0.1
Column width/Slab thickness ratio	1.25
Punching shear reinforcement	None

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Table 6. Values adopted for each variable

<b>Parámetro</b>	<b>Value 1</b>	<b>Value 2</b>	<b>Value 3</b>
Bolts diameter (mm)	Ø8	Ø12	Ø16
Number of bolt pairs per support face	2	3	4
First bolt-column distance (mm)	3.5·Ø	5·Ø	6.5·Ø
Distance between bolts (mm)	5·Ø	6.5·Ø	8·Ø
Bolt disposition	Double line	Radial	Diamond

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264 The range of values taken for DI, the first-bolt to column distance, and for EQ, bolt spacing is in  
265 conformity with Eurocode 2 [26] and EHE-08 [60].

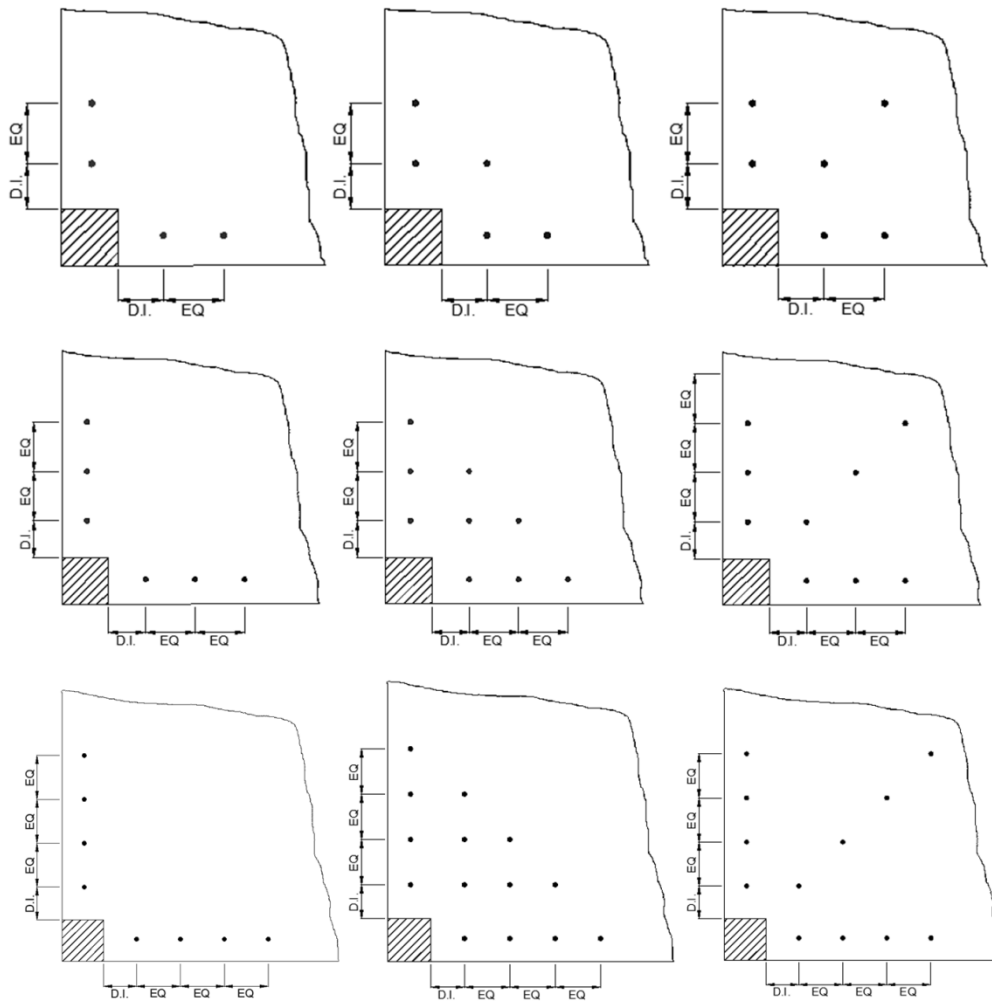
266 We have devised 243 models which make up all possible combinations of the 5 parameters over  
267 3 values. The main features of the chosen parameters are that distances DI and BQ are a function  
268 of the diameter selected, which has an effect on the final geometrical layout. Identical geometry  
269 and number of bolts but different reinforcement diameters will affect the area covered by the  
270 retrofitting.

271 Every model was subjected to FEA with control displacement at constant vertical speed. The  
272 displacement was applied at the same point in each model and showed a maximum vertical  
273 deflection of 40 mm. We observed that a vertical deflection of 20 mm was insufficient to reach  
274 the failure point in most of the cases. Figure 6 illustrates the different bolt layouts. All 243 models  
275 were programmed in Python and solved in ABAQUS. The two most relevant data —ultimate load  
276 and its associated ultimate displacement— are shown in Tables 7 and 8.

### 277 **3.4 Automating parametrization analyses**

278 Structural engineering and engineering in general need to be competent in computer techniques  
279 to develop their projects [61], [62]. In the field of materials properties simulation, their skills are  
280 oriented towards the parameterization of the models' features, both at the level of materials and  
281 geometric shape and at automating processes. The latter proves critical in reducing time involved  
282 in creating FEM models, obvious in our present study. Programming in Python [50] has made  
283 automation possible. It enables to program a code to control the processes step by step in  
284 ABAQUS to produce FEM models in every phase —parameterization and automation, analysis,  
285 and data collection [63].

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Fig. 6. Bolts disposition: 2 bolts (upper row), 3 bolts (middle row), 4 bolts (bottom row); double line disposition (left column), radial disposition (middle column), diamond disposition (right column).

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We find two ways to automate the process and save execution time. In the first, the model is calculated when the previous one has ended. In the second, the model is structured in batches. Moreover, we can select the range and parameterization intervals to offer greater usability. Useful as this selection may be, it poses the problem of computational overload, especially in the second option since the limit, dictated by the user, must not exceed the processing capabilities of the computer.

ABAQUS [46] has an immense library of commands written in Python [63] crucial for the development of the code model and its parameterization and automation presented in this paper. Among the commands, a user interface has been designed to significantly increase comfort and the intuitive nature of the parameterization and automation performed.

## 4 Analysis of the results

### 4.1 Introduction

303 An Intel Core i7 340 GHZ processor made the calculations in 4 hours per model in average. Since  
304 the number of FEM models analysed rules out the possibility of including our results in this paper,  
305 we present a selection of the most remarkable findings in our experiments. Therefore, we show  
306 the ultimate load for each of the 243 models in Table 7, and their ultimate deflection in Table 8.  
307 It is worth mentioning that some designs with radial and diamond layouts with bolts 16 mm in  
308 diameter reached a vertical deflection of 40 mm –the displacement control limit– before failing.  
309 Thus, no conclusive data could be taken out from them. Table 7 and Table 8 present the results  
310 multi-dimensionally, illustrating the interrelation among the 5 parameters above mentioned. The  
311 nine columns take into consideration the diameter of the shear bolts and the number of pairs of  
312 bolts per support face. The rows, on the other hand, are grouped in terms of geometrical  
313 configuration, distance  $EQ$  (bolt spacing), and distance  $DI$  (between the first bolt to the support's  
314 face).

315 We also make use of a coloured scale. In Table 7, the lowest values of the ultimate load are in  
316 green whilst the highest values of the load are in red. Thus, it is readily seen that the most effective  
317 retrofit layout for shear bolts is the diamond configuration with 4 pairs of bolts 12 mm in diameter  
318 per support face (see Fig. 6, bottom row, right column, and a total of 48 bolts).

319 As ductility is associated with the ultimate displacement, Table 8 shows that the diamond  
320 configuration with shear bolts 12 mm in diameter does not seem to be the most suitable layout for  
321 punching shear retrofit despite having the highest value of ultimate load. The radial configuration  
322 with 4 pairs of bolts 12 mm in diameter per support face corresponds to a middle level –moderate  
323 ductility and a remarkable increase in the value of ultimate load. Especially noteworthy is the  
324 design in which ( $DI$ ) is  $5 \cdot \emptyset$  (60 mm) and ( $EQ$ ) is  $6.5 \cdot \emptyset$  (78 mm) because it yields an ultimate  
325 load of almost 400 kN and an ultimate displacement in excess of 30 mm.

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Table 7. Ultimate load depending on the geometrical disposition of shear bolts, distances  $EQ$  and  $DI$ , diameter of bolts and number of pairs of bolts per support face.

		Ultimate load (kN)								
		Diameter Ø8 mm			Diameter Ø12 mm			Diameter Ø16 mm		
		Pairs of bolts			Pairs of bolts			Pairs of bolts		
$EQ$	$DI$	2	3	4	2	3	4	2	3	4
DOUBLE LINE	3.5·Ø	333.9	347.8	366.8	339.2	370.3	393.5	325.9	328.5	326.4
	5·Ø	329.5	357.9	362.8	316.0	323.1	320.4	314.8	316.5	318.0
	6.5·Ø	333.9	319.9	327.9	312.7	313.5	314.2	312.7	311.1	308.5
	3.5·Ø	333.0	356.1	375.1	360.2	391.4	398.5	326.3	325.7	320.6
	6.5·Ø	335.6	355.5	367.8	317.8	322.3	322.2	314.9	317.0	317.6
	6.5·Ø	360.2	325.9	313.7	321.2	319.5	319.6	315.8	314.6	313.9
	3.5·Ø	342.7	361.2	388.8	365.6	390.4	395.2	329.2	322.4	323.2
	8·Ø	340.4	363.8	368.8	316.5	317.1	317.4	317.7	315.4	315.7
	6.5·Ø	319.9	324.5	326.4	318.7	317.8	317.0	314.4	312.2	315.5
RADIAL	3.5·Ø	342.5	381.8	379.6	378.4	415.3	460.7	402.3	428.2	460.3
	5·Ø	358.8	379.5	399.9	377.8	395.7	407.3	324.3	340.2	337.2
	6.5·Ø	361.2	386.4	407.2	371.8	378.4	382.9	316.4	327.5	329.1
	3.5·Ø	360.4	389.5	402.5	385.3	418.4	449.4	371.3	395.2	333.5
	6.5·Ø	365.7	387.1	427.2	382.4	385.0	398.6	322.6	344.9	341.4
	6.5·Ø	360.6	383.9	386.7	367.4	371.2	379.6	323.3	341.8	381.8
	3.5·Ø	362.2	386.2	418.0	380.8	416.5	446.5	370.2	375.4	380.7
	8·Ø	365.3	404.6	418.7	370.8	372.1	390.3	341.6	348.5	348.5
	6.5·Ø	362.4	362.4	383.8	367.6	363.5	377.3	329.8	328.0	343.0
DIAMOND	3.5·Ø	337.7	365.4	389.9	357.0	393.9	429.7	380.8	396.7	413.8
	5·Ø	334.8	375.2	398.5	358.9	410.7	453.3	324.9	351.5	356.8
	6.5·Ø	346.9	378.5	407.7	350.8	406.2	455.3	328.9	344.6	352.6
	3.5·Ø	342.7	389.5	405.7	367.5	406.8	460.0	372.5	379.0	385.6
	6.5·Ø	346.4	378.0	405.4	382.4	421.6	484.5	328.0	349.8	353.4
	6.5·Ø	337.1	383.1	417.4	348.6	400.2	457.0	330.2	336.5	344.2
	3.5·Ø	347.3	379.8	413.6	375.0	427.5	496.2	363.2	374.9	376.0
	8·Ø	354.4	386.9	420.3	362.1	417.4	476.2	332.0	339.4	344.4
	6.5·Ø	343.5	390.1	399.3	353.0	408.0	433.2	319.6	335.4	343.4
		Lowest		←	Ultimate load			→		Highest

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348 Table 8. Ultimate displacement (associated to ultimate load), depending on the geometrical  
 349 disposition of shear bolts, distances  $EQ$  and  $DI$ , diameter of bolts and number of pairs of bolts  
 350 per support face.

		Ultimate displacement (mm)								
		Diameter Ø8 mm			Diameter Ø12 mm			Diameter Ø16 mm		
		Pairs of bolts			Pairs of bolts			Pairs of bolts		
$EQ$	$DI$	2	3	4	2	3	4	2	3	4
DOUBLE LINE	3.5·Ø	27.65	23.01	22.98	21.04	23.87	27.84	28.90	31.27	28.26
	5·Ø	25.91	29.22	28.30	28.21	29.21	28.06	28.11	31.35	27.78
	6.5·Ø	27.65	28.56	30.17	27.32	27.04	28.05	28.31	27.86	30.07
	3.5·Ø	22.97	21.78	23.28	25.98	29.63	29.13	30.58	28.88	26.85
	6.5·Ø	26.98	27.90	29.34	28.91	30.62	29.48	28.08	32.53	28.86
	6.5·Ø	25.98	31.23	32.21	30.95	29.37	30.33	33.43	31.37	28.51
	3.5·Ø	26.33	22.34	22.98	27.06	30.51	30.29	31.07	33.17	32.09
	8·Ø	26.34	29.57	28.50	28.17	27.68	28.00	32.91	33.84	32.34
	6.5·Ø	28.98	30.14	29.10	31.54	29.73	29.40	28.29	29.51	32.23
RADIAL	3.5·Ø	19.84	22.79	20.98	20.83	19.73	20.63	26.36	29.51	33.13
	5·Ø	23.88	22.53	21.09	26.63	26.46	27.61	31.16	40.00	32.22
	6.5·Ø	25.40	26.08	28.11	28.09	26.64	26.72	31.38	34.05	30.09
	3.5·Ø	24.52	22.34	18.61	22.03	22.74	25.70	27.89	40.00	33.03
	6.5·Ø	24.28	23.14	22.45	28.87	28.02	32.30	28.78	40.00	36.00
	6.5·Ø	26.02	27.55	26.31	28.85	30.25	31.52	33.99	40.00	40.00
	3.5·Ø	22.02	23.22	21.59	24.65	25.64	28.81	28.22	33.72	40.00
	8·Ø	24.28	25.26	23.90	30.54	30.22	34.97	40.00	40.00	40.00
	6.5·Ø	27.31	25.55	28.54	32.70	29.97	33.87	40.00	40.00	40.00
DIAMOND	3.5·Ø	23.35	20.61	19.10	22.26	17.55	15.17	24.70	29.29	27.29
	5·Ø	20.35	21.68	19.11	27.17	24.79	21.33	29.78	40.00	36.68
	6.5·Ø	26.99	23.72	21.09	27.09	27.62	25.84	40.00	40.00	40.00
	3.5·Ø	23.34	21.47	17.90	22.06	17.72	19.10	30.59	35.18	27.74
	6.5·Ø	23.24	20.17	17.20	28.87	25.79	23.34	35.70	40.00	34.89
	6.5·Ø	25.38	24.16	22.17	28.80	26.48	26.35	40.00	40.00	37.27
	3.5·Ø	23.43	18.87	16.30	23.67	20.07	19.73	26.37	30.22	27.34
	8·Ø	26.73	20.30	19.18	27.17	25.69	25.05	36.16	40.00	40.00
	6.5·Ø	27.16	26.73	30.21	27.61	28.37	25.29	30.05	40.00	40.00
		Highest		←	Ultimate displacement			→		Lowest

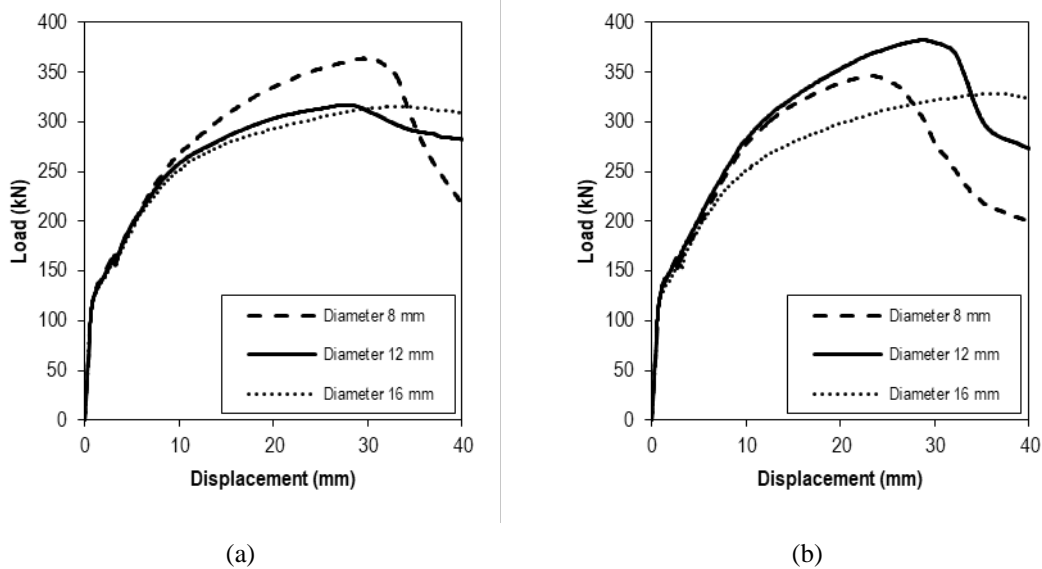
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#### 352 4.2 Effect of the shear bolt diameter on the retrofit

353 Regarding the ultimate load, we have observed that an increase in diameter from 8 mm to 12 mm  
 354 produced an increase in the ultimate load by an average of 3.3%. However, if the diameter  
 355 increased from 12 mm to 16 mm, the ultimate load decreased by 10% in average. This behaviour  
 356 was particularly noticeable in the diamond configuration, in which the load increased by 9% when  
 357 the diameter changed from 8 mm to 12 mm, but the load decreased by 13.8% when the diameter

358 went from 12 mm to 16 mm. Fig. 7a illustrates a double line geometry layout and shows the effect  
 359 the diameter has on a retrofitting design. This layout exhibits 3 pairs of bolts per support face,  $DI$   
 360  $= 5 \cdot \emptyset$  and  $EQ = 8 \cdot \emptyset$ . The reason for the unexpected decrease in the ultimate load —diameter  
 361 increasing from 12 mm to 16 mm— can be found in the distances  $DI$  and  $EQ$ . They increase  
 362 alongside the diameter and, consequently, the area affected by the shear bolts falls beyond the  
 363 critical perimeter. As a result, a relatively low number of 16 mm bolts effectively controlled the  
 364 punching shear failure. Fig. 7b illustrates the effect of switching bolts of 8 mm to 12 mm in the  
 365 diamond layout. In this case, the layout exhibited 2 pairs of bolts and distances  $DI = 5 \cdot \emptyset$  and  $EQ$   
 366  $= 6.5 \cdot \emptyset$ . We can appreciate that the average ultimate load was reduced. We find, again, that the  
 367 diameters of the bolts are the reason for the results obtained and, what is more, we believe that a  
 368 decrease in ultimate load occurs with bolts of greater diameters because of a lower density of  
 369 shear bolts per area.

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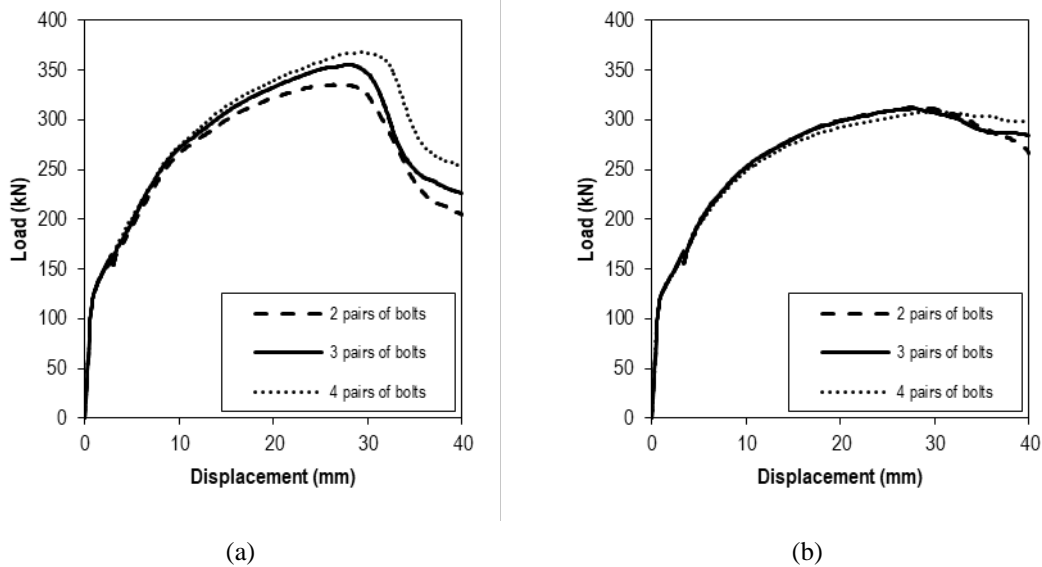
372 Fig. 7. Selected examples of load-deflection FEA results: (a) double line layout, 3 pairs of shear bolts,  $DI$   
 373  $= 5 \cdot \emptyset$  and  $EQ = 8 \cdot \emptyset$ ; (b) diamond layout, 2 pairs of shear bolts,  $DI = 5 \cdot \emptyset$  and  $EQ = 6.5 \cdot \emptyset$ .

374

375 In terms of ductility, we observed that as the diameter of the shear bolts increased from 8 mm to  
 376 12 mm, the displacement also increased by an average of 9.4%. However, when the diameter  
 377 increased from 12 mm to 16 mm, the displacement increased by an average of 25.1%.  
 378 Nonetheless, there were some cases in the double line geometry in which the increase in diameter  
 379 from 8 mm to 12 mm produced a decrease in ductility (see Fig. 7b). An increase in ductility is  
 380 much more noticeable in radial layout —15%— for the same diameters. If the bolt diameters  
 381 switched from 12 mm to 16 mm, the diamond geometry proved to be the most sensitive to ductility  
 382 increase —44.5%.

383 **4.3 Effect of the number of bolts on the retrofit**

384 In terms of the ultimate load, an increase in the number of pairs of bolts per support face –from 2  
385 to 3– brought about an average increase of 5.6% in the ultimate load. Moreover, if the number  
386 increased from 3 to 4 pairs, the ultimate load increased 4.1% in average. The diamond geometry  
387 was found most affected by this variable: an increase of 9.6% for switching from 2 to 3, and 7.2%  
388 from 3 to 4 pairs. In contrast, the double line geometry proved less affected in that the ultimate  
389 load increased less than 2%. Figures 8 and 8a show a double line layout for shear bolts of 8 mm  
390 and for shear bolts of 16 mm in diameter, respectively. In the latter configuration, a larger  
391 diameter implied longer *DI* and *EQ* distances, resulting in their being beyond the critical  
392 perimeter, and so the addition of more bolts affected neither the ultimate load nor the ductility  
393 variables (see Figure 8b). For the same reason, the effect of the number of 16 mm bolts on the  
394 radial and diamond configurations was less than 4.1% (2 to 3 pairs) and less than 2% (3 to 4  
395 pairs).  
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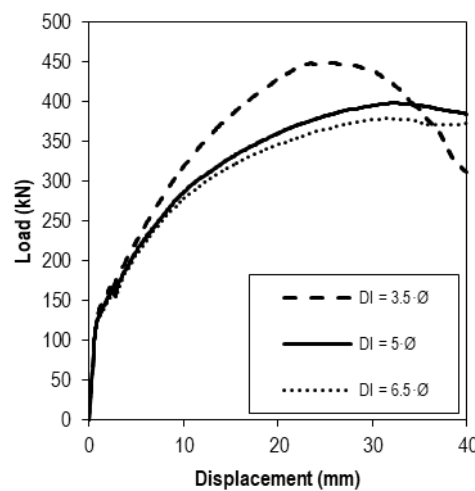
397 Fig. 8. Load-deflection response with shear bolts placed in double line layout: (a) 8 mm diameter bolts,  
398  $DI = 5 \cdot \varnothing$  and  $EQ = 6.5 \cdot \varnothing$ ; (b) 16 mm diameter bolts,  $DI = 6.5 \cdot \varnothing$  and  $EQ = 5 \cdot \varnothing$ .  
399

400 We observed that the radial layout exhibited the largest increase in the ultimate displacement  
401 when the pair of bolts per support face rose from 2 to 3 — a negligible reduction of 0.2% was  
402 recorded for the diamond configuration. Neither in the double line layout nor in the radial  
403 geometry did we find any significant increase in the ultimate deflection —less than 1%— if we  
404 switched from 2 to 3 pairs of bolts. However, we did observe a reduction in ultimate deflection  
405 of 6.9% in the diamond configuration. We assume that the reason lies in this typology having the  
406 highest density of bolts per area, which reduces ductility in favour of strength.

407 **4.4 Effect of the distance from the first bolt to the column on the retrofit**



408 We observed that the distance between the first bolt pair and the column ( $DI$ ) matters. If increased  
 409 from  $3.5 \cdot \emptyset$  to  $5 \cdot \emptyset$ , the models' ultimate load decreased by an average of 5.1%. Double line and  
 410 radial layouts showed a reduction of approximately 6 and 7%, respectively. In the diamond  
 411 configuration the load was less affected, less than 3%. If on the other hand, ( $DI$ ) increased from  
 412  $5 \cdot \emptyset$  to  $6.5 \cdot \emptyset$ , the ultimate load decreased by an average of 2.5%. Fig. 9 presents the general trends  
 413 associated with the models exhibiting a radial geometry with 4 pairs of bolts 12 mm in diameter  
 414 per face, and a spacing  $EQ = 6.5 \cdot \emptyset$ . As previously seen, a high value of the initial distance ( $DI$ )  
 415 moves the bolts away from the column and consequently, fewer bolts lie within the critical  
 416 perimeter.  
 417

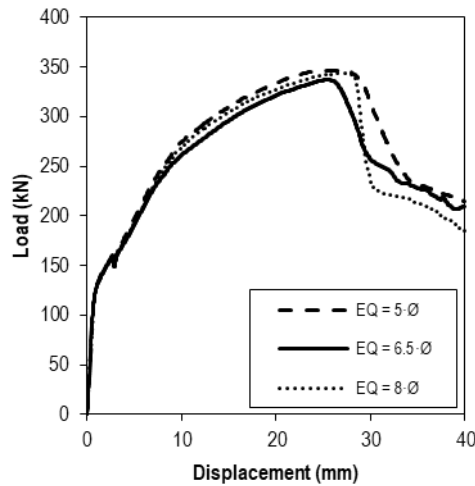


418  
 419 Fig. 9. Load-deflection response of the FEM model with radial disposition, 4 pairs of bolts per support  
 420 face, 12 mm diameter bolts and  $EQ = 6.5 \cdot \emptyset$ .  
 421

422 Conversely, if the distance  $DI$  increased, ductility also increased. When  $DI$  increased from  $3.5 \cdot \emptyset$   
 423 to  $5 \cdot \emptyset$ , so did the ultimate displacement: 7.7% for double line, 15.2% for radial, and 20.9% for  
 424 diamond. However, if  $DI$  increased from  $5 \cdot \emptyset$  to  $6.5 \cdot \emptyset$ , the ductility increased 1.4% in the double  
 425 line layout and 9.1% in the diamond geometry.

#### 426 4.5 Effect of the spacing between bolts on the retrofit

427 In double line and diamond layouts, spacing  $EQ$  had the same effect on the ultimate load. If  $EQ$   
 428 increased from  $5 \cdot \emptyset$  to  $6.5 \cdot \emptyset$ , the ultimate load also increased by approximately 1.2%; and a  
 429 further  $EQ$  increase from  $6.5 \cdot \emptyset$  to  $8 \cdot \emptyset$  yielded no change in the load. However, in the radial  
 430 geometry, an increase in  $EQ$  produced a decrease in the load of about 1%. Fig. 10 shows a model  
 431 in diamond configuration with 2 pairs of bolts per support face, bolts 8 mm in diameter, and  
 432 distance  $DI = 6.5 \cdot \emptyset$   
 433



434

435

Fig. 10. Load-deflection response of the FEM model with diamond layout, 2 pairs of shear bolts per support face, 8 mm diameter bolts and  $DI = 6.5 \cdot \emptyset$ .

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Spacing  $EQ$  also affects the ultimate displacement but not equally in all layouts. In the radial layout, we observed that when  $EQ$  changed from  $5 \cdot \emptyset$  to  $6.5 \cdot \emptyset$ , the ultimate displacement increased as much as 8.8%. Moreover, if  $EQ = 8 \cdot \emptyset$ , the displacement increased by 6.3%. The other layouts were less responsive: the increase amounted to less than 3.9%.

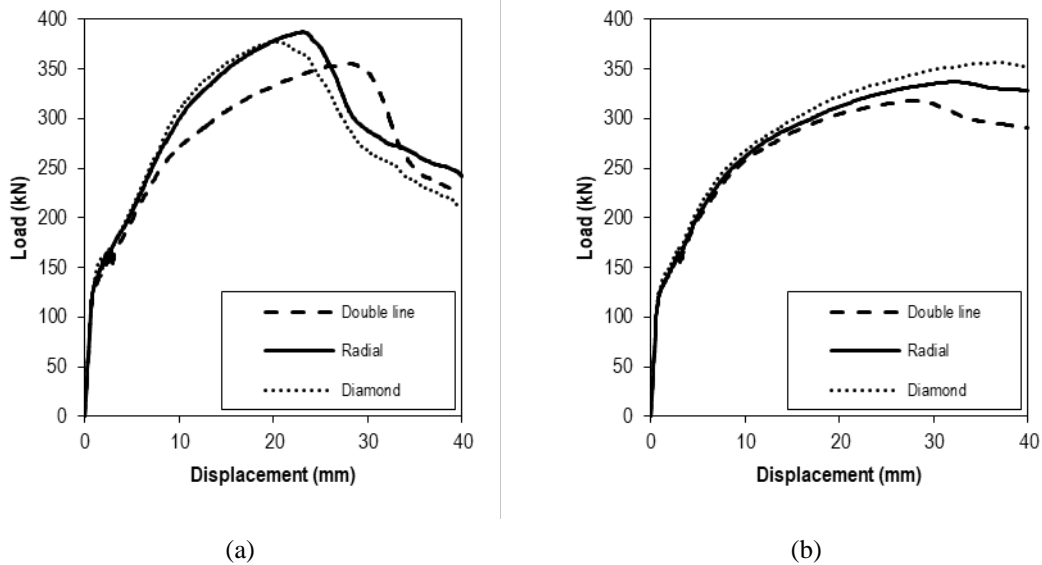
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#### 4.6 Effect of the shear bolt layout on the retrofit

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In this section, we compare the standard double line, radial and diamond configurations in terms of bolts layout. Radial and diamond geometries were more effective than double line in upholding the ultimate load by FEM models. In radial and diamond configurations, the increase in ultimate load with reference to double line was 12.7% and 13.9%, respectively. Fig. 11 shows load-deflection curves through FEA from models with 3 pairs of 8 mm bolts (Fig. 11a) and with 4 pairs of 16 mm bolts (Fig. 11b). In terms of number of pairs of bolts, we observed that (i) with 2 pairs of bolts per support face, radial proved more effective than double line: its ultimate load increased 9.6% greater; (ii) when 3 pairs of bolts were involved, radial and diamond behaved equally effective and better than double line, showing an increase in ultimate load of 13.5% (see Fig. 11a); (iii) with 4 pairs of bolts per support face, diamond provided the best response: 20.9% in average greater than double line (see Fig. 11b).

454



455 Fig. 11. Load-deflection response of the FEM models: (a) 3 pairs of shear bolts of  $\text{Ø}8$  mm per support  
 456 face,  $DI = 5 \cdot \text{Ø}$  and  $EQ = 6.5 \cdot \text{Ø}$ ; (b) 4 pairs of bolts of  $\text{Ø}16$  mm,  $DI = 5 \cdot \text{Ø}$  and  $EQ = 6.5 \cdot \text{Ø}$ .

457

458 Conversely, diamond provided the poorest response for punching shear ductility compared to  
 459 double line; diamond showed an average loss of 1.3% –2 pairs of bolts per support face– and of  
 460 10.3% –4 pairs. Fig. 11a illustrates this trend. Nonetheless, Fig. 11b provides us with an  
 461 exceptional instance in which diamond showed greater ductility. As for radial, also compared to  
 462 double line, ductility was seen to decrease by 1.2% with 2 pairs of bolts but to increase by an  
 463 average of 1.8% to 3.1% with 3 and 4 pairs of bolts, respectively.

## 464 5. Conclusions

465 The use of RC flat slabs retrofitted with bolts to avoid punching shear has been studied by means  
 466 of FEM models implemented in ABAQUS. The FEM model was calibrated quantitatively and  
 467 qualitatively to match the experimental and numerical results offered in scientific literature  
 468 concerning the topic. We have also applied the Concrete Damage Plasticity model.

469 The FEM model has also allowed us to develop a parametric analysis to study the effects of the  
 470 different variables on the RC structural response of retrofitted flat slabs against punching shear.  
 471 As the spacing between shear bolts is likely to be proportional to the diameter of the bolts,  
 472 identical geometry and number of bolts of different diameter will have a particular effect on the  
 473 area under the influence of the retrofitting.

474 The main conclusions related to the parametric study are the following:

- 475 • An increase in bolt diameter will trigger an increase in ultimate displacement and a  
 476 decrease in ultimate load. Bolts 16 mm in diameter may be the reason for some of them  
 477 falling beyond the critical perimeter area, causing, at the same time, a significant loss in  
 478 strength.

- 479 • An increase in number of bolts in any layout generally produces an increase in ultimate  
480 load. However, if 16 mm bolts are used, the increase in ultimate load is less significant  
481 in radial and diamond, and even detrimental in double line. We find that the  
482 explanation, again, lies in the number of bolts existing within the critical perimeter:  
483 diamond is more densely reinforced –and, thus less affected. The addition of a third pair  
484 of bolts per support face increased ductility. Surprisingly, the addition of a fourth pair  
485 caused a decrease in ductility, especially in diamond.
- 486 • Taking the spacing between the column and the first bolt pair ( $DI$ ) into consideration, the  
487 models that exhibited greater ultimate load were those whose  $DI$  was  $5 \cdot \emptyset$ . When  $DI =$   
488  $3.5 \cdot \emptyset$ , the model falls short of reaching the critical perimeter, and when  $DI = 6.5 \cdot \emptyset$ , it  
489 goes beyond. But as far as ductility is concerned, it decreases when  $DI$  increases, the  
490 reason probably being the insufficient concentration of transverse reinforcement around  
491 the column.
- 492 • The spacing between bolts ( $EQ$ ) seems not to be as decisive as  $DI$  for calculating ultimate  
493 load. Variations in ductility can be explained in terms of variations in  $DI$ .
- 494 • Radial and diamond have more pairs of bolts than double line. Diamond has the greatest  
495 density within the critical perimeter of the column. Therefore, when  $DI$  decreases, the  
496 critical perimeter is denser and ductility decreases. Furthermore, with  $DI = 5 \cdot \emptyset$ , the  
497 greatest ultimate load is reached, validating our previous conclusions. In terms of  
498 ductility, diamond showed the greatest loss in ductility. All in all, radial responded the  
499 best since it provided an adequate increase in ultimate load without compromising  
500 ductility, and it even showed a slight gain in ductility for a higher number of shear bolts.
- 501 • Although code management in ABAQUS can be complex for its requirements of  
502 combined skills and knowledge of Civil Engineering and Computer Engineering, it has  
503 been essential in the development of our models, especially the parametric ones. Even  
504 though code programming is time-consuming, it has allowed us to automate processes,  
505 saving a great deal of time in the creation of many of our models.

506 As a final remark, we firmly believe that the results obtained have paved the way towards future  
507 work aimed at systematically finding the optimum design parameters.

## 508 **6. Acknowledgements**

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