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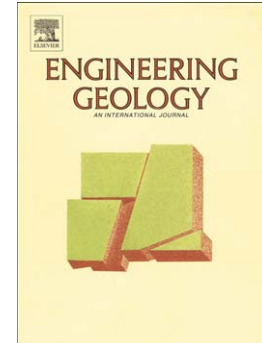
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Unconventional pseudostatic stability analysis of the Diezma landslide (Granada, Spain) based on a high-resolution engineering-geological model

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ABSTRACT

A novel unconventional pseudostatic analysis is proposed here to characterize the sensitivity of a landslide involved slope to earthquakes characterized by different physical properties. Several sine waves with different amplitudes, frequencies and phases were applied to the landslide mass assuming limit equilibrium conditions. The unconventional approach was used for the Diezma landslide case study. The landslide is located 25 km from the city of Granada (Spain). Although the slope had repeatedly suffered small-scale stability problems since the construction of the A-92 highway, a larger failure occurred on 18 March 2001 and damaged the highway between kilometers 272.6 and 272.8. The landslide had an estimated volume of 1.2 Mm³ and involved a disordered deposit of silt and clay with heterometric blocks within the *Numidoide* Formation, which outcrops along the contact between the *Maláguide* and Dorsal domains of the Betic Cordillera mountain range. Despite the 18 million Euros spent since 1999 on geotechnical investigations and stabilization solutions, the numerous

reactivations that occurred through 2010 and 2013 demonstrate the persistent activity of the landslide.

The geometry of the large slope failure corresponding to the first activation of the Diezma landslide was used to back-analyze the stability of the slope based on a high-resolution engineering-geological model. The model was developed from the analysis of numerous borehole logs as well as from geophysical investigations consisting of seismic noise measurements.

The results demonstrate that the safety factor (SF) of the Diezma landslide varies significantly for frequencies less than 1 Hz; moreover, unstable conditions are reached at frequency values between 0.5 and 1 Hz for water pressure distributions corresponding to Bishop factors (r_u) between 0 and 0.36. To estimate the co-seismic displacements, the geometrical and mechanical properties of the landslide mass were used to derive its characteristic periods for thickness (T_s) and length (T_l), which were compared with the characteristic period of the earthquake (T_m). The results indicate that the maximum expected co-seismic displacements are up to 2 m for an earthquake with a T_m value close to 1 s and an Arias Intensity on the order of 1 m/s.

Keywords

Landslide, engineering-geological model, noise measurements, stability conditions, pseudostatic analysis

1. INTRODUCTION

Several earthquake-induced reactivations of landslides were historically well documented in Italy and Spain; they mainly involved soil slopes and caused the remobilization of already existing sliding masses also in far field locations (Delgado et al., 2011a; Martino et al., 2014). This is the case for the reactivation of the Calitri landslide on 1980 (Martino and Scarascia Mugnozza, 2005) or for the Cerda (Bozzano et al., 2011) and Salcito (Bozzano et al., 2008) landslides on 2002, in Italy, as well as for the reactivation of the Güevéjar (Pintor and Azor, 2006; Rodríguez-Peces et al., 2011b) and of the Albuñuelas landslides (Delgado et al., 2011b), in Spain.

The earthquake-induced landslide reactivation represents a main topic for slope engineering as it needs to be commiserated with stabilization interventions in terms of both project design and technical solutions. The possible interaction of landslide masses with earthquakes has been widely discussed over the last several decades (Martino and Scarascia Mugnozza, 2005; Sepulveda et al., 2005a, b; Danneels et al., 2008; Rodríguez-Peces et al., 2011b; Delgado et al., 2011a; Lenti and Martino, 2012). Such interactions depend on the properties of the landslide mass (i.e., geometrical features and geomechanical properties) as well as on the seismic wave characteristics, including the kinematic physical parameters (i.e., peak ground acceleration - PGA, velocity - PGV and displacement - PGD), the energy of the ground

motion (commonly expressed by the Arias intensity – AI) and the frequency content, which is represented by the Fast Fourier Transform (FFT) of the seismic input.

Several studies based on field evidence (Del Gaudio and Wasowsky, 2007; Bozzano et al., 2008; Bozzano et al., 2011) or numerical modeling (Bourdeau and Havenith, 2008; Lenti and Martino, 2013) have demonstrated the primary role of the earthquake spectral content in reactivating landslide masses, especially for large landslides (i.e., more than 100 m long). The earthquake's characteristic frequency of vibration can influence both the local seismic amplification effects and the landslide volume involved in the co-seismic shaking. In this regard, several of these studies criticized the pseudo-dynamic Newmark approaches, which assume a rigid sliding mass and cannot take into account the interaction of seismic waves with the landslide.

In the case of deterministic studies of specific landslides, the lack of information about seismic events (i.e., local earthquake records) makes the application of pseudo-dynamic stability analyses based on Newmark's methods problematic (Newmark, 1965; Rathje and Bray, 2000). However, the conventional and widely used pseudostatic analysis technique (first introduced by Terzaghi, 1950), which is based on the probabilistic PGA values expected at the landslide site (i.e., derived from seismic hazard maps), cannot take into account the role of the input frequency with respect to the local amplification due to the landslide mass or with respect to the co-seismic shaking (Hynes-Griffin and Franklin, 1984; Stewart et al., 2003; Jibson, 2012). These approaches have been widely applied over the last several decades for slope stability analyses of earth dams as well as for proposing pseudostatic coefficients for slope design (Seed and Martin, 1966; Sherard, 1967; Marcuson, 1981; Kramer, 1996).

An unconventional pseudostatic approach was experienced here to evaluate the stability conditions of the Diezma landslide slope (Granada, Spain) by taking into account the expected PGA from seismic hazard maps as well as the characteristic frequencies of possible earthquakes. To best constrain the physical features of the seismic wave propagation within the landslide mass (i.e., the seismic wave velocity and length as well as the partial landslide mass volumes involved in the co-seismic displacements), a high resolution engineering-geological model was developed based on the extensive data collected during geomechanical field investigations and laboratory tests that are available in technical reports referred to the Spanish highway A-92 construction began in 1990 (Ofiteco, 2000; Consejería de Obras Públicas y Transportes Junta de Andalucía, 2001; Fundación Agustín de Betancourt 2001a,b). This highway, which extends for approximately 400 km between the cities of Seville and Almeria, actually represents the most important lifeline in Andalusia (Southern Spain). The construction of the highway was often interrupted by the occurrence of landslides, which affected the natural slopes after cuts were made for roadway trenches (Garrido, 1992). Several stabilization projects were planned and completed, including slope modifications, retaining walls and drainage systems. Nevertheless, because most of these solutions were not properly planned as part of the project but were implemented under emergency conditions, they did not prevent the reactivation of several landslides. Consequently, the A-92 highway became one of the most expensive infrastructure projects in southern Spain. Rodríguez-Peces et al. (2011a) performed an approximate evaluation of the slope stability conditions of the Diezma landslide under seismic conditions. The results indicate that both near and distant seismic events could reactivate the landslide and that the reactivation is controlled by the epicentral distance and the magnitude of the seismic events.

The Diezma landslide (Fig. 1) involves a slope in an area located 3 km west of the Diezma village between kilometers 272.6 and 272.8 of the A-92 highway. The slope failure occurred on 18 March 2001 and the central and head zones of the landslide are still active although several stabilization projects (Fig. 2 e, f, g, h) have been undertaken since the failure, for a total cost of approximately 18 million Euros. These projects include: 34 boreholes drilled during five different campaigns, 89 drainage wells in four drainage barriers, shallow drainages, ditches, the removal of approximately 250.000 m³ of debris and an anchored retaining wall constructed near the foot of the slope that is founded on 37 piles up to 36 m deep. The last reactivations occurred in 2010 (Rodríguez-Peces et al., 2011a) and 2013 and showed a retrogressive sliding mechanism (Figs. 2d, 3b).

Due to the state of activity of the Diezma landslide, earthquakes may further destabilize the slide and must be taken into account. The landslide is located in a region of moderate seismicity with a maximum peak ground acceleration (PGA) of about 0.10 g for an expected return period of 475 years (Benito et al., 2010). However, no strong earthquakes (i.e., with a moment magnitude (M_w) greater than 5.0) have occurred within 50 km of the site since the slope failure in 2001.

2. THE DIEZMA LANDSLIDE

2.1 Geological setting

The Diezma landslide is located along the tectonic contact between the Internal (*Maláguide*) and *Dorsal* domains of the Betic Cordillera chain (Sanz de Galdeano et al., 1995). The

Numidoide Formation outcrops throughout this area and is characterized by an unconformable contact with the deposits of the *Maláguide* and *Dorsal* domains (Azañón et al., 2006, 2010; Fig. 4). Mesozoic to Eocene limestones of the *Dorsal* domain outcrop at the top of the Rias hill; they have been thrust southward over the disordered deposits of the *Numidoide* Formation (Aquitanian-Burdigalian), which is part of the *Campo de Gibraltar* Complex and is composed of a silty-clay silo-clastic matrix with heterometric blocks of limestones (Fig. 5a). Several authors (e.g., Azañón et al., 2010) have recognized high plasticity levels in the smectite clay within the *Numidoide* deposits and associated them with the sliding surface of the Diezma landslide mass. An unconformable contact can be observed in the landslide area between the deposits of the *Maláguide* domain and the deposits of the *Dorsal* domain and the *Numidoide* Formation. The deposits of the *Maláguide* domain (Paleozoic to Triassic) include the Red Silt (Fig. 5b), Green Clay (Fig. 5c) and Black Schist Formations (Fig.5d). The former represents the local metamorphic bedrock. The Red Silt is composed of silts, clays and sands, while the Green Clay consists of thinly stratified clays that are locally characterized by intense alteration and fragmentation. The Black Schist includes intensely foliated and jointed schists and phyllites.

The complex structural setting of the Diezma landslide was reconstructed by direct field observations and by correlations between borehole stratigraphic logs (Fig. 4). As a result of this reconstruction, one main fault systems can be identified in the landslide slope; a NE-SW trending thrust system, which includes the main fault that thrusts the *Dorsal* domain over the *Maláguide* domain as well as secondary thrusts that thrust the Red Silt over the Green Clay and Black Schist (Fig. 4). In addition to this fault system, from borehole data, the contacts

between materials are slightly displaced in the vertical, even at close distances; this fact has been interpreted as due to a set of fractures with small scale vertical movement that dislodges the lateral continuity of materials. These fractures are deduced from borehole data, but no evidences were found at ground surface; studies are currently in progress to map the nature and extent of these features.

The limestones of the *Dorsal* domain host a local aquifer that is part of the Despeñadero-Cañamaya hydrogeological unit (Azañón et al., 2006) and whose recharge area extends over an area of almost 30 km². The local aquifer is strongly controlled by the structural setting of the area (García-Dueñas et al., 1980); the “Fuente de la Higuera” spring, which has an average discharge of 1 l/s, is located approximately 1 km east of the landslide along the main thrust fault between the limestones of the *Dorsal* domain and the *Numidoide* Formation. A secondary spring is located at the top of the Rias hill, where the spring water is collected by an old cistern. A drainage system was installed in 2009 to drain water towards a valley to the east of the landslide.

The geological setting of the slope strongly constrains the mechanism of the Diezma landslide: i) the lateral boundaries of the landslide mass are congruent with the NNE-SSW fault system deduced from borehole data;; ii) the landslide mass mainly involves the deposits of the *Numidoide* Formation, i.e., the thickness of the landslide increases sharply to the south. On the contrary, there is no clear geologic evidence of the landslide’s sliding surface while it results clear by analyzing the inclinometer data; the logs available from the boreholes and the drainage wells indicate that the stratigraphic levels and the structural contacts are not continuous across the area.

Several cross sections were reconstructed longitudinally and transversally to the landslide mass to better define its geological setting. Fig. 6 shows one of the longitudinal cross sections (AA') and two of the transversal cross sections (BB' and CC'). The cross sections utilized digital elevation models (DEM) from before construction of the highway and a recent DEM that shows the present day slope except for the upper portion of the hill that was involved in the 2010 and 2013 reactivations of the landslide.

The landslide mass reported in the geological cross sections was reconstructed by considering: i) the stratigraphy from all the available borehole logs (taking into account the topography that existed at the time the boreholes were drilled; this is important because the topography has changed greatly over time); ii) the stratigraphy from the drainage wells; iii) the stratigraphy from the foundation piles of the retaining wall at the foot of the slope; and iv) the inclinometer data and the location of the shear failures observed in some of the drainage wells. In particular, the data from the last 2012 geotechnical campaign, including three boreholes drilled up to 20 m deep, were considered. Based on the collected data, the maximum depth of the landslide rupture surface is 25 m below the present ground surface, i.e., after the slope was reshaped in 2001 during stabilization. Moreover, the reconstructed geometry of the landslide mass indicates a primarily translational sliding mechanism (*sensu* Cruden and Varnes, 1996) that involves the disordered deposits of the *Numidoide* Formation.

2.2 Triggering and reactivation of the landslide

Table 1 summarizes the main events that characterize the activity of the Diezma landslide since 1990, when construction of the A-92 highway began, and the associated stabilization and restoration projects.

Aerial photographs taken prior to highway construction (IECA, 2013) show that the Rias slope lacks vegetation and contains a very low concentration of olive trees, which indicates an unstable area that is most likely affected by soil creep. Based on this evidence, the slope is mapped as landslide debris cover in the official geological map of Spain (García Dueñas et al., 1980), which was edited before the construction of the A-92 highway.

During construction of the A-92 highway (1990 – 1992), a 30 m high cut (45°) was excavated for the highway trench. Small landslides have affected the cut since the first stages of construction (Fig. 2a). In September 1991, before the highway opened to traffic, a new landslide occurred at the bottom of the cut and affected the surface of the roadway to Seville. In 1996, a new landslide in the cut was reshaped, and an intermediate berm was constructed. Even though preliminary stabilization measures were adopted (Table 1), the ground cracks gradually involved the slope up to approximately 400 m from the roadway and reached the old road CN-342 uphill of the slide (Fig. 1, 4). Most of the cracks coinciding with the boundaries of the 2001 failure were detected and mapped in 1998 (Ofiteco, 2000). In May 2000, a new landslide occurred in the highway trench and again interrupted the highway to Seville. Finally, on 18 March 2001, a more generalized failure occurred; this failure can be considered as the first activation of the Diezma landslide. This event heavily damaged the highway (Fig. 2b) and the old road to Granada (CN-342). The detachment area of the landslide is located at approximately 1280 m a.s.l. near the old national road CN-342 (Fig. 2c)

and is identified by a main scarp approximately 4 m high, secondary scarps approximately 4-5 m high and several ground cracks with apertures of approximately 70 cm across the landslide mass. The Diezma landslide is an earthslide that is characterized by a mainly translational mechanism. It is approximately 400 m long and 200 m wide, which corresponds to approximately 6 ha of the slope surface, and it involves a volume of approximately 1.2 Mm³ (Fig. 4). In 2002, after the stabilization project was completed, a topographic monitoring system was installed that includes 29 benchmarks and 10 inclinometers within the landslide mass.

As has been reported by other authors (Yesares et al., 2004; Azañon et al. 2010; Rodríguez-Peces et al., 2011a), the 2001 landslide occurred 20 days after a period of intense rainfall (from December 2000 to February 2001). This period was characterized by daily rainfall of up to 40 mm (Rodríguez -Peces et al., 2011a) measured at the Quentar pluviometric station (30 km southwest of Diezma). The average annual rainfall at this station since 1898 is approximately 325 mm; the minimum rainfall of 128 mm was recorded in 2005, and the maximum of 540 mm was recorded in 2010. The rainfall in 2001 was more than 480 mm. Despite the stabilization projects completed in the years following the 2001 failure of the Diezma landslide, a new retrogressive reactivation of the landslide occurred in 2010 (Figs.2d, 4) and damaged one of the four drainage barriers that are composed of drainage wells connected by drainage tubes. Several signs of landslide activity presently exist on the slope (Fig. 4), including recent ground cracks (Fig. 3a) and damage to the stabilization infrastructure (Fig. 3c, d) that is approximately six years old. The most recent data of

retrogressive activity were collected on June 2013 from the crown area uphill of the 2010 landslide (Fig. 3b).

3. ENGINEERING-GEOLOGICAL MODEL

3.1 Laboratory tests

The geomechanical properties of the Diezma landslide mass were defined based on the laboratory test results from several samples obtained during the five borehole campaigns performed between 1999 and 2012. Most of the samples (i.e., 50 of 85) are from the *Numidoide* Formation and are characterized by a clay matrix with a variable compressibility (i.e., low to high) and by a coarse grained soil portion classified as GW to GC according to the Unified Soil Classification System (US Corps of Engineers, 1960) and ASTM (1983). Based on the laboratory tests, the unit weight is 19 ± 2 kN/m³, the cohesion is approximately 46 ± 23 kPa and the peak internal friction angle is $26\pm 6^\circ$. Nevertheless, due to the high variability of these parameter values, the engineering-geological model of the landslide mass was calibrated via back analysis by considering the geological setting of the slope and the triggering conditions of the Diezma landslide during its failure. The tests performed on the 19 samples from the Red Silt Formation show that it is composed of middle to low plasticity clays (CL) with a unit weight of 21 ± 2 kN/m³, a cohesion of approximately 54 ± 17 kPa and an peak internal friction angle of $17\pm 4^\circ$. No mechanical laboratory test results are available for the Green Clay (7 samples) and Black Schist (9 samples) Formations, but their unit weights are 21 ± 1 kN/m³ and 23 ± 1 kN/m³ respectively. This lack of data is not relevant for the

proposed stability analysis because the Diezma landslide mass involves the deposits of the *Numidoide* Formation only.

3.2 Geophysical investigations

Three geophysical campaigns of seismic noise measurements were performed in the Diezma landslide slope in March and November 2012 and June 2013 to validate the geological model of the landslide and to better constrain the landslide volume. The basic principle for the interpretation of these geophysical measurements consists on the main resonance frequency (f_{res}) of a soft soil column which overlay a stiff bedrock; in the specific case, the assumption is that the landslide mass itself represents a softened layer whose impedance contrast with the substratum is suitable for a local seismic amplification (Lenti and Martino, 2012). Under this assumption the expected amplification frequency should be related to the thickness (H) as well as to the seismic wave velocity (V_s) of the landslide mass. If a theoretical 1D resonance model is assumed the expected f_{res} value corresponds to the ratio $V_s/4H$; nevertheless if 2D local amplification conditions exist they can significantly modify theoretical f_{res} value (Semblat et al. 2002a, b). The measurement stations were equipped with a Guralp CMG-6TD three-component broadband seismometer. The 78 noise records have an average duration of 45 minutes and were collected during the day and night at the same locations. The seismic noise records were processed with the GEOPSY software (release 2.7.4; Whateley et al., 2011) according to the SESAME Working Group (2004) standards. The horizontal over vertical spectral ratios (HVSr) were derived according to the approach of Nakamura (1989) as well as the HVSr azimuthal distribution.

The results indicate that the noise measurements performed during the day are disturbed due to the traffic of the A-92 highway. Fig. 7 compares a six hour noise measurement performed at the same station during the day with one performed at night. A resonance peak is present at 4-5 Hz (Fig. 7a, b) from the HVSR during the night hours, while a second peak at 6-7 Hz appears during the daytime hours; the FFTs of the three components demonstrate that this peak is generated by the highway traffic (Fig. 7c). As a result, the amplitude of the HVSR measured during the day is significantly lower, and the local resonance is less evident, than the HVSR measured at night.

For this reason, only the records from the night hours (i.e., from 23.30 p.m. until 04.00 a.m.) were used in this study. The analysis of the noise records demonstrates that the landslide mass is characterized by a clear resonance frequency in the range of 4-5 Hz that is not present outside the landslide mass (Fig. 8). Moreover, the HVSR amplitudes generally decrease from the middle portion of the landslide mass toward the boundaries and are significantly reduced at the bottom of the slope (i.e., downhill from the retaining wall). This is most likely due to the lower impedance contrast of the rock fill behind the concrete wall that was constructed in 2001 to stabilize the roadway trench; moreover, considering the mid-slope position of the landslide mass and the slope inclination angle lower than 15° , topographic amplification/ de-amplification effects can be neglected with respect to the resonance of the landslide mass itself (Lenti and Martino, 2012; 2013). Based on the peak frequencies retrieved from the noise and the landslide thickness from the engineering-geology model, the landslide mass should be characterized by a V_s value of approximately 300 m/s. This value is confirmed by Multichannel Analysis of Surface Waves (MASW) measurements performed specifically for

this study in the landslide area. Based on the results of the noise measurements, a temporary accelerometer station was installed on the Rias slope to record earthquake events and to evaluate the local seismic response of the Diezma landslide mass.

4. SLOPE STABILITY ANALYSIS

4.1 Back analysis

The engineering-geology model of the Diezma landslide was calibrated using a back analysis of the large slope failure that occurred on 18 March 2001 by assuming a limit equilibrium condition ($SF=1$) at the time the landslide was triggered. This analysis was performed using the Janbu (1973) method, which is suitable for a sliding mechanism that is primarily characterized by a translational component. The longitudinal geological cross section AA' from Fig. 6 was selected because it is most representative of the Diezma landslide mechanism. The shape of the slope assumed for the back analysis corresponds to the slope after the excavation of the roadway trench at the foot of the slope but before the slope was reshaped in 2001. Because no data are available to reconstruct the groundwater flow at the triggering conditions, this study considered a pore water pressure distribution within the landslide mass for avoiding to predict a specific water flow net. At this aim, use was made of the Bishop coefficient (r_u) which leads to a parametric study of the water pressure effect on the slope stability as well as to stability charts for landslide slopes (Michalowski, 2002) as widely used in geotechnical applications. In particular, this coefficient was assumed to be

25% higher than the mean admissible value (i.e., 0.22) computed for the geometry of the landslide mass. This assumption took into account that the landslide was triggered after 20 days with no rainfall following three months of very intense rainfall. The mechanical parameters of the landslide mass were calibrated using the back analysis; the results of this calibration indicate that the landslide mass has a residual internal friction angle of 12° , a residual cohesion of 4 kPa and a wet unit weight of 21.4 kN/m^3 . These values agree with those obtained by Rodríguez-Peces et al. (2011a) from undisturbed samples of the *Numidoide* formation.

The spatial distribution of shear strength versus the forces acting on the landslide (Fig. 9a) demonstrates that the Diezma landslide is characterized by a neutral zone approximately 100 m wide that represents approximately 25% of the landslide mass. Moreover, using the mechanical parameters derived from the back analysis, a SF of 1.46 was obtained for the Rias slope in 2001 without considering water pressure (i.e., for $r_u=0$). For the same water pressure conditions and assuming the present shape of the slope as well as the effects of the anchors in the retaining wall, the SF is 1.52 (Fig. 9b).

4.2 Conventional sensitivity analysis

Based on the engineering-geological model and the strength properties obtained with the back analysis, a sensitivity study was performed on the effects of possible destabilizing actions for the present slope conditions by considering different values of the Bishop coefficient r_u and different horizontal pseudostatic coefficients (k_x), which can be related to seismic events using a conventional approach (Kramer, 1996). The critical values of the pseudostatic coefficients (k_y) for different r_u values are plotted in the stability chart of Fig. 9c where the

pairs of r_u and k_x values for which SF is equal to 1.0 corresponding to an upper-bound curve that separates a stable-condition field (below the upper-bound line) from an unstable-condition one (above the upper-bound line).

The value of r_u was varied across the range of the admissible values (i.e., 0 – 0.36), and k_x was varied +/- 50% with respect to the PGA value expected for a return period of 475 years in the Diezma area (i.e., 0.07 – 0.14 g) to take into account possible amplification/de-amplification effects due to the local seismic response. The obtained results indicate that for a seismicity characterized by a return time lower than 475 years the Diezma landslide could become unstable for r_u ranging up to the admissible value; nevertheless, in case of a seismic action corresponding to a return time of 475 years the landslide could be unstable also under almost dry conditions (i.e. very low r_u values).

4.3 Unconventional pseudostatic analysis

Due to the lack of accelerometric records at the Diezma landslide site, an unconventional pseudostatic analysis was performed to evaluate the destabilizing effects of the seismic forces. The present shape of the slope was considered along geological cross section AA', and several distributions of the horizontal pseudostatic coefficient k_x within the landslide mass ($k_x(x)$) were considered. The seismic waves are represented by sine waves with different periods (T_m) and phases (Φ) (Fig. 10); the phases varied from 0° to 360° from the crown of the landslide mass. Because the seismic wavelength (λ) changes with the value of T_m , the ($k_x(x)$) distributions are characterized by decreasing spatial variation with increasing T_m (i.e., the wave's half-length becomes equal to the landslide length). For a null frequency (i.e., an

infinite seismic wave period), the assumed conditions are theoretically identical to pseudostatic conditions (k_x is equal for all the slices considered in the analysis).

In particular, the sine waves cause accelerations of 0.07 g and 0.14 g; these values bound the range of expected PGA values for a return period of 475 years, and they are characterized by values of T_m between 2 and 0.33 s (i.e., corresponding to seismic wavelengths within the Diezma landslide mass from 100 to 600 m). As shown in Table 2, different possible seismic wave phases were assumed for each considered PGA and T_m value to condition the spatial distribution of the sine wave peaks within the landslide mass. Different distributions of water pressure were also considered by varying the r_u coefficient from 0 to 0.36).

The distributions of $SF(\Phi, f_m)$, which represent SF vs. sine phase (Φ) and the characteristic frequency ($f_m=1/T_m$), are reported in Fig. 11 and Fig. 12 for PGAs of 0.07 g and 0.14 g, respectively. These distributions are obtained for the range of admissible r_u values. The obtained $SF(\Phi, f_m)$ distributions demonstrate that the stability of the Diezma landslide is strongly influenced by the both the sine wave phase and frequency as the $SF(\Phi, f_m)$ values decrease below 1 Hz. The minimum values are reached for Φ values in the range $0 - 90^\circ$, while the maximum values are found in the supplementary range of Φ values (i.e., $180^\circ - 270^\circ$). The $SF(\Phi, f_m)$ distributions show that the stability of the slope is controlled more by the phase than by the frequency of the sine wave. Several $SF(\Phi)$ vs. r_u distributions were computed for each value of T_m and PGA. The minimum $SF(\Phi)$ vs. r_u distribution was selected to represent the most critical dynamic stability condition for the slope. The selected $SF(\Phi)$ values were expressed as a function of the sine frequencies for each r_u value. The results of

this analysis are shown in Fig. 13 and show that the $SF(\Phi)$ values of the Diezma landslide increase significantly with increasing frequencies from 0 (i.e., conventional pseudostatic conditions) to 1 Hz. In particular, for a 0.14 g sinusoid amplitude, the critical conditions ($SF(\Phi)=1$) correspond to f_m values between 0.5 and 1.0 Hz for r_u values between 0 and 0.36, while for a 0.07 g sine waves amplitude, the critical conditions correspond to f_m values ranging from 0 to 1.0 Hz for r_u values between 0 and 0.36. The comparison with the SF values derived by a conventional pseudostatic approach (i.e. corresponding to the $f_m=0$ Hz values in the graph of Fig.13) shows that they are systematically lower with respect to the ones derived by the here proposed unconventional approach for each assumed r_u and PGA value. More in particular, in the case of the Diezma landslide, the SF values are significantly underestimated if the characteristic frequency of the earthquake is higher than 1Hz. This means that higher r_u values should be assumed for reaching unstable conditions due to a seismic action corresponding to a return time of 475 years if the here proposed unconventional approach is applied.

4.4 Evaluation of co-seismic displacements

To provide a preliminary estimate of the co-seismic displacements expected for the Diezma landslide for earthquakes with different characteristic periods (T_m) and Arias Intensities (AI) relationships, the relationships proposed by Lenti and Martino (2013) and restituted by numerically-derived abacuses were used. These relationships express the dynamic co-seismic displacements as a function of a landslide mass to the characteristic periods T_s and T_l that are related to its thickness and length, respectively, as these geometrical features control the 1D resonance (Rathje and Bray, 2000) and the movement of the landslide mass during seismic

shaking (Hutchinson, 1994). The theoretically optimum values of the T_s/T_m and T_l/T_m ratios for the maximum co-seismic displacements are 1.0 and 0.5, respectively. The most representative abacuses by Lenti and Martino (2013) were selected: i) assigning a translational mechanism and a critical pseudostatic coefficient equal to 0.1 g representative for the critical pseudostatic coefficient of the Diezma landslide under dry conditions (Fig.9c); ii) assuming a landslide slope inclination of less than 15° based on the Diezma landslide topography; and iii) considering AI values ranging from 0.01 up to 1.0 m/s. The T_m values were varied in the same range assumed for the sine waves in the unconventional pseudostatic analysis (i.e., 0.5 – 3.0 Hz). The values of T_l and T_s computed for the Diezma landslide are 1.33 s and 0.20 s, respectively; they are based on a landslide length of 400 m, an average V_s of 300 m/s and an average landslide thickness of 15 m that were derived from both the engineering-geological model of the landslide and the outputs of the geophysical investigations. Based on these computed characteristic periods, the maximum co-seismic displacements should theoretically be expected for earthquakes with T_m values of 2.66 s and 0.20 s by considering T_s/T_m and T_l/T_m ratios equal to 1.0 and 0.5, respectively. The co-seismic displacements were derived according to the literature abacuses and are summarized in Table 3; the maximum estimated values are approximately 2 m for AI values on the order of 1 m/s, which corresponds to earthquakes with T_m values close to 1 s. These results demonstrate that the Diezma landslide can be re-activated by earthquakes since sliding displacements of the landslide mass up to a meter-scale can be induced by the seismic shaking for AI ranging from 0.01 up to 1 m/s. Based on the empirical correlation between PGA and AI proposed by Lenti et al. (2012) and derived by considering 6850 accelerometric records from the European Strong Motion database (Ambraseys et al., 2004), the on-line Italian ACcelerometric Archive

(ITACA - <http://itaca.mi.ingv.it/ItacaNet/>) and the K-NET on-line database (<http://www-k-net.bosai.go.jp/k-net/index.en.shtml>), an average PGA of 0.05g should be expected for a AI of 0.01 m/s, i.e. comparable with the PGA expected at Diezma for a return period of 475 years, while a PGA of 0.5g should be expected for a AI of 1 m/s.

The relative contributions of the T_s/T_m ratio (i.e., related to the average thickness of the landslide mass and consequently to its 1D resonance) and of the T_l/T_m ratio (i.e., related to the landslide length and consequently to the 2D seismic wave propagations within the mass) to the co-seismic displacements were evaluated based on the percentage difference of each ratio from the theoretical values that provide the maximum displacements. The calculated percentage contributions are almost equal if the T_m is approximately of 1 s while the 2D effects have a prevalent contribution to the co-seismic displacements (i.e. related to the T_s/T_m ratio) for increasing values of T_m .

5. CONCLUSIONS

This study presents an unconventional analysis carried out by a novel pseudostatic approach to evaluate the sensitivity of the Diezma landslide mass (Granada, Spain) to the characteristic frequencies and the phases of a seismic input. A high-resolution engineering-geological model of the landslide was based on correlations between numerous borehole logs as well as field surveys and geophysical investigations. The model provided structural constraints on the landslide mechanism. Moreover, the well documented triggering conditions that occurred on 18 March 2001 and during the later reactivations of the landslide until 2010 made it possible to constrain a back analysis under limit equilibrium conditions to calibrate the geomechanical properties of the landslide mass. According to a sensitivity analysis of the external actions

(i.e. distributions of pore pressure and earthquake) low stability conditions generally result for the Diezma landslide, which are consistent with the reactivation of the landslide over the last decade. The performed analyses on the Diezma landslide slope demonstrate a high sensitivity of the landslide mass to seismic waves whose main frequency content is lower than 1 Hz. In these conditions, the stability conditions can significantly vary depending on the frequency values and the earthquake-induced displacements, evaluated by numerically-derived relationships, reach the maximum expected values (up to 2 m). These earthquake-induced effects are mainly due to the 1D resonance due to the mass thickness if the characteristic period of the input (T_m) is close to 1 s while they mainly depend on its length for increasing T_m values.

Because the preliminary evaluations presented here indicate that significant seismic effects can be expected in severe earthquake scenarios, future analyses will focus on earthquake measurements at the Diezma landslide site as well as on dynamic analyses to better constrain the co-seismic displacements that can affect the landslide and cause damage to the A-92 highway.

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CAPTION TO FIGURES AND TABLES

Fig. 1 – Location and aerial view of the Diezma landslide.

Fig. 2 – Photos showing the activity of the Diezma landslide and the main interventions performed to stabilize the landslide mass: a) the first landslide, which occurred in October 1990, viewed from the roadway trench of the A-92 highway; b) a large failure that occurred on 18 March 2001; view of the landslide toe involving the A-92 highway; c) a large failure

that occurred on 18 March 2001; view of the landslide crown area involving the old road CN-342 to Granada; d) aerial view of the last reactivation of the Diezma landslide, which occurred in 2010; e) excavation of the ditches within the landslide mass in 2001-2002; f) installation of the drainage wells within the landslide mass in 2001-2002; g) anchored retaining wall and block-supported backfill constructed at the bottom of the landslide slope; h) retaining wall foundation.

Fig. 3 – Evidence of the activity of the Diezma landslide on the Rias slope: a) ground cracks along the old national road to Granada CN-342; b) ground cracks in the crown area of the last reactivated landslide volume observed in June 2013; c) damage to the drainage ditches; d) damage observed in some of the drainage wells.

Fig. 4 – Geological map of the Rias slope area. The legend also refers to the geological cross section in Fig. 6: 1) Diezma landslide mass; 2) slope debris; 3) *Numidoide* Formation; 4) Limestones of the *Dorsal* domain (Eocene); 5) Formations of the *Maláguide* domain (Devonian – Triassic); 6) 1998 ground cracks; 7) 2001 perimeter of the Diezma landslide; 8) 2010 reactivation of the Diezma landslide; 9) ground cracks; 10) fault deduced from borehole logs; 11) road; 12) track; 13) retaining wall anchored and founded on piles; 14) drainage wells; 15) borehole; 16) inclinometer; 17) house; 18) spring.

Fig. 5 – Geological formations outcropping on the Rias slope: a) deposits of the *Numidoide* Formations; b) Red Silt Formation of the *Maláguide* domain in the landslide debris outcropping along the trench of a drainage channel uphill from the road to the Hotel Señorío

del Rias; c) Green Clay Formation of the *Maláguide* domain; d) Black Schist Formation of the *Maláguide* domain.

Fig. 6 – Geological cross sections along traces AA', BB' and CC' in Fig. 4: 1) landslide mass; 2) *Numidoide* Formation; 3) Formations of the *Maláguide* domain; 4) inclinometers; 5) drainage well; 6) boreholes; 7) sliding surface; 8) fault deduced from borehole logs.

Fig. 7 – Comparison between six-hour noise measurements at the Rias slope performed at night and during the day. a) HV-rotate GEOPSY diagram, i.e. showing the azimuthal distribution of the HVSR values; b) HVSR amplitude vs. frequency (the dashed lines indicate the average values plus and minus the standard deviation); c) FFT of the three components of the noise records processed according to the SESAME (2004) standards.

Fig. 8 – Map of the noise measurements performed in the Rias slope in June 2013 and processed with the GEOPSY software. HV-rotate plots are shown for each measurement point (all the results are for night measurements, i.e., performed between 23.30 p.m. and 04.00 a.m.).

Fig. 9 – Results of the slope stability analysis under limit equilibrium conditions: a) spatial distribution of the shear strength and the forces for the pre-landslide slope and for the present slope; b) sensitivity analysis of the pore water pressure distribution in terms of SF vs. r_u for the pre-landslide slope and for the present slope; c) results of the conventional pseudostatic analysis for the present slope expressed in terms of k_y vs. r_u .

Fig. 10 – Distributions of $k_x(x)$ within the landslide mass for different amplitudes (PGA), periods (T_m), phases (Φ) and corresponding wavelengths (λ) of the sine signals. The

geological cross-section is along the same trace of Fig. 6: 1) landslide mass; 2) *Numidoide* Formation; 3) Formations of the *Maláguide* domain; 4) sliding surface; 5) faults deduced from borehole logs; 6) freatic water surface. The numbers of the slices used for the limit equilibrium analysis are also given, and the pore water pressure distribution for $r_u=0.2$ is shown.

Fig. 11 – $SF(\Phi, f_m)$ distributions from the unconventional pseudostatic analysis for a PGA of 0.07 g and r_u values varying from 0 to 0.36.

Fig. 12 – $SF(\Phi, f_m)$ distributions from the unconventional pseudostatic analysis for a PGA of 0.14 g and r_u values varying from 0 to 0.36.

Fig. 13 – Results of the unconventional pseudostatic analysis reported in terms of minimum $SF(\Phi)$ vs. frequency for r_u values varying from 0 to 0.36 and for PGAs of 0.07 and 0.14 g.

Table 1 – Summary of the evolution of the Diezma landslide slope over the past several decades and of the main interventions used to restore the damaged infrastructure and to stabilize the slope.

Table 2 - Example of the $k_x(x)$ distributions obtained for different sinusoidal signals, i.e., characterized by different amplitudes (PGA), periods (T_m) and phases (Φ) corresponding to different wavelengths (λ); the resulting SF values computed for r_u values between 0 and 0.36 are also reported.

Table 3 – Co-seismic displacements expected for the Diezma landslide as a function of the characteristic period ratios (T_s/T_m and T_l/T_m) and of the AI values derived using the relationships proposed by Lenti and Martino (2013).

ACCEPTED MANUSCRIPT

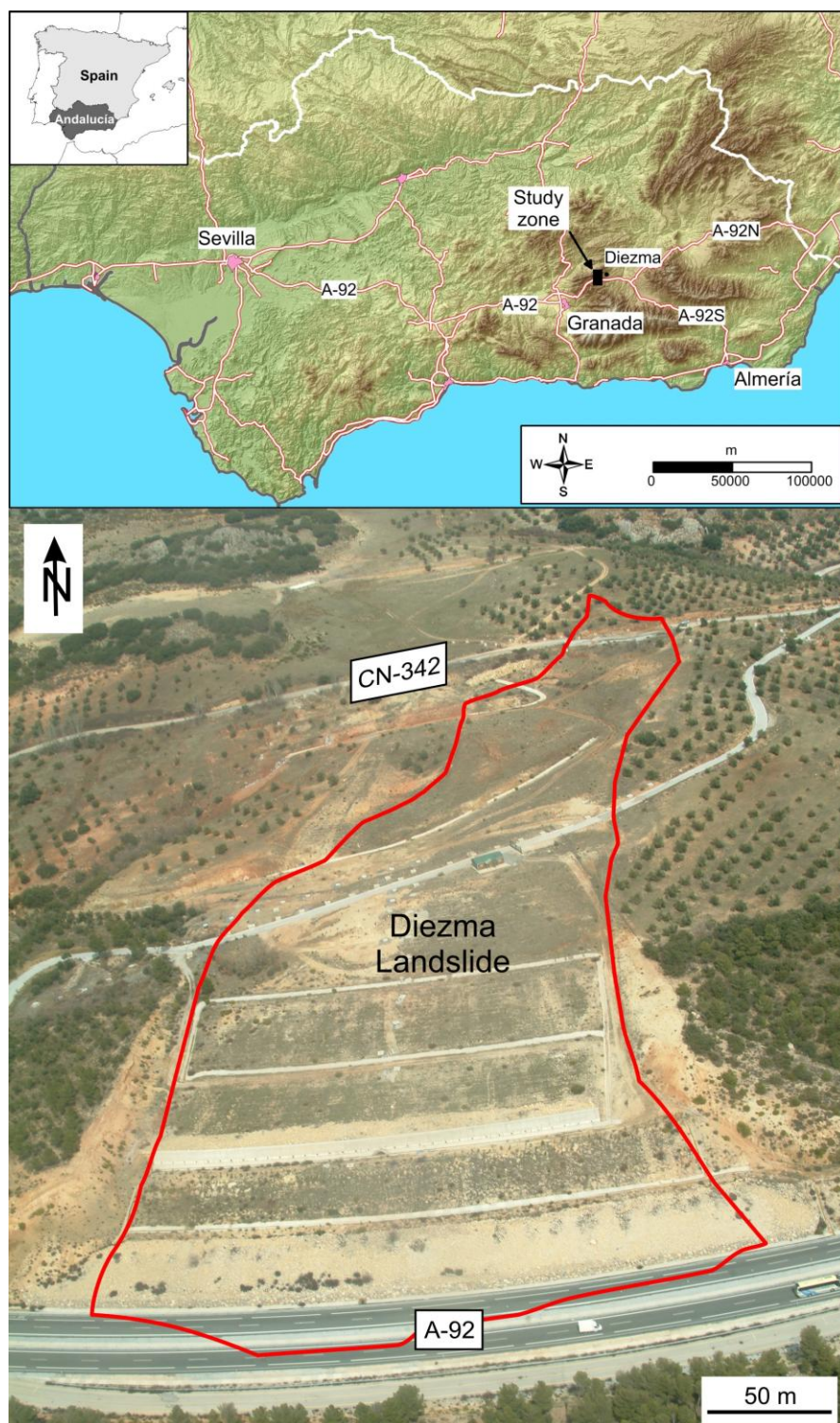


Figure 1



Figure 2



Figure 3

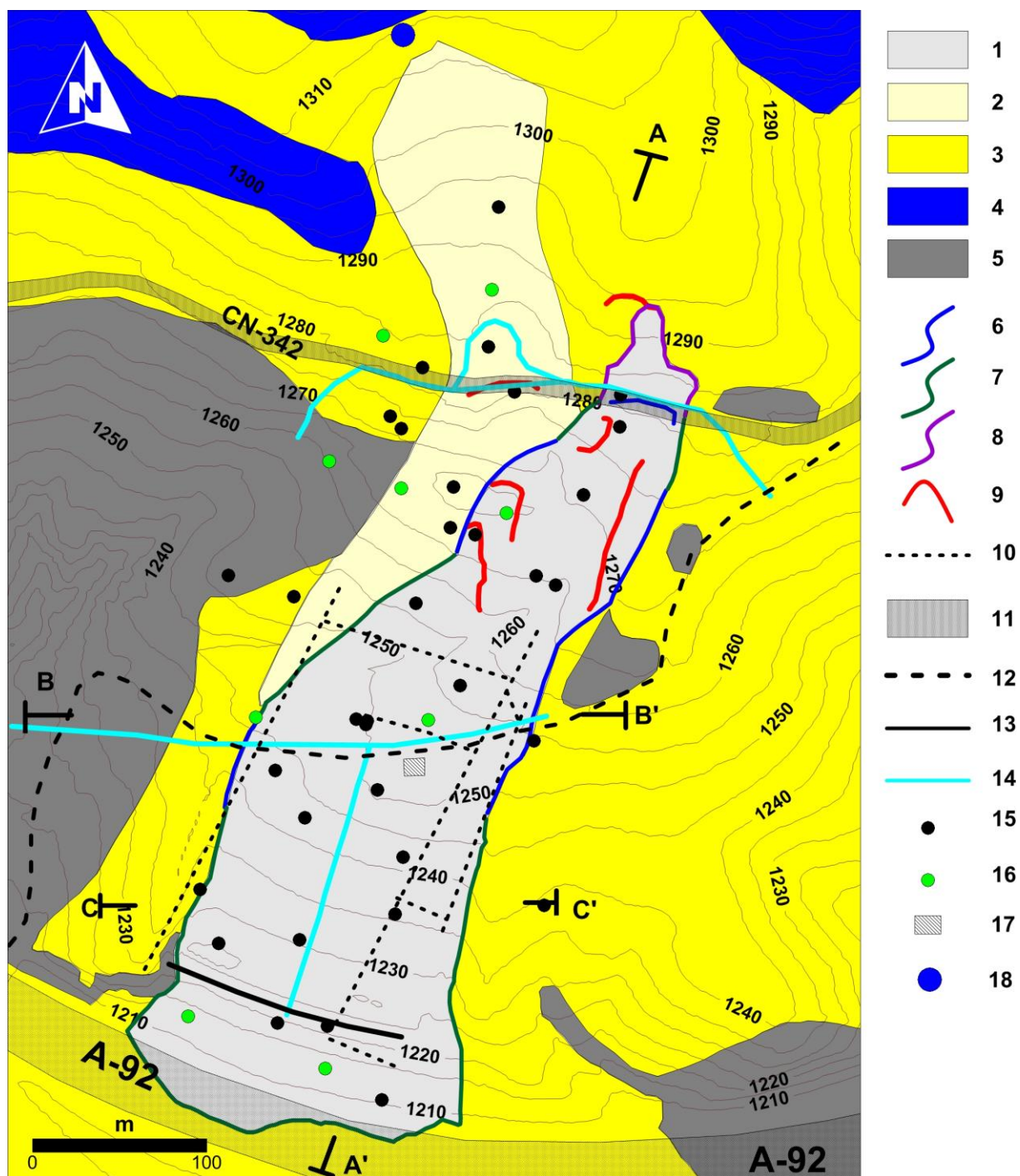


Figure 4

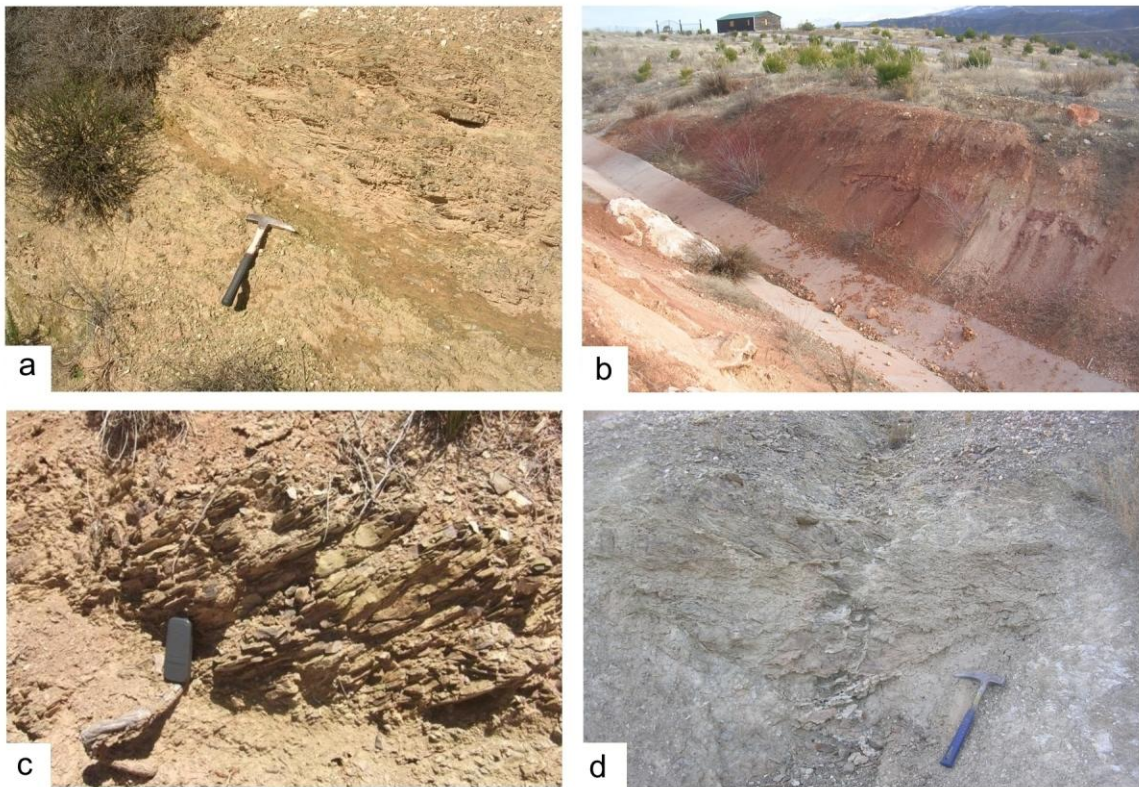


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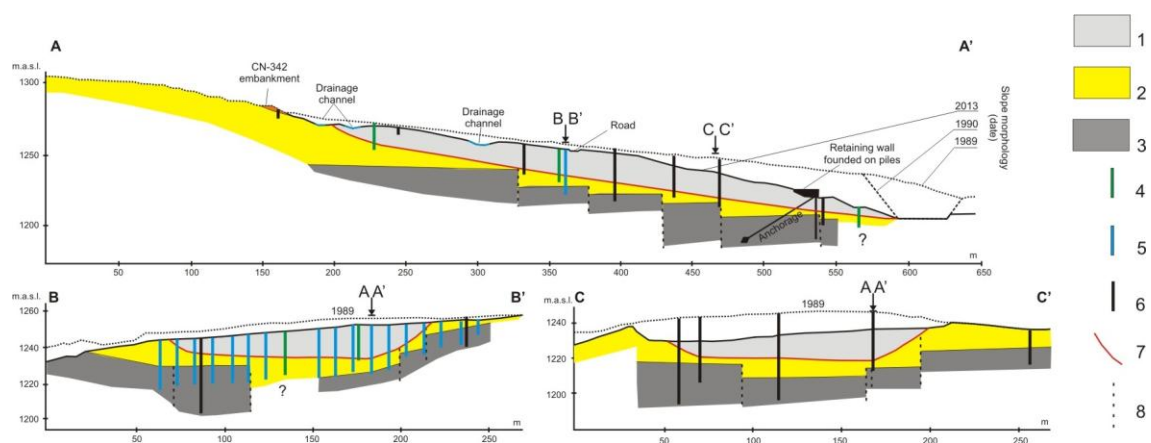


Figure 6

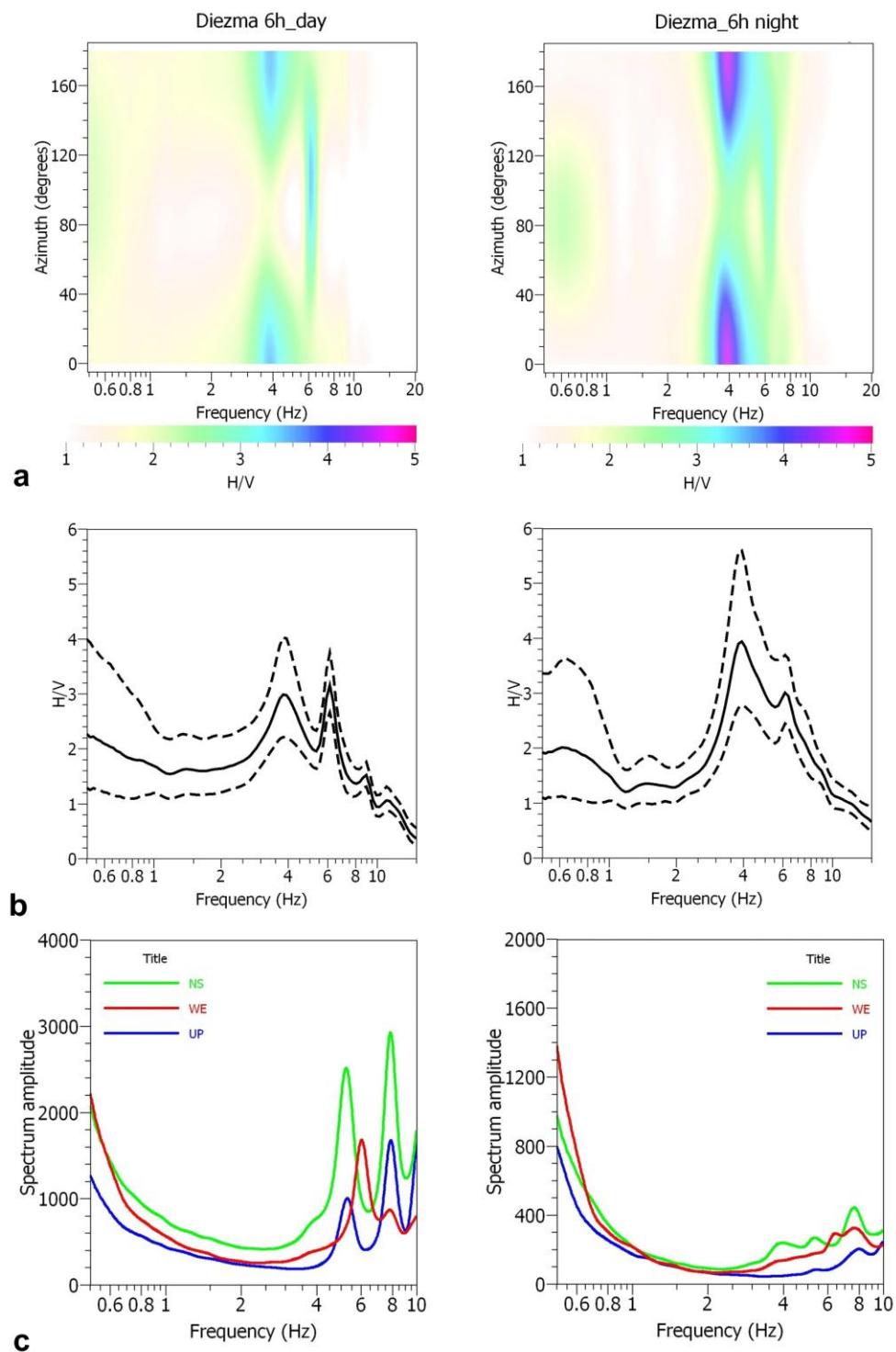


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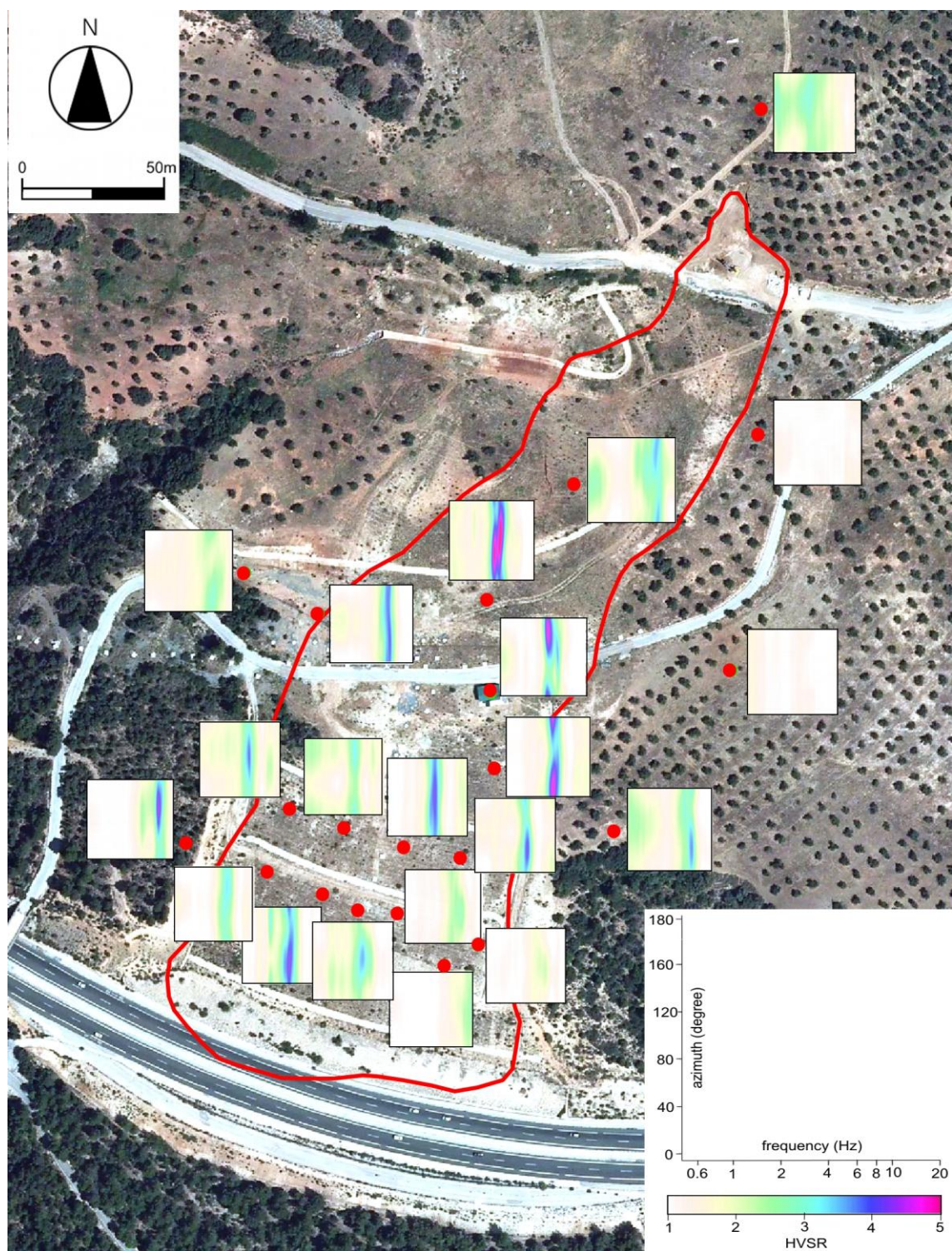


Figure 8

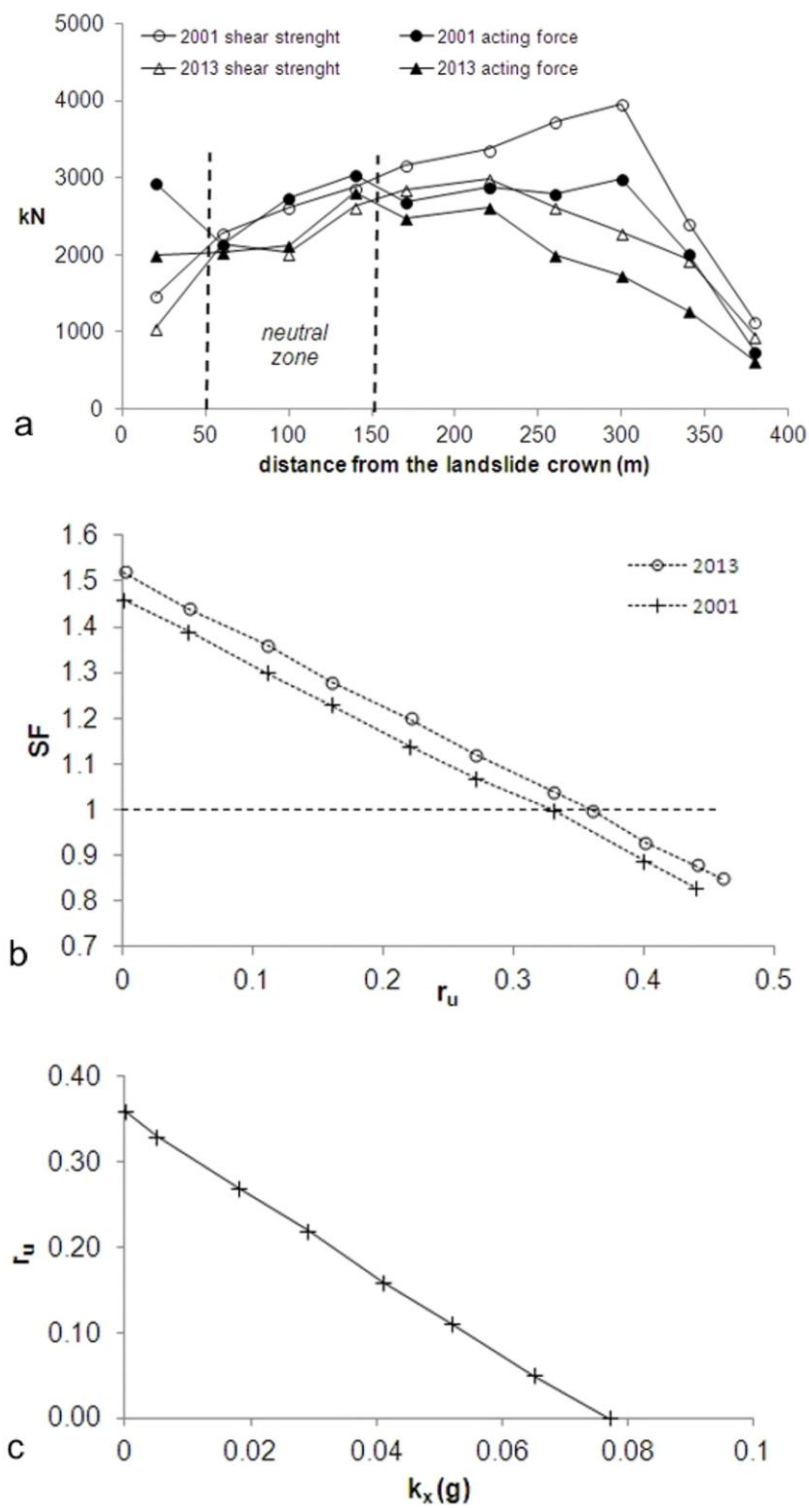


Figure 9

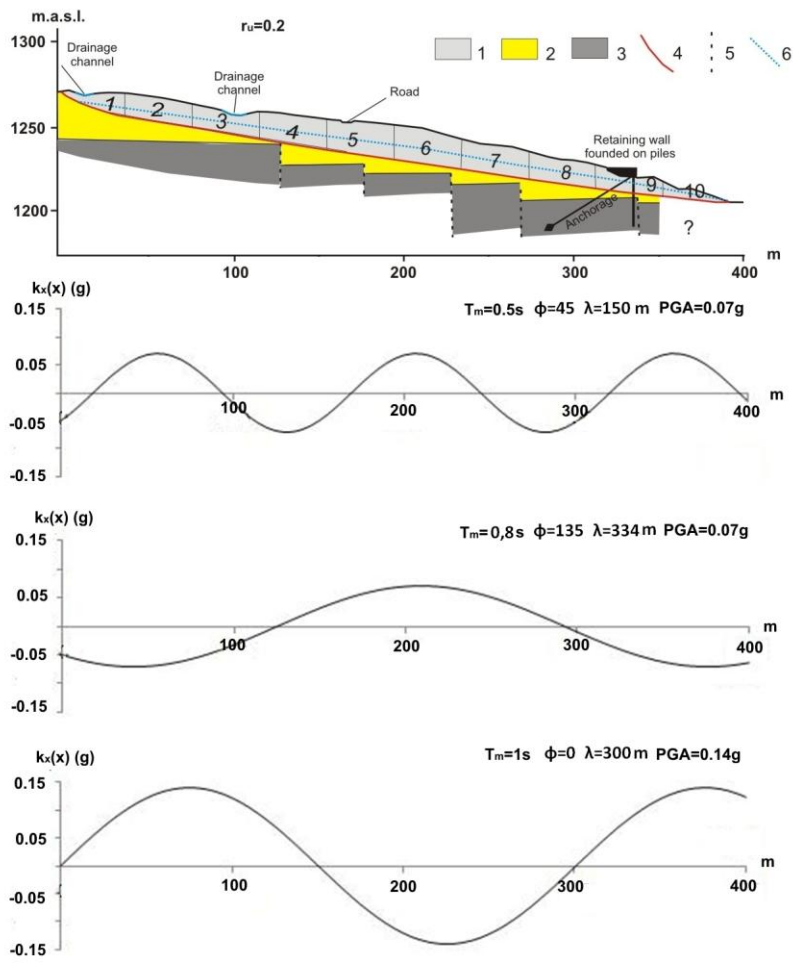


Figure 10

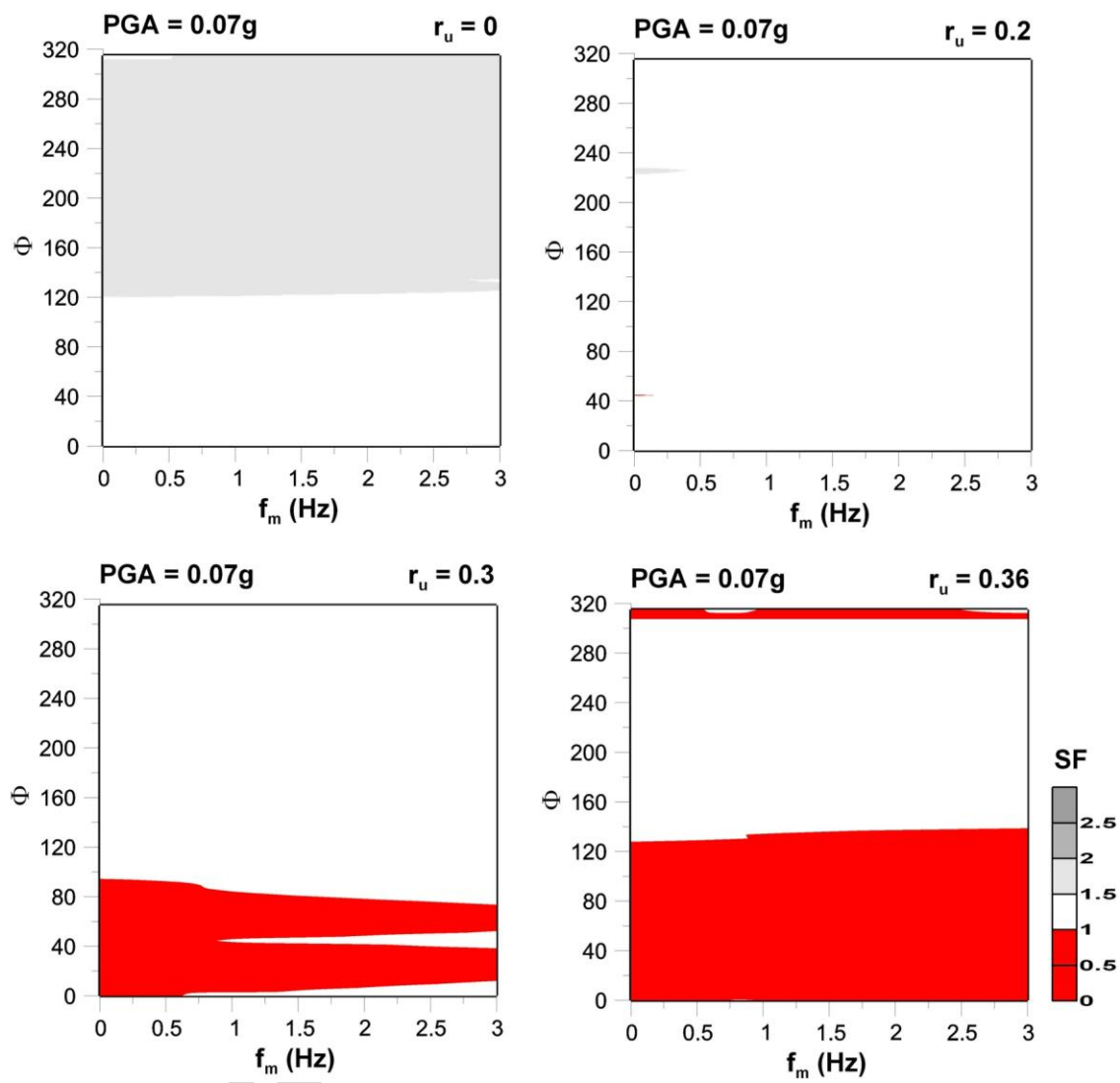


Figure 11

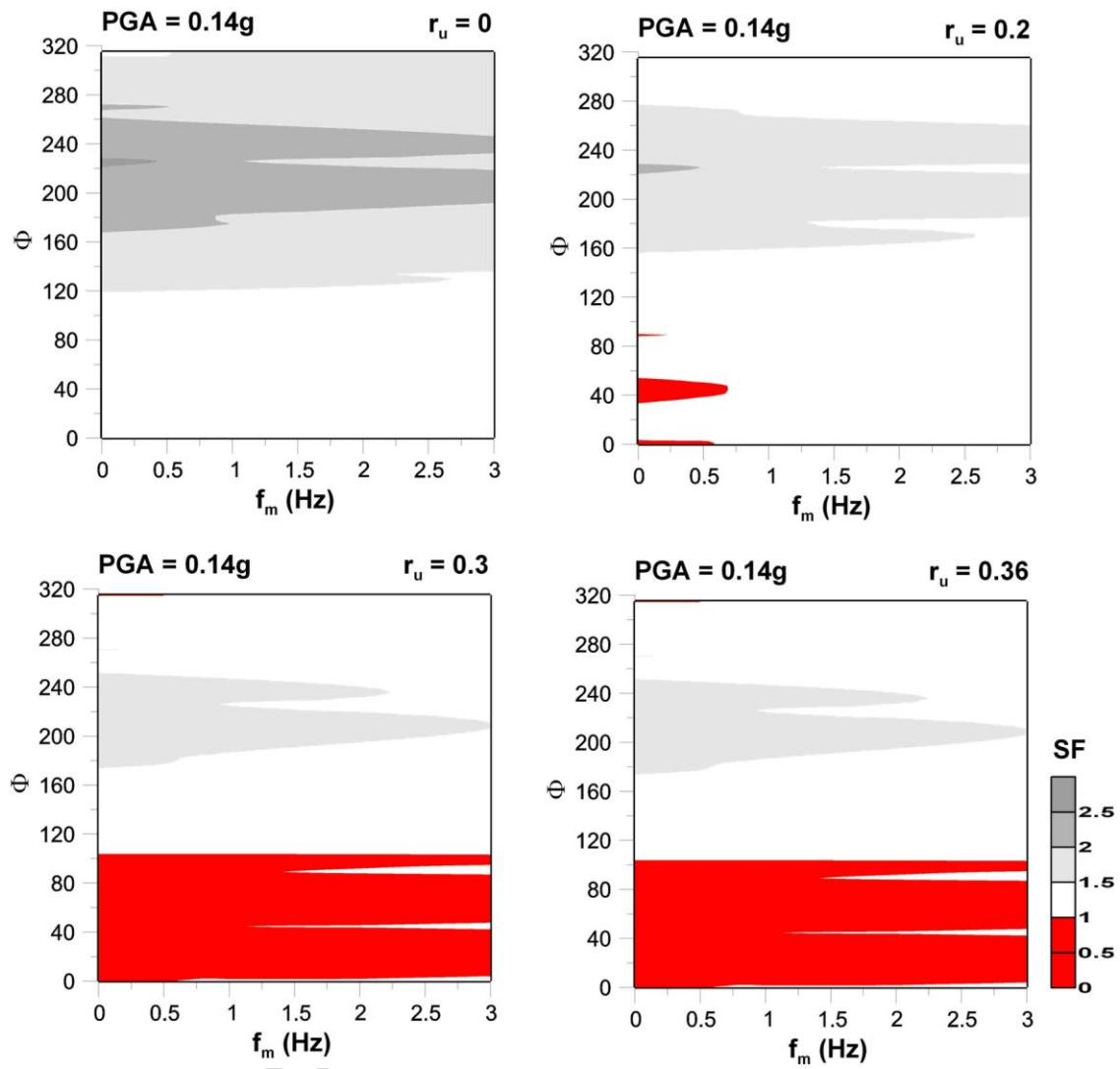


Figure 12

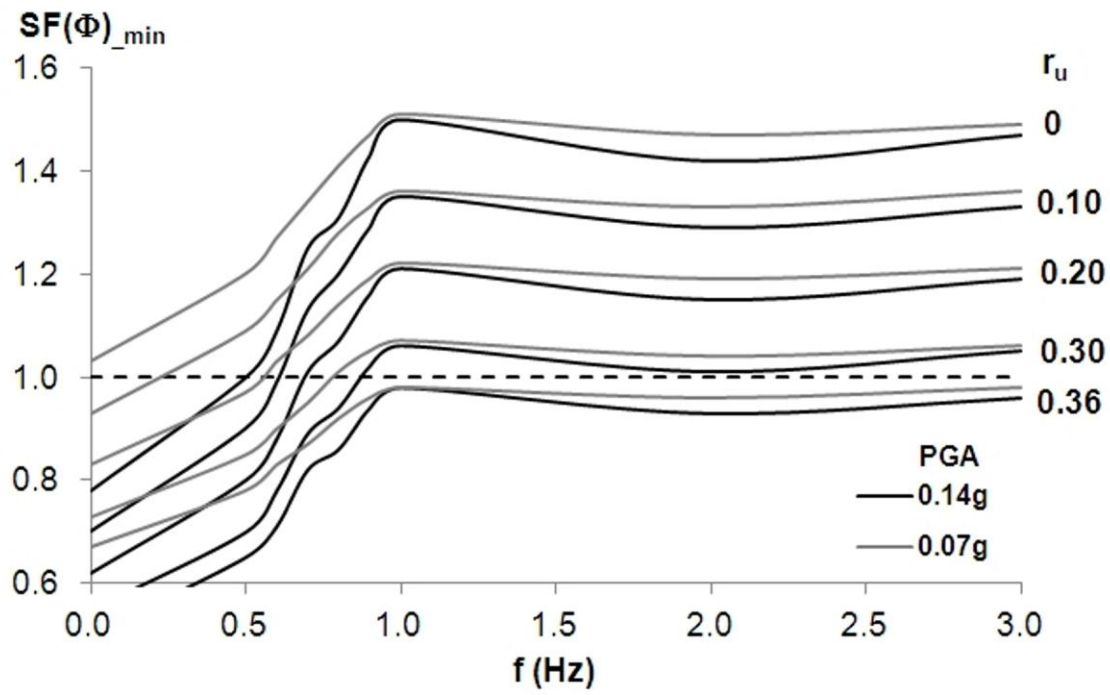


Figure 13

Table 1

Year	Landslide evidences	Interventions
Before 1990	<ul style="list-style-type: none"> Not uniform olive tree cover of the slope indicating a probable unstable area. 	<ul style="list-style-type: none"> The CN-342 old road to Granada already exists.
1990-1992	<ul style="list-style-type: none"> Two earthslides affected the cut. 	<ul style="list-style-type: none"> Construction of the A-92 highway. A 30 m height man-made trench was realized at the bottom of the slope (1990). Two re-shapes of the cut were performed.
1991	<ul style="list-style-type: none"> A deep landslide in the cut involving the A-92 highway. 	<ul style="list-style-type: none"> A new re-shape of the slope was performed.
1996	<ul style="list-style-type: none"> Minor landslides occurred from the trench wall. 	<ul style="list-style-type: none"> An intermediate berm and the re-shape of the slope was made.
1998-1999	<ul style="list-style-type: none"> Shallow landslides occurred from the trench wall (1998). Several longitudinal ground cracks involved the slope up to about 400 m far from the roadway (1998), most of them coinciding with the boundaries of the 2001 general failure. 	<ul style="list-style-type: none"> Fence at the bottom of the cut was built to preserve the A-92 highway (1998). First geological survey. 6 boreholes were drilled (1999). Not published technical reports by the "Junta de Andalucía" (1998-1999).
2000	<ul style="list-style-type: none"> An earthslide occurred at the bottom of the slope involving the A92 highway. 	<ul style="list-style-type: none"> Proposal of a first engineering-geological model of the landslide slope. A new re-shape of the slope was performed. A retaining rock fill was realized at the bottom of the slope.
2001	<ul style="list-style-type: none"> General failure of the Diezma earthslide on 18/03/2001: total estimated volume 1.2 Mm³. Several ground cracks up to 70 cm opened and many scarps up to 4-5 m height are distributed along the slope. The A-92 highway was interrupted and closed. The CN-342 road and the road to hotel "Señorío de Rías" were heavily damaged. 	<ul style="list-style-type: none"> The landslide debris was removed from the A-92 highway. A temporary roadway was constructed to restore the traffic of the A-92 highway. The CN-342 road was restored. The landslide debris was removed on the slope and the ground cracks were sealed. 4 m deep drainage trenches were constructed. A net of drainage ditches was constructed along the slope. Four barriers of drainage wells were realized. An anchored retaining wall with drainage trenches and founded by piles, was constructed at the bottom of the slope. 13 boreholes were drilled.
2002-2005	<ul style="list-style-type: none"> Ground cracks opened on the slope, along the CN-342 and road to hotel. Some drainage wells deformed and some ditches cracked. 	<ul style="list-style-type: none"> 29 benchmarks for topographic control were installed as well as 10 inclinometers (2002). 9 boreholes were drilled (2005).
2006-2009	<ul style="list-style-type: none"> Ground cracks opened on the slope, along the CN-342 road and the road to hotel. 	<ul style="list-style-type: none"> 13 new drainage wells (2009). Drainage system for the spring (2009).
2010-2012	<ul style="list-style-type: none"> Earthslide re-activation. The CN-342 road and the road to the hotel were newly damaged. 	<ul style="list-style-type: none"> Geological survey (Azañón et al., 2010, Rodríguez-Peces et al., 2011a). The CN-342 was newly restored, a rock fill wall was constructed and the cracks sealed.

		<ul style="list-style-type: none">• 3 (2010) + 3 (2012) boreholes were drilled.
2012-2013	<ul style="list-style-type: none">• Ground cracks re-opened on the slope and along the CN-342 road and the road to the hotel.• Earthslides developed at the crown and northeast flank of landslide.	<ul style="list-style-type: none">• Geological survey (this paper).• Geophysical investigations (this paper).• New high resolution engineering-geological model of the landslide slope (this paper).

ACCEPTED MANUSCRIPT

Table 2

$\lambda=150$								
$T_m=0.5s$		m		PGA=0.07g				
kx (g) for different wave phases								
slice	$\phi=0$	$\phi=45$	$\phi=90$	$\phi=135$	$\phi=180$	$\phi=225$	$\phi=270$	$\phi=315$
1	-0.024	0.025	0.060	0.059	0.024	-0.025	-0.060	-0.059
2	0.058	0.057	0.023	-0.025	-0.058	-0.057	-0.023	0.025
3	0.017	-0.030	-0.060	-0.054	-0.017	0.030	0.060	0.054
4	-0.061	-0.051	-0.011	0.035	0.061	0.051	0.011	-0.035
5	-0.005	0.040	0.062	0.047	0.005	-0.040	-0.062	-0.047
6	0.062	0.043	-0.001	-0.045	-0.062	-0.043	0.001	0.045
7	-0.008	-0.049	-0.062	-0.038	0.008	0.049	0.062	0.038
8	-0.061	-0.033	0.014	0.053	0.061	0.033	-0.014	-0.053
9	0.025	0.056	0.055	0.021	-0.025	-0.056	-0.055	-0.021
10	0.047	0.009	-0.034	-0.057	-0.047	-0.009	0.034	0.057
r_u	SF	SF	SF	SF	SF	SF	SF	SF
0	1.48	1.47	1.48	1.52	1.55	1.57	1.55	1.51
0.1	1.34	1.33	1.34	1.37	1.4	1.41	1.4	1.37
0.2	1.19	1.19	1.2	1.23	1.25	1.27	1.25	1.23
0.3	1.05	1.04	1.05	1.08	1.11	1.12	1.1	1.08
0.36	0.97	0.96	0.97	0.99	1.02	1.03	1.01	0.99

$\lambda=334$								
$T_m=0.8s$		m		PGA=0.07g				
kx (g) for different wave phases								
slice	$\phi=0$	$\phi=45$	$\phi=90$	$\phi=135$	$\phi=180$	$\phi=225$	$\phi=270$	$\phi=315$
1	0.067	0.057	0.013	-0.039	-0.067	-0.057	-0.013	0.039
2	0.056	-0.013	-0.038	-0.067	-0.056	-0.013	0.038	0.067
3	0.009	-0.041	-0.067	-0.054	-0.009	0.041	0.067	0.054
4	-0.044	-0.068	-0.052	-0.006	0.044	0.068	0.052	0.006
5	-0.068	-0.050	-0.003	0.046	0.068	0.050	0.003	-0.046
6	-0.048	0.001	0.049	0.068	0.048	-0.001	-0.049	-0.068
7	0.004	0.051	0.068	0.045	-0.004	-0.051	-0.068	-0.045
8	0.053	0.068	0.042	-0.008	-0.053	-0.068	-0.042	0.008
9	0.066	0.037	-0.014	-0.057	-0.066	-0.037	0.014	0.057
10	0.030	-0.021	-0.060	-0.064	-0.030	0.021	0.060	0.064
r_u	SF	SF	SF	SF	SF	SF	SF	SF
0.0	1.5	1.5	1.5	1.5	1.5	1.5	1.5	1.5
0.1	1.4	1.4	1.4	1.4	1.4	1.4	1.4	1.4
0.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2	1.2
0.3	1.1	1.1	1.1	1.1	1.1	1.1	1.1	1.1
0.4	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0

$\lambda=300$								
$T_m=1.0s$		m		PGA=0.14g				
kx (g) for different wave phases								
slice	$\phi=0$	$\phi=45$	$\phi=90$	$\phi=135$	$\phi=180$	$\phi=225$	$\phi=270$	$\phi=315$
1	0.135	0.113	0.026	-0.077	-0.135	-0.113	-0.026	0.077
2	0.113	0.026	-0.076	-0.134	-0.113	-0.026	0.076	0.134
3	0.019	-0.082	-0.135	-0.109	-0.019	0.082	0.135	0.109

Table 3

λ	<i>m</i>	100	150	300	350	400
<i>f</i>	<i>Hz</i>	3.00	2.00	1.00	0.85	0.75
T_m	<i>s</i>	0.33	0.50	1.00	1.18	1.33
T_l/T_m		4.03	2.66	1.33	1.13	1.00
T_s/T_m		0.61	0.40	0.20	0.17	0.15
<i>x_disp</i> (AI 1.00m/s)	<i>m</i>	0.31	0.95	1.70	1.50	1.45
<i>x_disp</i> (AI 0.10m/s)	<i>m</i>	0.09	0.18	0.45	0.24	0.23
<i>x_disp</i> (AI 0.01m/s)	<i>m</i>	0.02	0.02	0.01	0.02	0.01

Highlights

- unconventional pseudostatic analysis experienced for the Diezma landslide (Spain)
- engineering-geological model and geophysical measurement supported the analysis
- results demonstrate sensitivity of the safety factor to frequency, phases and PGA
- earthquake-induced displacements evaluated considering 1D and 2D seismic effects