

# Dual-polarimetric descriptors from Sentinel-1 GRD SAR data for crop growth assessment

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## Abstract

Accurate and high-resolution spatio-temporal information about crop phenology obtained from Synthetic Aperture Radar (SAR) data is an essential component for crop management and yield estimation at a local scale. Crop growth monitoring studies seldom exploit complete polarimetric information contained in dual-pol GRD SAR data. In this study, we propose three polarimetric descriptors: the pseudo scattering-type parameter ( $\theta_c$ ), the pseudo scattering entropy parameter ( $H_c$ ), and the co-pol purity parameter ( $m_c$ ) from dual-pol S1 GRD SAR data. We also introduce a novel unsupervised clustering framework using  $H_c$  and  $\theta_c$  with six clustering zones to represent various scattering mechanisms. We implemented the proposed algorithm on the cloud-based Google Earth Engine (GEE) platform for Sentinel-1 SAR data. We have shown the sensitivity of these descriptors over a time series of

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data for wheat and canola crops at a test site in Canada. From the leaf development stage to the flowering stage for both crops, the pseudo scattering-type parameter  $\theta_c$  changes by approximately  $17^\circ$ . Moreover, within the entire phenology window, both  $m_c$  and  $H_c$  varies by about 0.6. The effectiveness of  $\theta_c$  and  $H_c$  to cluster the phenological stages for the two crops is also evident from the clustering plot. During the leaf development stage, about 90% of the sampling points were clustered into the low to medium entropy scattering zone for both the crops. Throughout the flowering stage, the entire cluster shifted into the high entropy vegetation scattering zone. Finally, during the ripening stage, the clusters of sample points were split between the high entropy vegetation scattering zone and the high entropy distributed scattering zone, with  $> 55\%$  of the sampling points in the high entropy distributed scattering zone. This innovative clustering framework will facilitate the operational use of S1 GRD SAR data for agricultural applications.

*Keywords:* GRD SAR, Dual-pol, phenology, Unsupervised clustering, GEE, Sentinel-1

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## 1. Introduction

Synthetic Aperture Radar (SAR) data have been extensively used for crop growth monitoring and classification, yield estimation, and phenological stages characterization. This is due to their high sensitivity towards the structure and dielectric properties of crop canopies (Ulaby, 1975; Ulaby and El-Rayes, 1987; Brisco et al., 1992; Ferrazzoli et al., 1992; McNairn and Brisco, 2004; Steele-Dunne et al., 2017). Because of its high spatial resolution and all-weather capabilities, SAR has proven to be a promising data

9 source for continuously monitoring crops at field scales. The interaction of  
10 the SAR signal with crop canopies and the underlying soil varies with wave-  
11 length, polarization and angle of incidence (Ferrazzoli et al., 1992; Davidson  
12 et al., 2000). In general, during the early vegetative growth stage, the SAR  
13 backscatter signal is significantly affected by the underlying soil (Wiseman  
14 et al., 2014). The canopy structure and canopy moisture distribution are  
15 among major observable biophysical parameters that influence backscatter  
16 at each phenological stage. Further, the dense and complex geometry of  
17 the canopy leads to randomness in the scattering, which is more significant  
18 for fully developed crop canopies (Mascolo et al., 2016; Hariharan et al.,  
19 2018; Wang et al., 2019). The scattering becomes increasingly unpredictable  
20 during fruit development stages, leading to greater randomness in the SAR  
21 response (Jiao et al., 2014).

22 The availability of dual-pol SAR data acquired by the Sentinel-1 con-  
23 stellation provides diverse opportunities for many crop monitoring applica-  
24 tions (ESA, 2017). Compared to full-pol mode, dual-pol modes have ad-  
25 vantages in terms of larger swath widths and lower data volumes, but at  
26 the expense of reduced polarimetric information (Lee et al., 2001; Ainsworth  
27 et al., 2009). The Sentinel-1 (S1) SAR sensor in Interferometric Wide (IW)  
28 swath mode acquires data in dual-polarization, either in VV-VH or HH-HV.

29 Several researchers indicated the potential use of dual-pol backscatter  
30 intensities for crop type identification (Kussul et al., 2016; Nguyen et al.,  
31 2016; Bargiel, 2017; Van Tricht et al., 2018; Mandal et al., 2018; Whelen  
32 and Siqueira, 2018; Minasny et al., 2019; Arias et al., 2020), crop biophysi-  
33 cal parameter estimation (Bousbih et al., 2017; Kumar et al., 2018; Mandal

34 et al., 2020a), and phenology identification (Nelson et al., 2014; De Bernardis  
 35 et al., 2015; Lasko et al., 2018; Singha et al., 2019; Fikriyah et al., 2019).  
 36 Cloude (2007) proposed a clustering technique for dual-polarimetric (HH-HV  
 37 or VV-VH) SAR data. An eigendecomposition of the  $2 \times 2$  covariance ma-  
 38 trix is performed to characterize scattering mechanisms from targets. The  
 39 average scattering angle  $\bar{\alpha}$  is obtained from the two orthogonal polariza-  
 40 tion states weighted by their corresponding pseudo probabilities obtained  
 41 from the eigenvalues. The entropy  $H$  is obtained from the pseudo prob-  
 42 abilities. Ainsworth et al. (2008) introduced a scattering-type parameter  $\theta$   
 43 for dual-pol SLC data (HH-HV) utilizing the eigendecomposition technique.  
 44 This parameter is presented as a measure between the cross- and co-pol  
 45 backscatter ratio ( $\sigma_{XY}^{\circ}/\sigma_{XX}^{\circ}$ ). It was stated that although the formulation is  
 46 similar to Cloude  $\alpha$ , the scattering information content is different. Utiliz-  
 47 ing  $\theta$  and the scattering entropy ( $H$ ) for dual-pol SAR data, an unsupervised  
 48 clustering framework was proposed to identify different targets based on their  
 49 scattering mechanisms. The unsupervised clustering plane was divided into  
 50 eight different zones based on the scattering types.

51 Besides this, several vegetation descriptors such as the Radar Vegetation  
 52 Index (RVI) for dual-pol (Trudel et al., 2012), Dual-Pol SAR Vegetation  
 53 Index (DPSVI) (Periasamy, 2018), and Dual-pol Radar Vegetation Index  
 54 (DpRVI) (Mandal et al., 2020b) have been developed for crop growth moni-  
 55 toring and biophysical parameter retrieval. However, similar descriptors are  
 56 not directly available for dual-pol GRD SAR data products.

57 In particular, investigation often is limited to the direct use of backscat-  
 58 ter intensities or their ratios for crop phenology identification and cluster-

59 ing. Vreugdenhil et al. (2018) studied the sensitivity of backscatter intensities  
60 and the cross-pol ratio (VH/VV) to crop biophysical parameters such as the  
61 Vegetation Water Content (VWC), Leaf Area Index (LAI), biomass, and the  
62 plant height for three different crops using the Sentinel-1 GRD SAR data.

63 Temporal sensitivity analysis using various machine learning models has  
64 shown that the cross-pol ratio is a valuable parameter for monitoring crop  
65 biophysical parameters and phenology. Song and Wang (2019) analyzed the  
66 temporal trend of VV and VH backscatter intensities to identify and map  
67 winter wheat crop using a parallelepiped classifier. They distinguished dif-  
68 ferent phenology stages by exploring the temporal trend of the VH/VV ratio  
69 and its slope.

70 Nasrallah et al. (2019) fitted multiple Gaussian functions to a time-  
71 series of backscatter intensities (VV, VH and VH/VV) to estimate the date  
72 of significant phenology stages for wheat. Wali et al. (2020) explored the  
73 sensitivity of temporal backscatter intensities of rice biophysical parameters  
74 using a combination of linear regression lines. With this approach, they were  
75 able to identify the reproductive growth stages of rice. Schlund and Erasmi  
76 (2020) demonstrated the sensitivity of interferometric phase information to  
77 estimate the dates of different phenology stages of wheat.

78 Information about phenological status can increase crop classification ac-  
79 curacy (Bargiel, 2017; Li et al., 2019). However, available studies on crop  
80 monitoring using GRD SAR data are mostly limited to the direct use of  
81 backscatter intensities and their ratios, along with a few empirical models.  
82 These approaches partly utilize the available polarimetric information from  
83 dual-pol GRD SAR data. Dual-polarimetric descriptors that characterize

84 different target scattering mechanisms have a wide range of applicability  
85 compared to empirical and data-driven models limited to specific crops and  
86 regions. In this regard, an unsupervised clustering framework that suitably  
87 utilizes the available polarimetric information from dual-pol GRD SAR data  
88 is needed to monitor crop growth dynamics.

89 In general, polarimetric parameters have been directly attributed to the  
90 physical properties of the crop canopy (Lopez-Sanchez et al., 2012, 2014;  
91 McNairn et al., 2018; Dey et al., 2020b), and has therefore helped monitor  
92 crop phenology. Unfortunately, the polarimetric parameters reported in these  
93 studies are not immediately apparent in the case of dual-pol GRD SAR data.

94 The majority of SAR-based crop monitoring studies were limited to small  
95 study areas due to the high volume of data processing. For example, the  
96 Sentinel-1 constellation acquires data at a rate of approximately 600 GB per  
97 day (Ali et al., 2017). This volume of data requires high storage and compu-  
98 tational resources for processing. Unfortunately, these resources are limited  
99 and restricted for full exploitation to those with access to High-Performance  
100 Computing Systems (HPCS). With the advent of cloud platforms such as the  
101 Google Earth Engine (GEE) (Gorelick et al., 2017), the NASA Earth Ex-  
102 change (Nemani et al., 2011), Amazon Web Services (AWS) (Jackson et al.,  
103 2010), and Microsoft Azure (Redkar et al., 2009), large-scale remote sensing  
104 and geospatial data analysis have become possible with minimum local com-  
105 putational resources (Hird et al., 2017). In this aspect, the web-based GEE  
106 platform is designed to make planetary-scale remote sensing data process-  
107 ing manageable and efficient (Gorelick et al., 2017). The free-to-use policy  
108 and various in-built GEE algorithms make it an ideal tool for both experts

109 and non-experts alike. The major contributions of the current study are as  
110 follows:

- 111 • Introduces three new dual-polarimetric descriptors:  $m_c$ ,  $\theta_c$ , and  $H_c$ .
- 112 • Proposes a new unsupervised clustering framework using two param-  
113 eters ( $\theta_c$  and  $H_c$ ) obtained from the dual-pol GRD SAR data.
  - 114 – Six feasible clustering zones depicting different scattering mecha-  
115 nisms.
  - 116 – Specific to crop monitoring, the proposed clustering framework  
117 effectively characterizes different phenological stages.
- 118 • Demonstrates how the proposed algorithm is implemented on GEE,  
119 making it available for global monitoring with minimal local computa-  
120 tional requirements.

121 The performance of the parameters and clustering framework is analyzed  
122 using time-series Sentinel-1 SAR data for monitoring wheat and canola.

## 123 **2. Study area and dataset**

124 The test site is located near Carman, Manitoba (Canada), covering an  
125 intensively cropped area of 26 km  $\times$  48 km. The dominant crops grown in  
126 this region include wheat, canola, soybeans, corn and oats, along with a small  
127 fraction of acreages in grassland and pasture. The sowing period of crops in  
128 this region varies from early to late May, depending on crop variety and  
129 cultivation practices. The harvesting period extends until late September.

130 The nominal size of each field is approximately  $800\text{ m} \times 800\text{ m}$ . Each field  
131 comprises 16 sampling locations arranged in two parallel transects separated  
132 by 200 m, as shown in Figure 1. During the SMAPVEX-16 campaign, in-situ  
133 measurement of vegetation and soil was collected for 50 fields near coincident  
134 with satellite acquisitions.

135 In this study, we have considered 24 fields (13 wheat and 11 canola) for  
136 analysis. Figure 1 presents the distribution of the selected fields in the study  
137 area. One can find additional details regarding in-situ sampling methods  
138 during the SMAPVEX-16 campaign in McNairn et al. (2016); Bhuiyan et al.  
139 (2018).

140 Figures 2 and 3 provide field photos of different growth stages of wheat  
141 and canola, respectively. The Manitoba weekly agriculture reports Agriculture  
142 (2016) provide additional details regarding crop conditions. Sentinel-  
143 1 operates at C-band with a central transmit frequency of 5.405 GHz. In  
144 this work, we have utilized the data acquired with the Interferometric Wide  
145 swath (IW) mode with a swath width of 250 km. The spatial resolution is  
146  $5\text{ m} \times 20\text{ m}$  in range and azimuth, respectively, and the Noise Equivalent  
147 Sigma Zero (NESZ) is  $-25\text{ dB}$  with the incidence angle varying between  $20^\circ$   
148 to  $46^\circ$ . From the available Sentinel-1 images acquired during the campaign,  
149 we have used eight dual-pol (VV-VH) C-band Sentinel-1A GRD SAR in the  
150 present study. We have utilized the VV-VH data acquired with IW mode  
151 with incidence angle ranging from  $30.65^\circ$  to  $41.76^\circ$ . Complete details of the  
152 SAR data utilized in the study are presented in Table 1.

153 The data were chosen based on the availability of in-situ measurements  
154 of crop phenology stages and coincident Sentinel-1A acquisitions for six days



155 of the year (DOY) for wheat (DOY-146, 165, 182, 189, 201, 230) and canola  
 156 (DOY-146, 165, 182, 189, 206, 225).

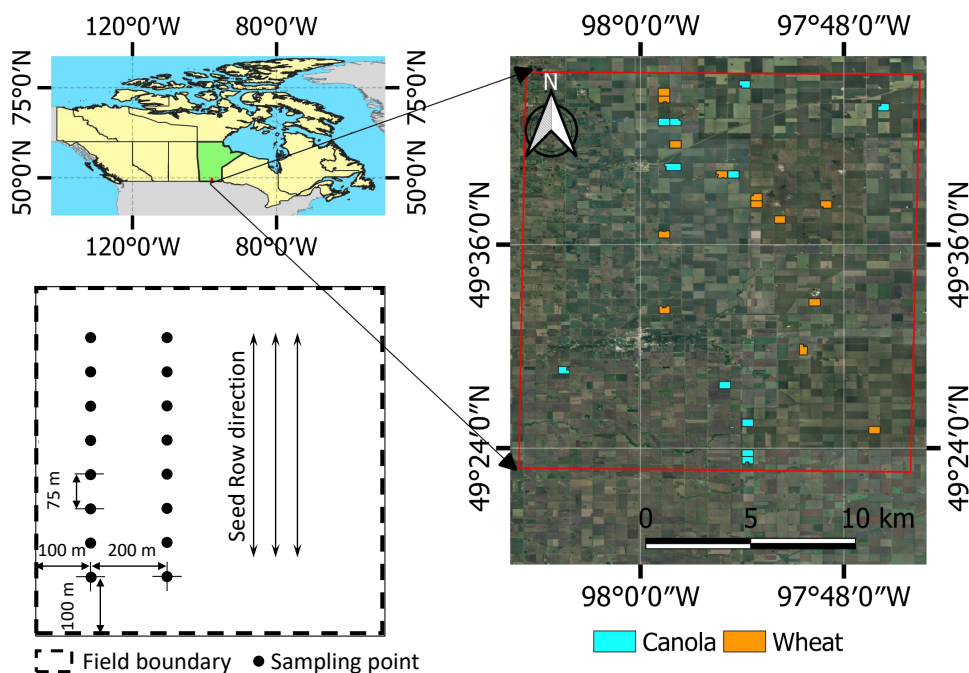


Figure 1: The study area and the distribution of wheat and canola fields in the study area overlaid on a Google earth image. The sampling schema followed for ground truth collection is detailed in the dashed rectangle (bottom left).

### 157 3. Methodology

158 This section proposes three descriptors from the Level-1 S1 GRD SAR  
 159 data. We express the co-pol purity parameter in terms of the co-pol to  
 160 cross-pol ratio, which is then used to obtain the scattering-type parameter.  
 161 The measure of scattering randomness is expressed in terms of the ratio  
 162 parameter. We utilize these descriptors to introduce a clustering framework

Table 1: Details and specification of Sentinel-1A data used in the present study. Data are acquired from the Carman test site during the SMAPVEX16-MB campaign. The range of incidence angles shown is specific to the location of the sample sites (IW: Interferometric Wide swath)

Date	DOY	Acquisition Mode	Incidence angle range (deg.)	Orbit
25-May-16	146	IW	40.18 - 41.76	Ascending
13-Jun-16	165	IW	30.65 - 32.70	Ascending
30-Jun-16	182	IW	40.17 - 41.75	Ascending
07-Jul-16	189	IW	30.64 - 32.69	Ascending
19-Jul-16	201	IW	30.70 - 32.70	Ascending
24-Jul-16	206	IW	40.16 - 41.74	Ascending
12-Aug-16	225	IW	30.65 - 32.70	Ascending
17-Aug-16	230	IW	40.16 - 41.74	Ascending

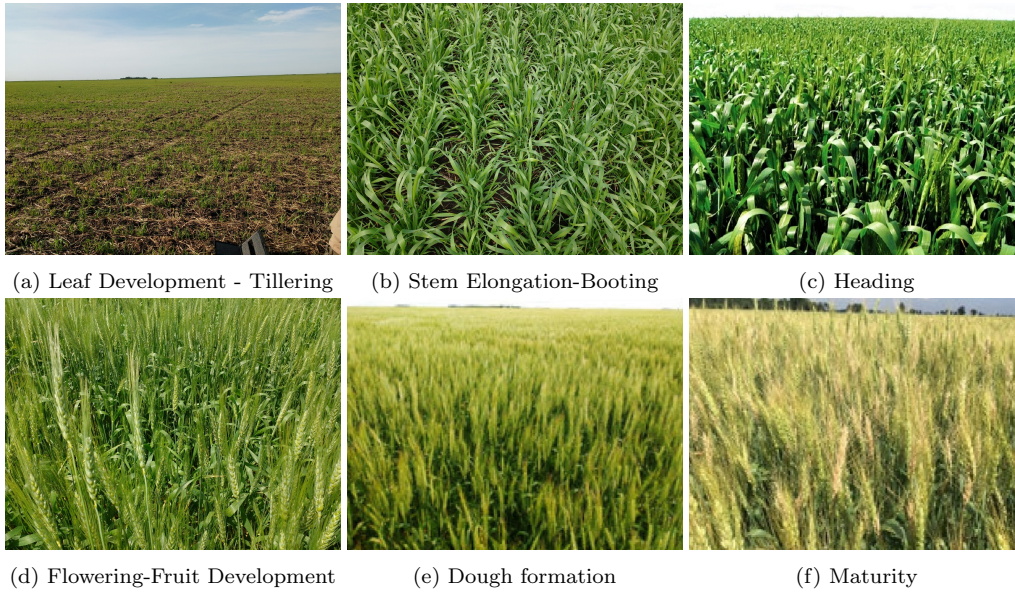


Figure 2: Field photos showing different phenology stages of wheat.

163 for crop phenology identification. Finally, we present the overall processing  
 164 chain of the framework using the GEE platform.

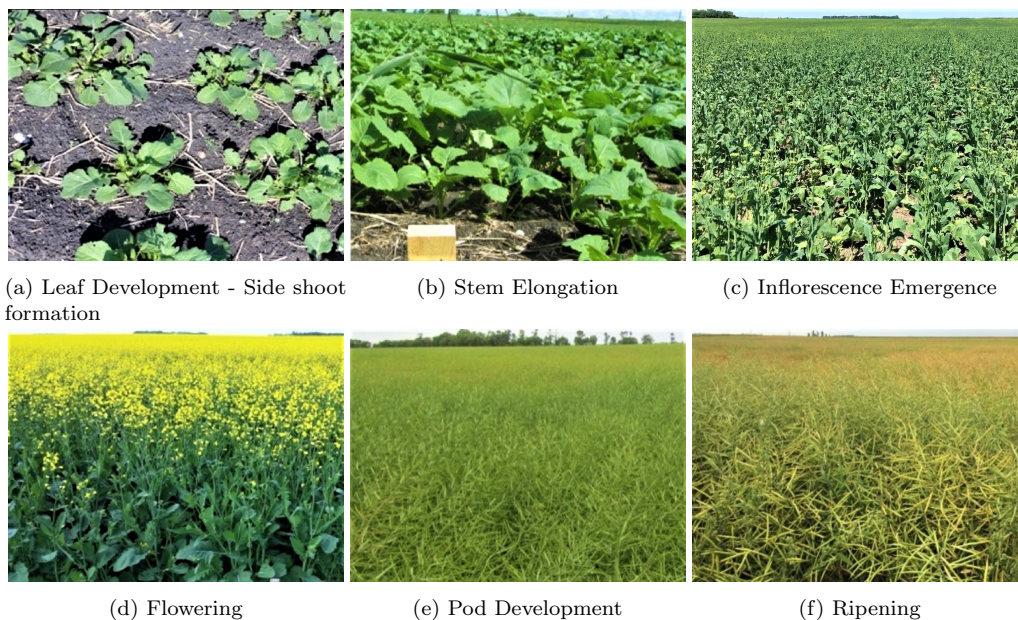


Figure 3: Field photos showing different phenology stages of canola.

165 *3.1. Dual-polarimetric descriptors*

166 In this section, we present three dual-polarimetric descriptors from the  
 167 Level-1 S1 GRD SAR data. We propose an unsupervised clustering frame-  
 168 work to monitor different crop growth stages based on their diverse scatter-  
 169 ing characteristics using these descriptors. In the Level-1 S1 GRD SAR data  
 170 product, we obtain backscatter response either in  $(\sigma_{VV}^{\circ}, \sigma_{VH}^{\circ})_{dB}$  or  $(\sigma_{HH}^{\circ}, \sigma_{HV}^{\circ})_{dB}$   
 171 modes, where H and V are respectively the horizontal and vertical transmit  
 172 and receive polarization components. The subscript dB represents the GRD  
 173 SAR data products in decibel (dB) scale. In general, for a monostatic an-  
 174 tenna configuration and a natural scene, we assume  $\sigma_{XY}^{\circ} \leq \sigma_{XX}^{\circ}$  (where X and  
 175 Y are H or V polarizations respectively) (Cloude, 2009). Using this assump-  
 176 tion, we consider the ratio parameter,  $0 \leq q = \frac{\sigma_{XY}^{\circ}}{\sigma_{XX}^{\circ}} \leq 1$ , in the linear scale.  
 177 This parameter has been widely used in the literature as a descriptor for

178 several crop monitoring applications (Della Vecchia et al., 2008; Vreugdenhil  
179 et al., 2018; Homayouni et al., 2019). In the GRD product, we do not keep  
180 the relative phase information between the XX and XY polarization. Hence,  
181 we cannot obtain covariance information from the GRD product, unlike the  
182 SLC data. We express the co-pol purity parameter ( $m_c$ ) in terms of  $q$  given  
183 in Equation 1. It can be noted that for  $q = 1$ ,  $m_c = 0$ , and for  $q = 0$ ,  $m_c = 1$ .  
184 In between these two extreme cases,  $1 > q > 0$ ,  $0 < m_c < 1$ .

$$m_c = \frac{1 - q}{1 + q}; \quad 0 \leq m_c \leq 1 \quad (1)$$

185 Utilizing  $\sigma_{XX}^\circ$ ,  $\sigma_{XY}^\circ$ , and  $m_c$  we define two auxiliary quantities as,

$$\tan \zeta_1 = \frac{\sigma_{XX}^\circ}{m_c I} \quad \text{and} \quad \tan \zeta_2 = \frac{\sigma_{XY}^\circ}{m_c I}, \quad (2)$$

186 where the total intensity,  $I = \sigma_{XX}^\circ + \sigma_{XY}^\circ$ . By using a simple relationship, we  
187 obtain,

$$\begin{aligned} \tan \theta_c &= \tan (\zeta_1 - \zeta_2) \\ &= \frac{(1 - q)^2}{1 + q^2 - q}; \quad 0^\circ \leq \theta_c \leq 45^\circ \end{aligned} \quad (3)$$

188 We can observe from equation (3) that when  $m_c = 0$ , then  $\theta_c = 0^\circ$  character-  
189 izes complex scattering from targets. Whereas, when  $m_c = 1$ , then  $\theta_c = 45^\circ$ ,  
190 characterizes pure scattering from deterministic targets (i.e., trihedral or di-  
191 hedral). Therefore, the pseudo scattering-type parameter  $\theta_c \in [0^\circ, 45^\circ]$  char-  
192 acterizes different scattering information in between these two cases.

193 Next, we define the pseudo scattering entropy parameter as,

$$H_c = - \sum_{i=1}^2 p_i \log_2 p_i; \quad 0 \leq H_c \leq 1 \quad (4)$$

194 where  $p_1 = \frac{1}{1+q}$  and  $p_2 = \frac{q}{1+q}$  are the two pseudo probability measures  
 195 with  $p_1 \geq p_2$ . We can observe that  $H_c = 1$  for  $p_1 = p_2$  (i.e.,  $q = 1$ ), whereas  
 196  $H_c = 0$  for  $p_1 = 1$  (i.e.,  $q = 0$ ).

197 Using  $\theta_c$  and  $H_c$  together, we propose an unsupervised clustering frame-  
 198 work shown in Fig. 4. The curve (Curve I) represents the unique feasible  
 199 clustering section in the  $H_c/\theta_c$  plot. It can be noted that this curve is deter-  
 200 mined from the theoretical relationship between  $\theta_c$  and  $H_c$  while varying  $m_c$   
 between 0 to 1.

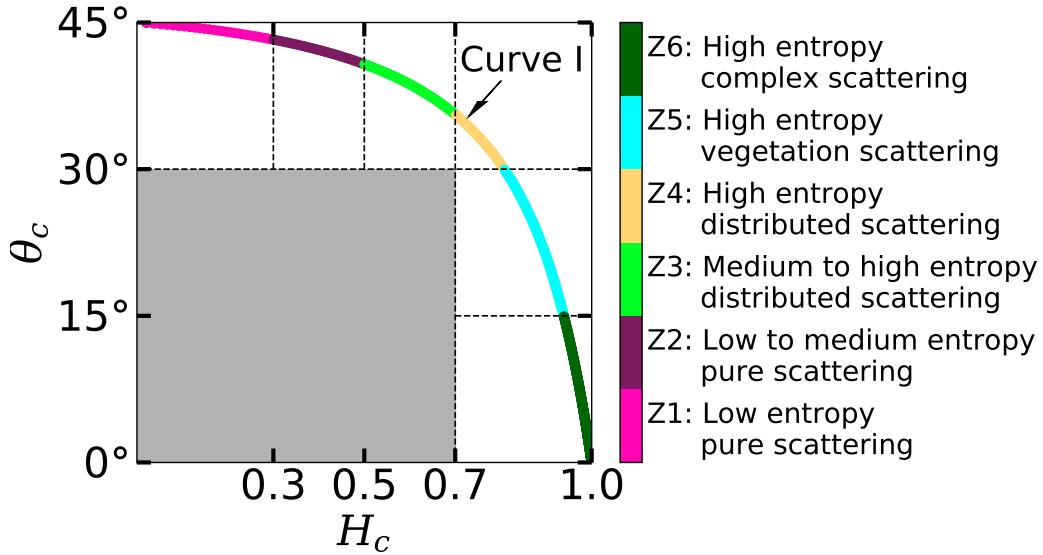


Figure 4: The  $H_c/\theta_c$  2D clustering sections. The curve is divided into six zones: Z1 to Z6.

201

202 Based on particular scattering characteristics from targets (Cloude and  
 203 Pottier, 1997), we propose six possible clustering zones: Z1, Z2, Z3, Z4, Z5

204 and Z6 by splitting  $H_c$  into four sub-categories:  $[0, 0.3)$ ,  $[0.3, 0.5)$ ,  $[0.5, 0.7)$ ,  
 205 and  $[0.7, 1.0]$ , and  $\theta_c$  into three sub-categories:  $[0^\circ, 15^\circ)$ ,  $[15^\circ, 30^\circ)$ ,  $[30^\circ, 45^\circ]$ .  
 206 Each of these zones represents different scattering phenomena from the scene.  
 207 The relationship between  $q$  and the three proposed descriptors ( $\theta_c$ ,  $m_c$ , and  
 208  $H_c$ ) along with the boundary values of the clustering zones is shown in Fig-  
 209 ure 5 and Table 2.

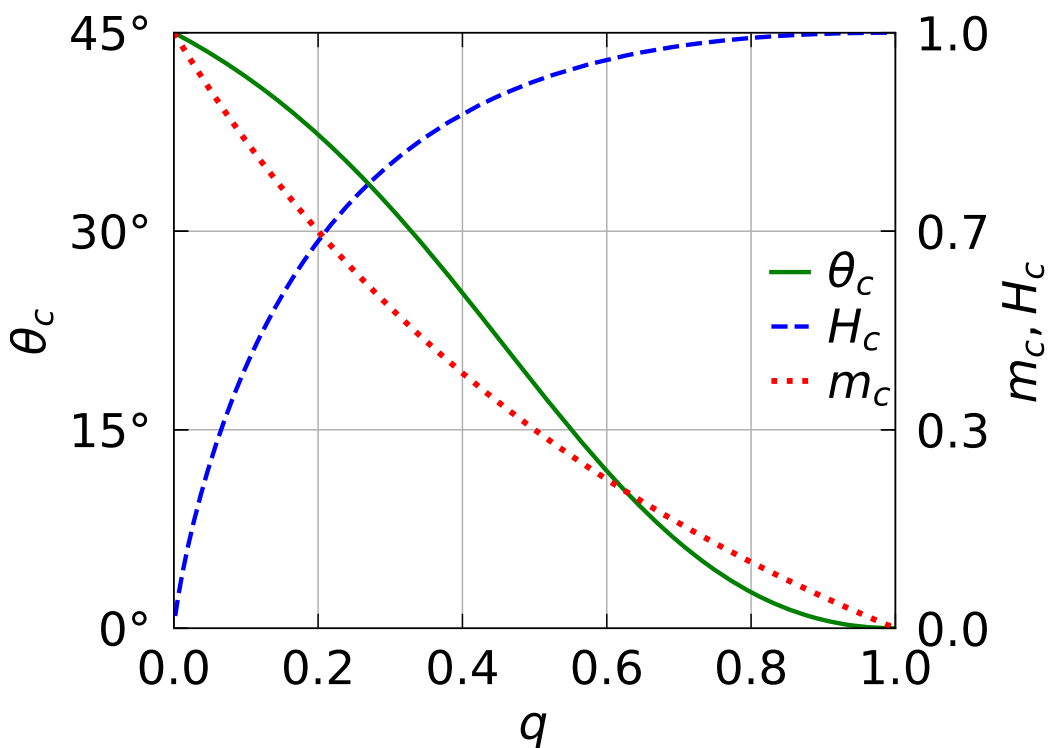


Figure 5: Relationship between the proposed descriptors ( $\theta_c$ ,  $m_c$ ,  $H_c$ ) and  $q$ .

210 Cloude (2007) proposed the  $H/\bar{\alpha}$  clustering technique for dual-polarimetric  
 211 (HH-HV or VV-VH) SAR data. Unlike full-polarimetric measurements, the  
 212  $2 \times 2$  covariance matrix is formed using only the column of the scattering ma-  
 213 trix to characterize various targets. The scattering angle  $\alpha$  is obtained from  
 214 the eigenvector parameterization, and  $H$  is obtained from the eigenvalues of

Table 2: Boundary values of the descriptors ( $H_c$ ,  $\theta_c$ ,  $q$ , and  $m_c$ ) for adjacent zones in the proposed clustering framework

	$H_c$	$\theta_c$	$q$	$m_c$
—	0	45°	0	1
<b>Z1–Z2</b>	0.30	43.25°	0.06	0.89
<b>Z2–Z3</b>	0.50	40.74°	0.12	0.78
<b>Z3–Z4</b>	0.70	35.59°	0.23	0.62
<b>Z4–Z5</b>	0.81	30.00°	0.33	0.51
<b>Z5–Z6</b>	0.94	15.00°	0.55	0.29
—	1	0°	1	0

215 the covariance matrix as pseudo probabilities. The average scattering angle  
 216  $\bar{\alpha}$  is obtained from the two orthogonal polarization states weighted with the  
 217 two corresponding pseudo probabilities.

218 It is important to note that we cannot directly apply the  $H/\bar{\alpha}$  decom-  
 219 position technique to characterize target scattering mechanisms for GRD  
 220 SAR data. Hence, to characterize targets utilizing GRD data, we propose  
 221 an equivalent scattering angle  $\theta_c$  based on the approach presented in (Dey  
 222 et al., 2020a). We present the comparison of the two scattering angles for  
 223 elementary targets and volume scattering models from a random cloud of  
 224 anisotropic particles in Table 3. For comparison purpose, the scattering an-  
 225 gle  $\theta_c$  is scaled to the same range of  $\bar{\alpha}$  as,  $\bar{\theta}_c = 45^\circ - \theta_c$ . We can note that all  
 226 elementary targets reside at the origin, whereas the volume scattering models  
 227 reside precisely on the lower curve of the  $H/\bar{\alpha}$  plane.

228 Unlike the unsupervised clustering plane formed from the dual-pol  $H/\alpha$   
 229 framework, the proposed  $H_c/\theta_c$  framework forms a clustering segment. Both  
 230  $\theta_c$  and  $H_c$  are derived from the cross-pol ratio  $q$ . However, their physical in-  
 231 terpretations for targets are quite different due to their fundamental formula-

Table 3: Comparison between Cloude  $\bar{\alpha}$  and  $\bar{\theta}_c$  for elementary targets and volume scattering models for dual-polarimetric SAR data.

	Trihedral	Dihedral	Prolate	Oblate	Noise (Identity)
$\bar{\alpha}$	0°	0°	22.5°	10°	45°
$\bar{\theta}_c$	0°	0°	15.3°	4.3°	45°
$H = H_c$	0	0	0.811	0.503	1

232 tion, even though there is some correlation between the two parameters. On  
 233 the one hand, the derivation of  $H_c$  is equivalent to the von Neumann type of  
 234 entropy (represented as Shannon entropy) utilizing the pseudo-probabilities  
 235 in terms of  $q$ . On the other hand, the derivation of  $\theta_c$  follows from the equiv-  
 236 alent formalism given in (Dey et al., 2020a). It characterizes scattering-type  
 237 information using co-pol purity ( $m_c$ ) and total intensity ( $I$ ) in terms of  $q$ .  
 238 One can note that their combined use is also supported by a better separation  
 239 of clusters when the thresholds are defined by any one of these parameters.

240 The division of the clustering segment is realized from the symmetry re-  
 241 lation for the scattering of a polarized wave. The input Stokes vector  $\mathbf{S}_i$  and  
 242 output Stokes vector to the scattering medium  $\mathbf{S}_o$  are related by a linear  
 243 relation of the form:  $\mathbf{S}_o = \mathbf{K}\mathbf{S}_i$ . Several restrictions are attributed to the  
 244 Kennaugh matrix  $\mathbf{K}$  depending upon the symmetry and reciprocity require-  
 245 ments. Scattering from symmetrical medium makes  $\mathbf{K}$  diagonal. In the limit  
 246 of weak scattering, the linear response of the scattering medium is determined  
 247 by the ensemble-averaged covariance satisfying the Bethe-Salpeter equation  
 248 (Cloude and Pottier, 1997). Following some rigorous computation,  $\mathbf{S}_o$  can  
 249 be expressed as a function of the number of scattering events,  $n$ . Having  
 250 specified  $\mathbf{S}_o$ , we can formulate the expression of the degree of polarization,  $m$



251 in terms of  $n$ . From the definition of entropy  $S$  ( $H_c$  in this context) given in  
 252 (Brosseau, 1991; Bicout and Brosseau, 1992), which is a function of the de-  
 253 gree of polarization  $m$ , satisfying the inequality:  $S(m = 1) \leq S \leq S(m = 0)$ .  
 254 Therefore, we observe that  $S$  increases with increase in  $n$ , as,  $S(n = 0) = 0$ ;  
 255  $S(n = 1) \approx 0.3$ ;  $S(n = 2) \approx 0.5$ , and,  $S(n \geq 3) \approx 0.7$ , and further increas-  
 256 ing  $n$  (i.e., higher-order scattering),  $S$  saturates for both dual- and full-pol  
 257 case. Furthermore, for dual cross-pol case,  $H_c \approx 0.7$  for randomly oriented  
 258 cylinders. A similar dependency of the scattering-type parameter (Cloude  
 259  $\alpha$ ) can also be observed as a function of the order of scattering  $n$ . We can  
 260 approximately translate this observation to  $\theta_c$ .

### 261 3.2. Effect of system parameters on the proposed descriptors

262 In this section, we show the analysis of the effect of polarization com-  
 263 bination and frequency. Also, we present a comparative study of conven-  
 264 tional dual-pol descriptors from SLC data and the proposed dual-pol de-  
 265 scriptors from dual-pol GRD SAR data. In this context, we have utilized  
 266 the RADARSAT-2 (C-band) and UAVSAR (L-band) data acquired over a  
 267 Canadian test site for wheat. During the acquisition, wheat was at flowering  
 268 to heading stage. The sampling points consists of acquisitions from two dates  
 269 (29 June 2012 and 14 July 2012), and the incidence angle ranges from 22.2°  
 270 to 26.5°.

271 We know that longer wavelength SAR signal (L-band) penetration depth  
 272 is higher than the shorter SAR signal (C-band). Moreover, a shorter wave-  
 273 length SAR signal (C-band) will suffer relatively more attenuation within  
 274 vegetation canopies than a longer wavelength SAR signal (L-band). There-  
 275 fore, we observe the differences in the proposed descriptors for different in-

276 cident frequencies. From Table 4, we can observe higher values of  $m_c$  and  
 277 lower values of the pseudo scattering entropy in the case of L-band compared  
 278 to the C-band. It may be due to less attenuation of the L-band compared  
 279 to C-band. Similarly, we observe that the values of  $\theta_c$  are more towards a  
 280 pure scattering-type in the L-band than the C-band in both the dual-pol  
 281 combinations. Also, we observe some effects of polarization combination on  
 282 the descriptors. The predominantly vertical structure of the wheat canopy  
 283 leads to higher interaction of the V-pol than the H-pol. Hence, we observe  
 284 higher scattering entropy  $H_c$  and lower co-pol purity  $m_c$  in the VV+VH  
 285 combination.

286 Besides, we observed higher values of the scattering-type parameter  $\theta_c$  in  
 287 the HH+HV combination than the VV+VH combination. The high value  
 288 of  $\theta_c$  indicates that the scattering mechanism is comparatively purer in the  
 289 HH+HV combination than the VV+VH combination due to less interaction  
 290 of H-pol with the vertically oriented crop canopy than the V-pol.

Table 4: Effect of frequency on the proposed dual-pol descriptors for wheat.

Frequency	HH+HV			VV+VH		
	$m_c$	$H_c$	$\theta_c$	$m_c$	$H_c$	$\theta_c$
C-band (5.405 GHz)	$0.79 \pm 0.08$	$0.47 \pm 0.12$	$40.78^\circ \pm 2.30^\circ$	$0.53 \pm 0.12$	$0.78 \pm 0.1$	$30.33^\circ \pm 6.59^\circ$
L-band (1.258 GHz)	$0.93 \pm 0.03$	$0.22 \pm 0.07$	$43.84^\circ \pm 0.59^\circ$	$0.83 \pm 0.08$	$0.4 \pm 0.14$	$41.79^\circ \pm 2.15^\circ$

291 A comparative study between the conventional SLC dual-pol descriptors:  
 292 Barakat degree of polarization  $m$ , scattering entropy  $H$  and Cloude  $\bar{\alpha}$ , and  
 293 the proposed GRD dual-pol descriptors: co-pol purity  $m_c$ , pseudo scattering-  
 294 entropy  $H_c$ , and pseudo scattering-type parameter  $\theta_c$ . Table 5 shows the  
 295 values of the conventional dual-pol descriptors and the proposed descriptors  
 296 for two dual-pol combinations for the above experiment setup. We observe

297 a negligible difference between  $H$  and  $H_c$ , and  $m$  and  $m_c$ . However, we  
 298 observe a noticeable difference between the scattering-type parameters,  $\bar{\alpha}$   
 299 and  $\bar{\theta}_c$  (kindly note that  $\bar{\theta}_c = 45^\circ - \theta_c$ ). This difference could be because of  
 300 the parameterization of the eigenvector of the  $\mathbf{C}_2$  matrix while deriving the  
 301 Cloude  $\alpha$ . Hence, we can say that our proposed parameters obtained from  
 302 dual-pol GRD SAR data possess equivalent information as the conventional  
 303 parameters derived from dual-pol SLC data.

Table 5: Comparison of conventional dual-pol descriptors from SLC data and the proposed dual-pol descriptors from dual-pol GRD SAR data.

Channels	SLC			GRD		
	$m$	$H$	$\bar{\alpha}$	$m_c$	$H_c$	$\bar{\theta}_c$
HH+HV	$0.80 \pm 0.08$	$0.45 \pm 0.15$	$12.23^\circ \pm 4.24^\circ$	$0.79 \pm 0.08$	$0.47 \pm 0.12$	$4.22^\circ \pm 2.3^\circ$
VV+VH	$0.55 \pm 0.11$	$0.76 \pm 0.10$	$24.27^\circ \pm 5.45^\circ$	$0.53 \pm 0.13$	$0.78 \pm 0.10$	$14.67^\circ \pm 6.59^\circ$

### 304 3.3. Sentinel-1 dual-pol descriptors in GEE

305 This section describes the extraction process of the proposed polarimetric  
 306 descriptors from the Sentinel-1 dual-pol GRD SAR data on the GEE plat-  
 307 form. The overall processing framework comprises three major blocks: data  
 308 preparation, clustering and temporal analysis, as shown in Figure 6.

309 In the data preparation block, we import the Level-1 Ground Range De-  
 310 tected (GRD) Sentinel-1 backscatter coefficient (i.e.,  $\sigma^\circ$  in decibel) data into  
 311 the GEE platform. The imported temporal data stack is cloud filtered using  
 312 three filters:

- 313 • Metadata filter (bands: VV, VH, incidence angle, instrument mode:  
 314 IW, and orbit: ascending)
- 315 • Temporal filter (date range: 25 May 2016 to 17 August 2016)

316 • Spatial bound filter (region of interest: shapefile of the study area)

317 Subsequently, we use two masks to generate a valid pixel data stack. As  
 318 described in section 3.1, the first mask (i.e.,  $\sigma_{VV}^{\circ} > \sigma_{VH}^{\circ}$ ) ensures estima-  
 319 tion constraints of the proposed descriptors, whereas the second mask (i.e.,  
 320  $\sigma_{VV}^{\circ} > -20$  dB) separates out water bodies. The backscatter values in the  
 321 valid pixel data stack are then converted into a linear scale. Further, we use  
 a  $5 \times 5$  boxcar filter to despeckle the data.

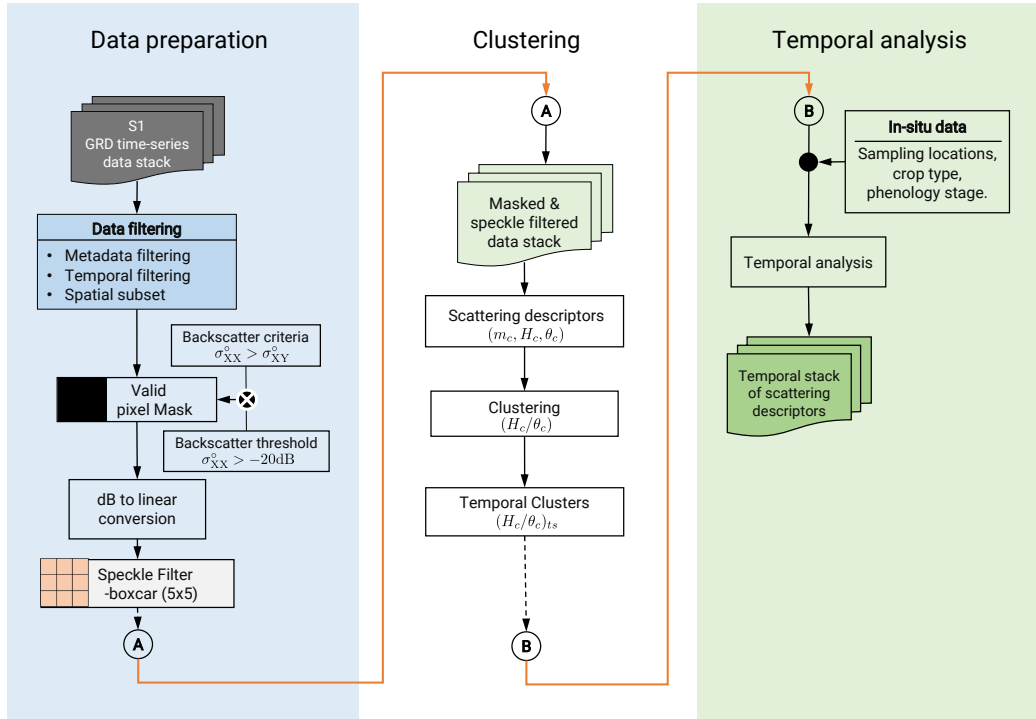


Figure 6: The proposed schematic workflow to derive the dual-polarimetric descriptors from Sentinel-1 dual-pol GRD SAR data on the GEE platform.

322

323 In the clustering block, we first generate the dual-pol descriptors using  
 324 the Equations 1- 4 from the valid and speckle filtered data stack. Further,  
 325 we utilize these descriptors to generate the  $H_c/\theta_c$  clusters for each scene in

326 the temporal stack. The temporal analysis block starts by importing in-  
 327 situ data such as sampling locations, crop type and crop phenology stages.  
 328 Subsequently, we utilize these data to analyze the temporal stack of dual-pol  
 329 descriptors and the  $H_c/\theta_c$  clusters. Further, to complement the analysis, we  
 330 generate temporal maps of each descriptor over the study area.

## 331 4. Results and discussion

332 In this section, we analyze the temporal dynamics of crops using the  
 333 proposed dual-polarimetric descriptors. Furthermore, we utilize the pro-  
 334 posed clustering framework to assess the phenological stages of the two crops  
 335 (wheat, canola) from the C-band Sentinel-1 dual-pol GRD SAR data. The  
 336 description of the phenological stages for wheat and canola are presented in  
 337 Tables 6 and 7 respectively.

Table 6: Phenology stages of wheat. The BBCH (**B**iologische **B**undesanstalt, **B**undessorte-  
 namt und **C**Hemische Industrie) codes of each phenology stage are also highlighted.

Phenology stage	BBCH code	Description
Leaf development	10-19	1-9 or more leaves unfolded
Tillering	20-29	Formation of 1-9 or more number of tillers
Stem elongation	30-39	Elongation of first internode to fully unrolled flag leaf
Booting	41-49	Flag leaf sheath extended to first awns visible
Heading	51-59	First spikelet to completely emerged heads
Flowering-fruit development	61-77	Beginning of flowering and formation of grains with milk
Doughstage	83-89	Development of soft to Hard dough
Maturity	92-97	Grain turns very hard and over ripened; grain loosening in day-time

### 338 4.1. Temporal dynamics of the dual-polarimetric descriptors

339 In this section, we present the temporal analysis of  $m_c$ ,  $\theta_c$  and  $H_c$  across  
 340 the phenological stages of wheat and canola over Carman, Manitoba, Canada.  
 341 The spatio-temporal changes of  $m_c$ ,  $\theta_c$  and  $H_c$  are shown in Figure 7, Fig-  
 342 ure 8, and Figure 9, respectively, over the entire test site. Variations for

Table 7: Phenology stages of canola. The BBCH (**B**iologische **B**undesanstalt, **B**undessortenamt und **C**hemische Industrie) codes of each phenology stage are also highlighted.

Phenology stage	BBCH code	Description
Leaf development	10-19	1-9 or more leaves unfolded
Side shoot formation	20-29	Formation of 1-9 or more side shoots
Stem elongation	30-39	Formation of 1-9 or more extended internodes
Inflorescence emergence	50-59	Formation of flower buds, still enclosed by leaves
Flowering	60-69	Starting from first flower opening to the majority of petals fallen
Pod development	71-79	Formation of pods and reaching their full size
Ripening	80-89	Green seeds hardens and turns into dark

343 all three parameters are evident with crop growth starting from early leaf  
 344 development to maturity for most agricultural fields. We also present the  
 345 temporal dynamics of  $H_c/\theta_c$  clusters as shown in Figure 10, to assess the  
 346 crop growth condition.

347 On DOY-146, most wheat and canola fields show high values of  $m_c$   
 348 (wheat:  $0.81 \pm 0.08$  and canola:  $0.82 \pm 0.08$ ),  $\theta_c$  (wheat:  $41.37^\circ \pm 2.04^\circ$   
 349 and canola:  $41.6^\circ \pm 1.91^\circ$ ) and medium to low value of  $H_c$  (wheat:  $0.43$   
 350  $\pm 0.12$  and canola:  $0.42 \pm 0.13$ ). These responses are due to the minimal  
 351 crop cover, before significant vegetative growth and leaf development. Hence,  
 352 the soil characteristics (i.e., moisture and surface roughness) dominate the  
 353 backscatter response. Therefore, the effect of soil roughness on the backscat-  
 354 ter response is significant (Wiseman et al., 2014), which may have led these  
 355 sample pixels to cluster in the low to medium entropy pure scattering zones  
 356 (viz., Z1, Z2) in the  $H_c/\theta_c$  map (Figure 10).

357 With crop growth advancing to the inflorescence stage, we observe an  
 358 overall decrease in the values of  $m_c$ , which is evident in Figure 7. Thus,  
 359 on DOY-206, we observe medium to low values of  $m_c$  (wheat:  $0.43 \pm 0.07$   
 360 and canola:  $0.42 \pm 0.1$ ). From the flowering to maturity stage, the canopy

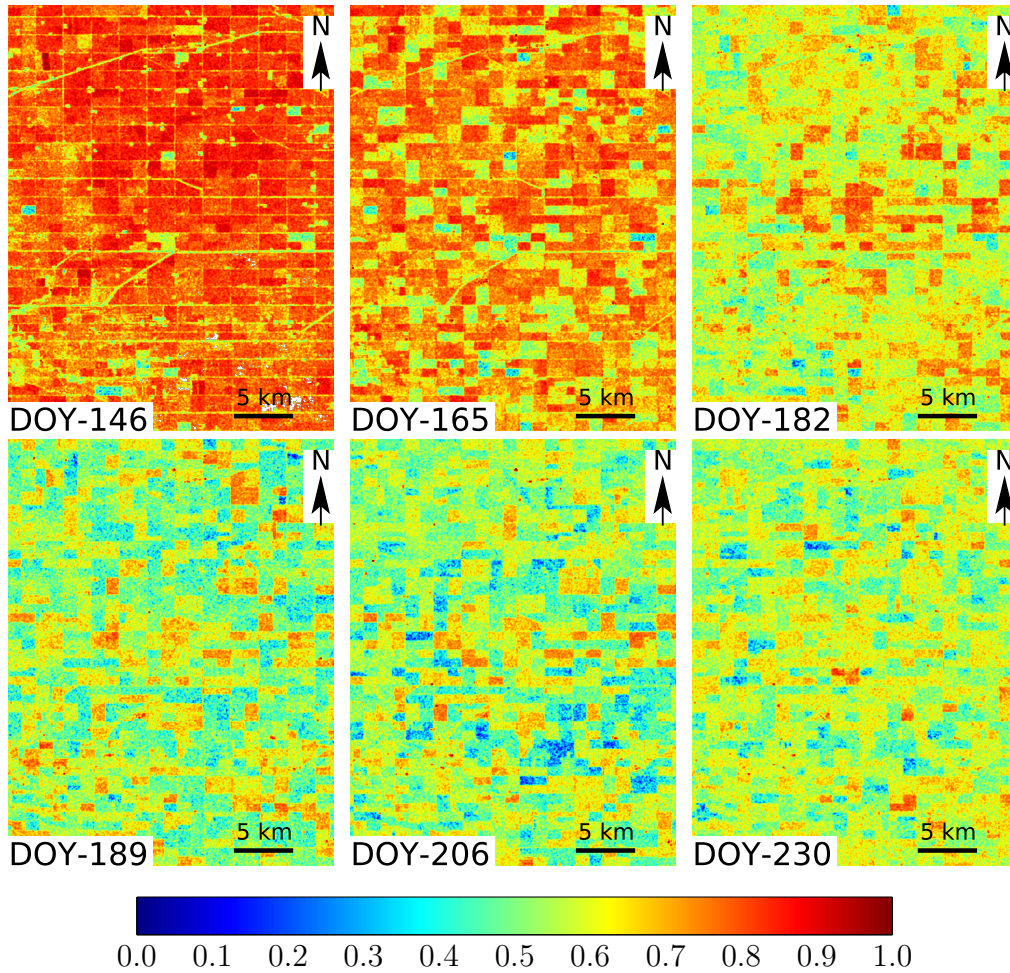


Figure 7: Temporal variation of  $m_c$  over the study area.

361 density increases as crop biomass increases (Wiseman et al., 2014; Hariharan  
 362 et al., 2018). Therefore, as reported in (Sarabandi, 1991; Wang et al., 2019;  
 363 Ratha et al., 2019), we also observe similar high scattering randomness at  
 364 this stage.

365 Moreover, during this period, the observed backscatter response is ex-  
 366 pected to be dominated by the upper canopy layer. Additionally, the values

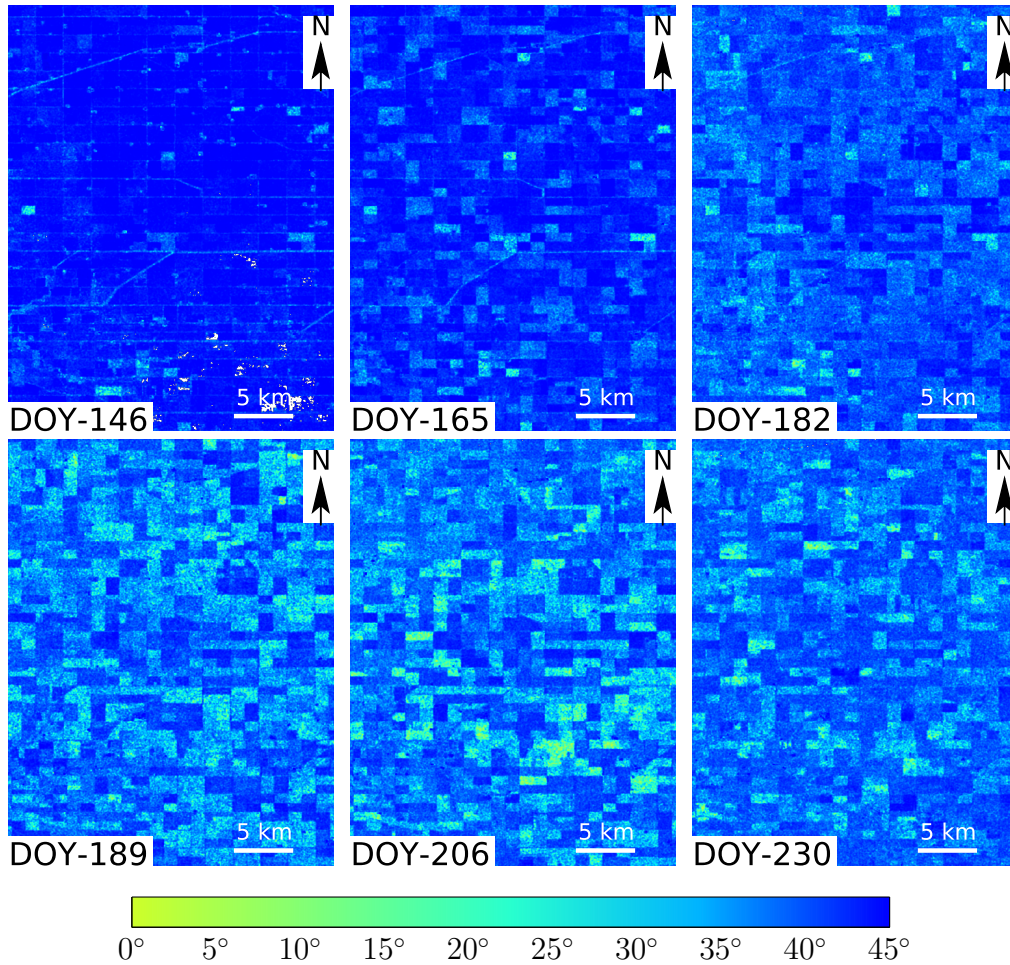


Figure 8: Temporal variation of pseudo scattering type parameter ( $\theta_c$ ) over the study area.

367 of  $\theta_c$  for wheat are  $24.51^\circ \pm 6.34^\circ$ , and for canola  $25.23^\circ \pm 4.7^\circ$  (Figure 8).  
 368 These values are indicative of low random scattering within the resolution  
 369 cells. We also observe an increasing trend of  $H_c$  due to the randomly oriented  
 370 canopy structure. The values of  $H_c$  for wheat and canola are  $0.86 \pm 0.06$   
 371 and  $0.86 \pm 0.05$ , respectively (Figure 9). Due to randomness in the vegeta-  
 372 tion structure on DOY-206, we observe dominance within the high entropy  
 373 vegetation scattering zone (Z5) in Figure 10.



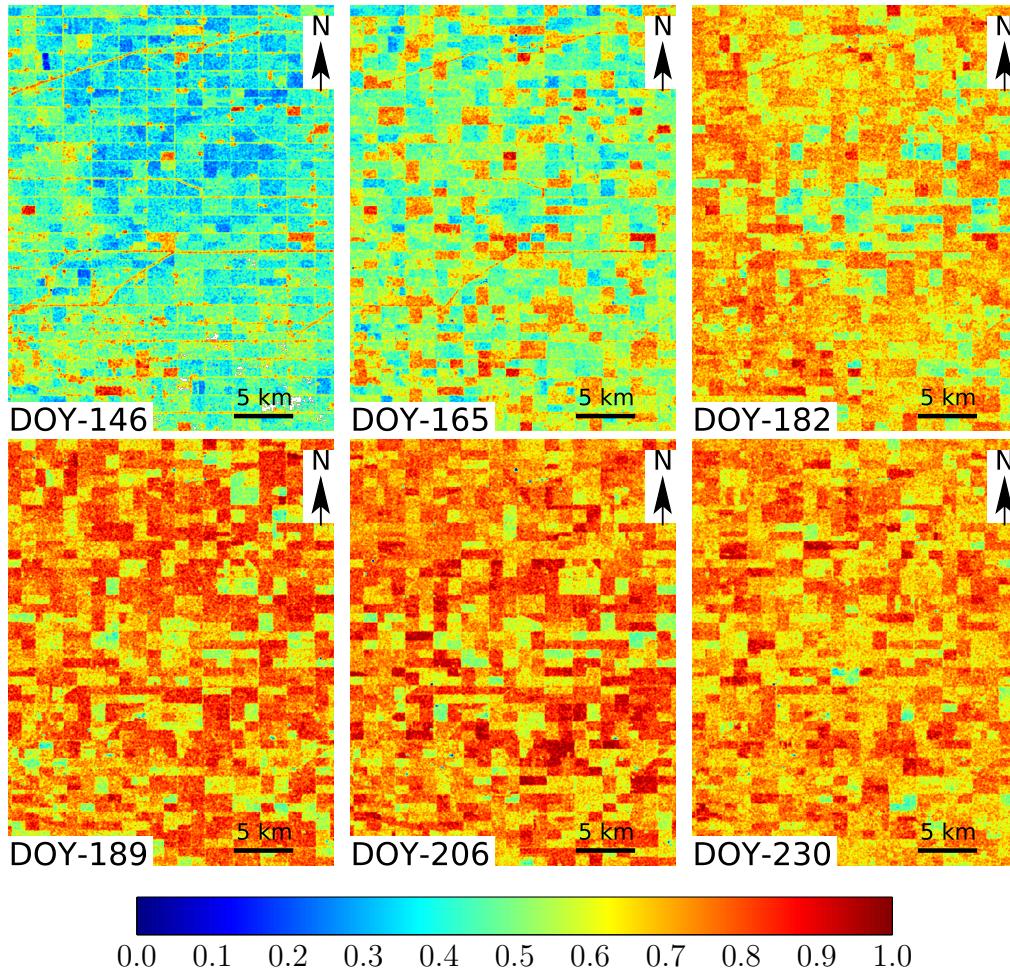


Figure 9: Temporal variation of pseudo scattering entropy parameter ( $H_c$ ) over the study area.

374 One can note that all three polarimetric descriptors show a trend reversal  
 375 at early crop senescence. This change could be due to the randomness varia-  
 376 tion corresponding to morphology attributes with a likely decrease in canopy  
 377 moisture. We can observe from Figure 7 that  $m_c$  significantly increases dur-  
 378 ing the ripening stage (DOY-230). At this stage, the values of  $m_c$  for wheat  
 379 and canola are  $0.52 \pm 0.08$  and  $0.55 \pm 0.08$ , respectively. A similar trend is

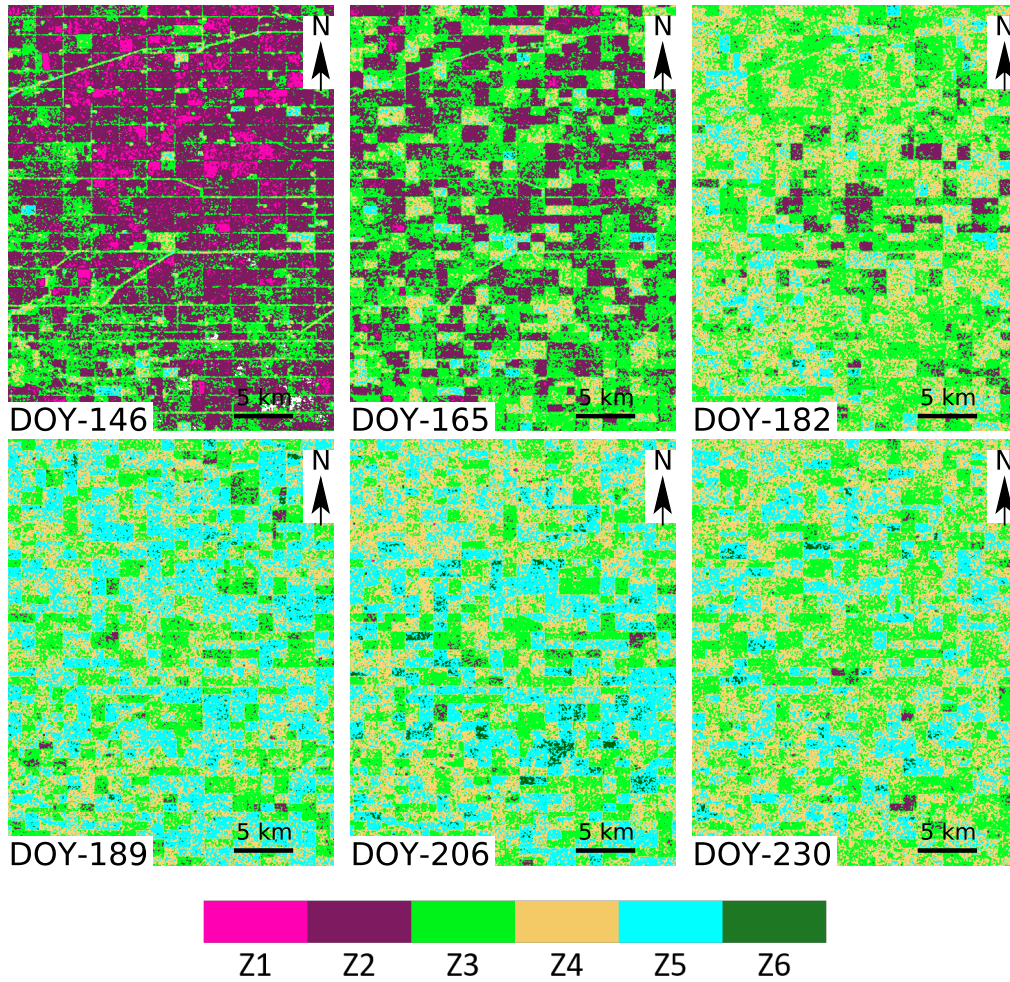


Figure 10: Temporal variation of  $H_c/\theta_c$  clusters over the study area.

380 also observed for  $\theta_c$  and  $H_c$  from Figures 8 and Figure 9. These notable  
 381 changes in the polarimetric descriptors might be due to the enhanced ability  
 382 of the radar wave to penetrate into the moderately dry crop canopy. Also,  
 383 the vegetation water content variations might have decreased the SAR signal  
 384 attenuation within the resolution cell.

385 *4.2. Analysis over sampling fields*

386 In the following sections, we provide a detailed quantitative analysis of  
 387 the three descriptors ( $m_c$ ,  $\theta_c$ , and  $H_c$ ) and the novel clustering framework for  
 388 wheat and canola. In this study, we considered a total of 24 sample fields  
 389 (wheat: 13 and canola: 11) for sensitivity and performance evaluation of the  
 390 descriptors during temporal morphological changes in the canopies.

391 *4.2.1. Wheat*

392 First, we analyse temporal characteristics of  $m_c$ ,  $H_c$  and  $\theta_c$  for different  
 393 phenological stages of wheat. We considered a total of 48 sampling points in  
 394 three different fields (Field no. 62, 220, and 233) for assessing the temporal  
 395 dynamics of  $\theta_c$ ,  $H_c$  and  $m_c$ . We also evaluate temporal variations of the  
 396  $H_c/\theta_c$  clusters according to wheat phenology.

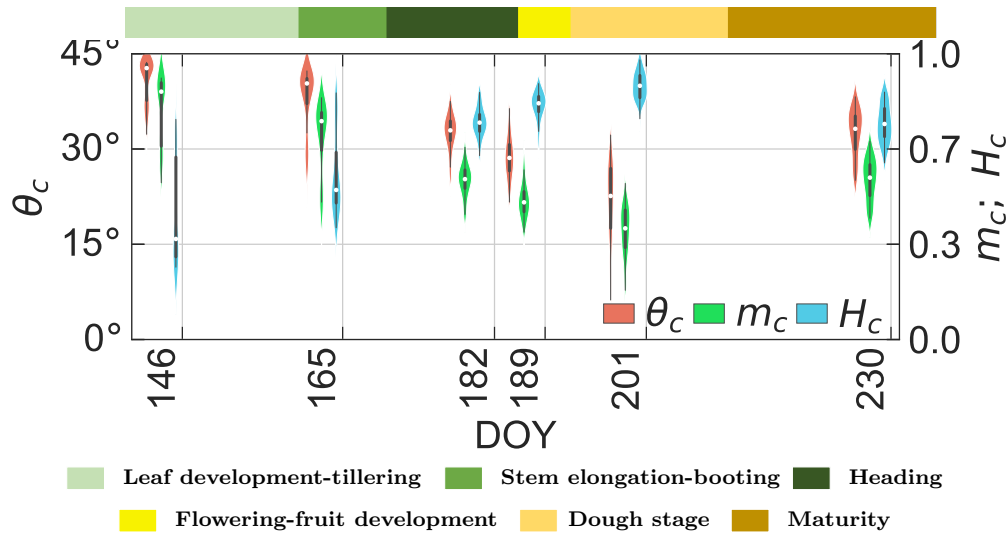


Figure 11: Temporal variation of  $m_c$ ,  $H_c$  and  $\theta_c$  for the growth stages of wheat. The white dot represents the median value, the black bar in the center represents the standard boxplot. On either side of the boxplot is a kernel density estimation displaying the shape of the data distribution.

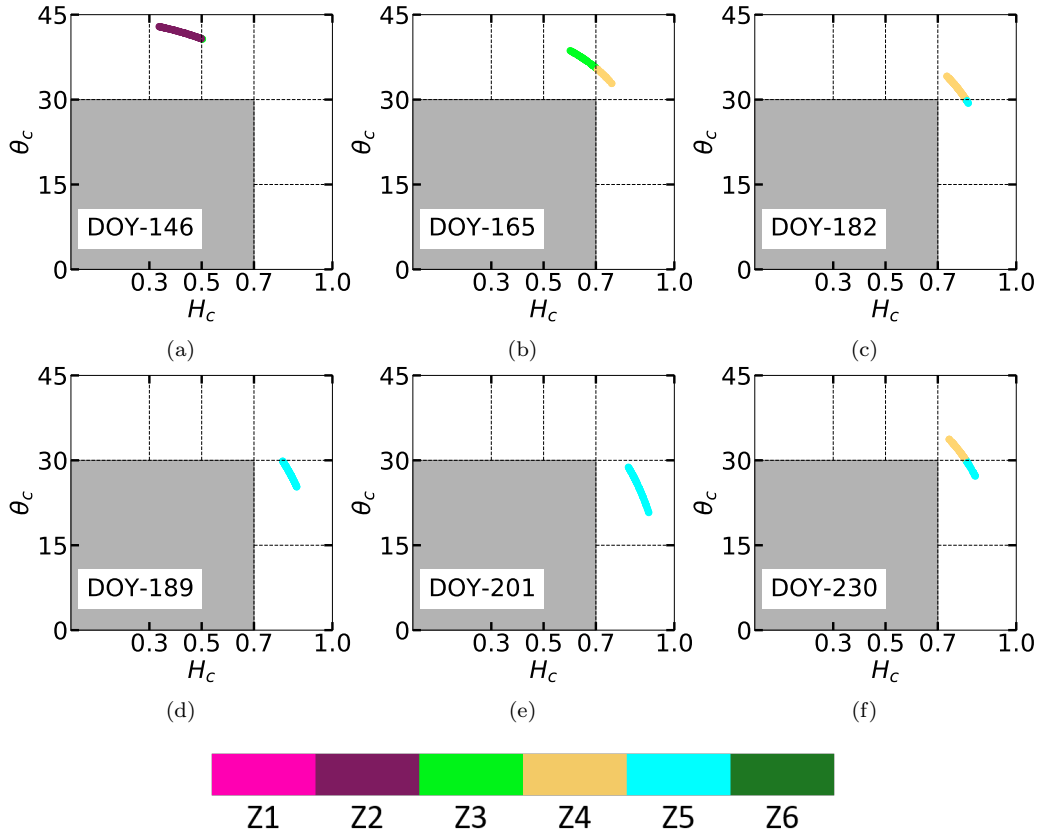


Figure 12: Temporal dynamics of the  $H_c/\theta_c$  data cluster for wheat during entire growth period.

397 We have shown the temporal dynamics of the parameters  $m_c$ ,  $H_c$  and  $\theta_c$   
 398 using a series of standard violin plots. The width of the violin represents the  
 399 probability that the sampling points portion will take on the given value. We  
 400 can observe from Figure 11 that  $m_c$ ,  $H_c$  and  $\theta_c$  are sensitive to wheat morpho-  
 401 logical changes. For example, we note that during the early leaf development  
 402 stage (around DOY-146),  $m_c$  and  $\theta_c$  show differential scattering information  
 403 due to the presence of a minimal crop canopy. However, on DOY-201, wheat  
 404 has advanced to the dough stage and consequently, the interaction of the

405 radar wave with the matured canopy structure has increased. Therefore at  
406 this stage, the increase in the cross-pol component has decreased the value  
407 of  $m_c$ .

408 On DOY-146, high values of  $m_c$  and  $\theta_c$  are evident from Figure 11, reach-  
409 ing  $0.8 \pm 0.12$  and  $40.82^\circ \pm 3.41^\circ$ , respectively. The distributions of  $m_c$  and  
410  $\theta_c$  are left-skewed, indicating that most samples fall towards higher values  
411 of  $m_c$  and  $\theta_c$ . These high values correspond to pure scattering, which is due  
412 to the dominance of the soil contribution relative to vegetation. As a result,  
413 most data points are clustered in the low entropy pure scattering zone (Z2)  
414 (Figure 12a). However, it is noteworthy that a fraction of the backscatter  
415 response originates from the crop leaves and side tillers. The interaction of  
416 radar waves with these structures has produced a small cluster with  $\approx 11\%$   
417 of data points in the medium entropy zone (Z3) (Table. 8).

418 We note that a few fields have advanced to the tillering stage during this  
419 period due to early sowing. Hence, new tillers in those fields might have  
420 decreased  $m_c$  and increased  $H_c$ . During stem elongation and booting, on  
421 DOY-165, we observe a decrease in  $m_c$  and  $\theta_c$ . The values of  $m_c$  and  $\theta_c$  are  
422  $0.71 \pm 0.12$  and  $37.87^\circ \pm 4.88^\circ$ , respectively. This decrease is likely due to  
423 changes in crop morphology in the vertical direction, with an increase in the  
424 main stem and side tillers (Figure 2b). The distributions of  $m_c$  and  $\theta_c$  have  
425 shifted towards lower values. However, depending on the difference in the  
426 growth pattern, bi-modal distributions of  $m_c$  and  $\theta_c$  are observed.

427 During this period, high crop canopy density enhances scattering entropy,  
428 shifting the data points towards medium to high entropy zones (Z3, and Z4)  
429 as shown in Figure 12b. Hence, 57.7% of the data points are clustered within

430 the medium entropy zone, while 42.3% are clustered within the high entropy  
431 zone (Table 8). Subsequently, during the heading stage (DOY-182), we ob-  
432 serve a considerable drop in the mean values of  $m_c$  and  $\theta_c$  from Figure 11.  
433 These values decrease by 21.13% and 14.21%, respectively, when compared  
434 to the previous date.

435 On DOY-182, the crops are in their advanced vegetative stage. The radar  
436 response is similar for all fields due to their comparable scattering random-  
437 ness. The standard deviations of the sample distributions have decreased  
438 significantly. During this period, we observe from Figure 12c a shift in the  
439 data clusters from the medium entropy (Z3) to the high entropy zone (Z4).  
440 This shift could be due to changes in the wheat canopy structure during the  
441 heading stage. At this stage, the distribution of plant biomass shifts towards  
442 the upper layer of the canopy. Thus, a major contribution of scattering is  
443 from the upper canopy layer. A small proportion of data points ( $\approx 15\%$ ) are  
444 clustered in the vegetation scattering zone (Z5) (Table. 8), which might be  
445 due to early flowering of these wheat fields.

446 With the advancement of wheat phenology to flowering stage (Figure 2d)  
447 on DOY-189, we observe a further drop in  $m_c$  ( $0.49 \pm 0.06$ ) and  $\theta_c$  ( $28.72^\circ$   
448  $\pm 3.39^\circ$ ). During this period, the wheat canopy forms a complex structure  
449 due to the appearance of flowers on the upper portion of the canopy layer.  
450 Interestingly, randomness in scattering during the flowering stage is more no-  
451 ticeable in the distribution of  $\theta_c$  values. Moreover, the tail of the distribution  
452 becomes more comprehensive than the previous growth stage (Figure 11).  
453 The spread in the distribution of  $\theta_c$  indicates multiple scattering mecha-  
454 nisms. Moreover, the shape of the distribution for  $m_c$  is almost equivalent

455 to  $\theta_c$ , with an overall shift towards lower values. An increase in pseudo en-  
 456 tropy has displaced the  $H_c/\theta_c$  cluster towards the high entropy vegetation  
 457 scattering zone (Z5) as shown in Figure 12d.

458 Dough and maturity stages continued from late July (DOY-201) to mid-  
 459 August (DOY-225) with the values of  $m_c$ , and  $\theta_c$  reaching their minimum  
 460 when the crop advanced from flowering to early dough on DOY-201. The  
 461 mean values of  $m_c$  and  $\theta_c$  reach  $0.38 \pm 0.09$  and  $21.56^\circ \pm 6.24^\circ$  respectively.  
 462 We observe a broad spread of  $\theta_c$  values in Figure 11, which may be due  
 463 to randomly oriented wheat stems and heads (Figure 2e). Wu et al. (1985)  
 464 reported a similar phenomenon given that during the heading stage, a sig-  
 465 nificant portion of the total scattering occurs from the wheat heads. It is  
 466 noteworthy that the distributions are bi-modal, denoting two primary scat-  
 467 tering sources: the thick upper canopy layer and the relatively less dense  
 468 bottom canopy. We observe that all data points cluster in the high entropy  
 469 and vegetation scattering zone (Z5) in the  $H_c/\theta_c$  plot (Figure 12e).

Table 8: Temporal variation in the percentage of data points in each zone for different phenology stages of wheat. The zone with the maximum number of points at a particular phenology stage is highlighted in bold. Each row represents a phenology stage, and the solid line in between two phenology stages represents a significant variation in the temporal trend for the zones.

DOY	Z1	Z2	Z3	Z4	Z5	Z6	Growth stage
146	0.0	<b>89.4</b>	10.6	0.0	0.0	0.0	Leaf Development-Tillering
165	0.0	0.0	<b>57.7</b>	42.3	0.0	0.0	Stem Elongation-Booting
182	0.0	0.0	0.0	<b>84.6</b>	15.4	0.0	Heading
189	0.0	0.0	0.0	0.0	<b>100</b>	0.0	Flowering-Fruit development
201	0.0	0.0	0.0	0.0	<b>100</b>	0.0	Dough stage
230	0.0	0.0	0.0	<b>65.4</b>	34.6	0.0	Maturity

470 During the ripening stage, the canopy moisture content drops rapidly.  
471 As a result, penetration of the SAR signal into the crop canopy increases  
472 and hence, there is a substantial scattering contribution from the ground  
473 to the total backscatter. A trend reversal is observed for all the dual -  
474 polarimetric descriptors when the crop reaches the early mature stage (DOY-  
475 230). During this period, the mean values of  $m_c$  and  $\theta_c$  increase to  $0.56 \pm$   
476  $0.07$  and  $32.54^\circ \pm 3.65^\circ$ , respectively. The median of the distribution shifts  
477 towards higher values (Figure 12f). We observe a decrease in the spread of  
478 distributions for both  $m_c$  and  $\theta_c$  in Figure 11 indicating uniformity in the  
479 scattering mechanism.

480 A decrease in scattering entropy shifts the  $H_c/\theta_c$  cluster towards the dis-  
481 tributed scattering zone (Z4) from random scattering, as shown in Figure 12f.  
482 However, 34.6 % of the data points are clustered within the vegetation scat-  
483 tering zone (Z5) with 65.4 % of the data points clustered in Z4 (Table 8). The  
484 Z5 clusters appearance might be due to the late maturity of wheat, which is  
485 also in agreement with the bi-modal distribution of the  $m_c$  and  $\theta_c$  parameters  
486 in Figure 11.

487 The proportion of data points over different scattering regions for other  
488 crop phenological stages is presented in Table 8. The results indicate a  
489 smooth transition of scattering mechanisms throughout the growing cycle of  
490 wheat. Using the proposed scattering descriptors and the novel clustering  
491 framework, we capture different scattering mechanisms at each wheat growth  
492 stage.



493 4.2.2. Canola

494 This section analyses the temporal characteristics of  $m_c$ ,  $H_c$  and  $\theta_c$  for  
 495 different phenological stages of canola. In total, 48 sampling points in three  
 496 canola fields (Field no. 206, 208, and 224) are used to assess the temporal  
 497 dynamics of these parameters. We also evaluate the temporal variations of  
 the  $H_c/\theta_c$  cluster according to canola phenology.

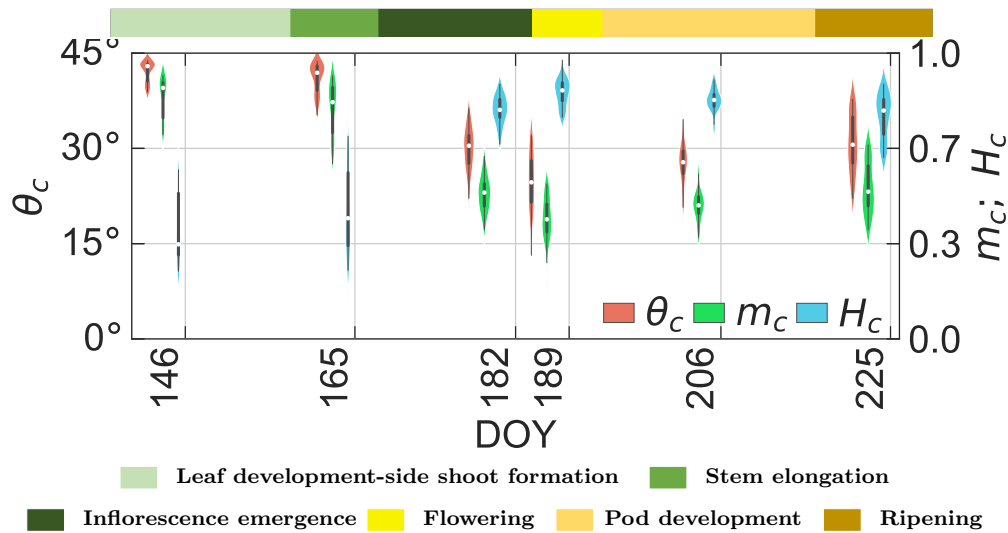


Figure 13: Temporal variation of  $m_c$ ,  $H_c$  and  $\theta_c$  for the growth stages of canola. The white dot represents the median value, the black bar in the center represents the standard boxplot. On either sides of boxplot is a kernel density estimation to show the distribution shape of the data.

498

499 Canola is a broadleaf crop with a distinctive canopy structure at every  
 500 growth stage (McNairn et al., 2018; Mandal et al., 2020b). The seeding of  
 501 the canola crop was completed by mid-May, as indicated in the in-situ data.  
 502 Until the beginning of June, the plant advanced to its vegetative growth  
 503 stage. The plant develops a dense rosette of leaves near the soil surface  
 504 during the leaf development, as evident from Figure 3a. However, the size of

505 these leaves is comparable to the wavelength of the C-band ( $\approx 5.6$  cm).

506 On DOY-146, the mean value of  $\theta_c \approx 40^\circ$  and  $m_c \approx 0.8$  which indicate  
 507 dominant scattering from exposed soil due to sparse vegetation cover (Fig-  
 508 ure 13). In Figure 14a, we observe that a majority of data points are clustered  
 509 in zone Z2, which is characterized by medium entropy pure scattering. A few  
 510 data points are in the medium to high entropy distributed scattering zone  
 511 (Z3) as this crop advances to the leaf development stage.

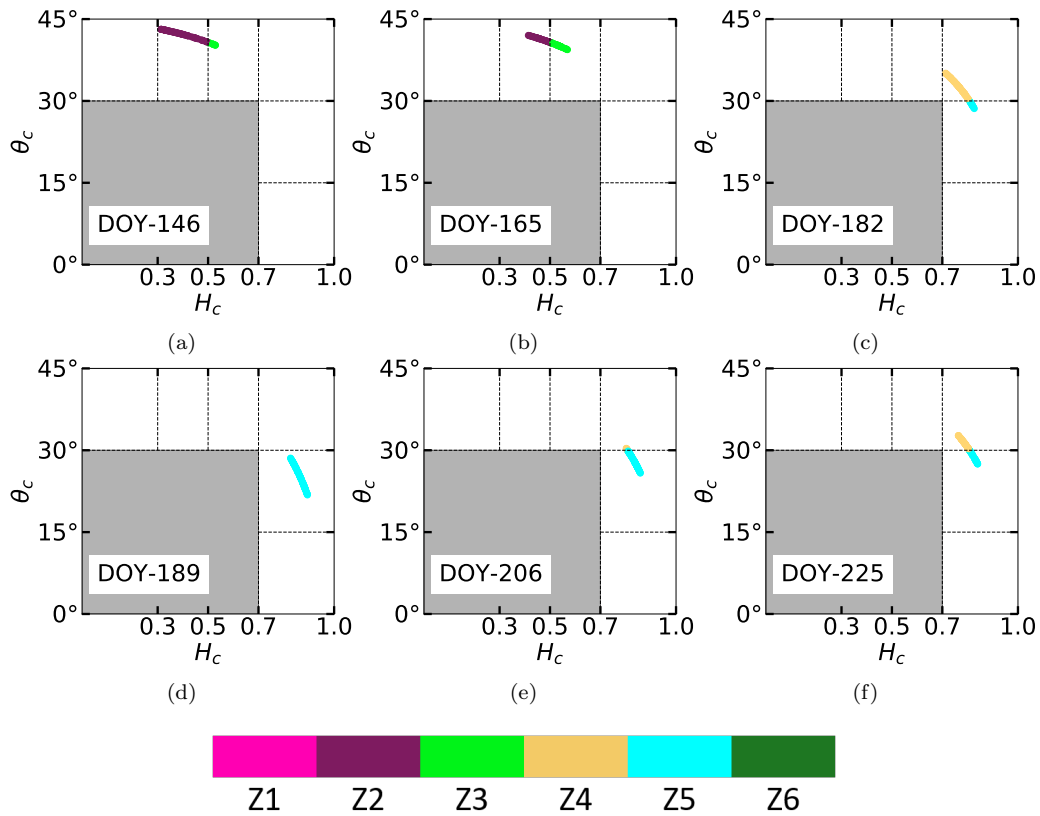


Figure 14: Temporal dynamics of the  $H_c/\theta_c$  data cluster for canola during entire growth period.

512 As growth progresses to stem elongation, a noteworthy change into a

513 vertical plant structure can be observed from Figure 3b. At this stage, the  
514 attenuation of V-polarized waves increases. Further, an increase in biomass  
515 and PAI due to increased leaf density and branch formation leads to increased  
516 scattering randomness. On DOY-165, the  $H_c/\theta_c$  cluster shifts from the pure  
517 (Z2) to the distributed scattering zone (Z3) due to a substantial amount  
518 of  $m_c$  component during stem elongation. The accumulation of points has  
519 increased in the distributed scattering zone (Z3) due to the matured crop  
520 morphology.

521 During inflorescence emergence (Figure 3c), flower buds develop and leaf  
522 density increases significantly. Consequently, we observe a change in the  
523 data cluster on DOY-182 (Figure 14c). Further, the values of  $m_c$  and  $\theta_c$   
524 dropped to  $0.51\pm 0.06$  and  $29.94^\circ\pm 3.38^\circ$ , respectively. On the other hand,  
525 the formation of branches increases scattering entropy. Hence, a shift in the  
526  $H_c/\theta_c$  cluster from medium entropy zone (Z3) to high entropy zone (Z4) is  
527 evident on DOY-182 (Figure. 14c).

528 During the flowering stage, the buds develop into flowers, and the main  
529 stem and branches grow (Figure 3d). On DOY-189, the scattering mechanism  
530 of all the data points is shifted towards high entropy vegetation scattering  
531 zone (Z5) (Figure 14d). We may attribute this shift to the development of  
532 a complex canopy geometry during the flowering and early pod development  
533 stage. As pods form, the canopy drops leaves. The decline of leaf cover  
534 followed by the development of pods dramatically changes the crop geometry.  
535 The canopy architecture becomes more random, with pods creating needle-  
536 like structures oriented randomly.

537 During the development of pods canola develops a dense, random canopy

538 structure. Hence, the mean values of  $m_c$  ( $0.47\pm 0.06$ ) and  $\theta_c$  ( $27.6^\circ\pm 3.36^\circ$ ) are  
539 minimum on DOY-206. A majority of data points ( $\approx 91\%$ ) are clustered into  
540 the high entropy vegetation scattering zone (Z5). At the same time, a small  
541 percentage ( $\approx 9\%$ ) of data points are clustered into Z4 (Figure 14e). This  
542 small cluster may reflect the change in crop morphology as leaf area declines  
543 and the SAR signal interacts more with the needle-like canopy (Figure 3e).

Table 9: Temporal variation in the percentage of data points in each zone for different phenology stages of canola. The zone with the maximum number of points at a particular phenology stage is highlighted in bold. Each row represents a phenology stage and the solid line in between two phenology stages represents a significant variation in the temporal trend for the zones.

DOY	Z1	Z2	Z3	Z4	Z5	Z6	Growth stage
146	0.0	<b>89.8</b>	10.2	0.0	0.0	0.0	Leaf Development Side shoot formation
165	0.0	48.9	<b>51.1</b>	0.0	0.0	0.0	Stem Elongation
182	0.0	0.0	0.0	<b>84.1</b>	15.9	0.0	Inflorescence Emergence
189	0.0	0.0	0.0	0.0	<b>100</b>	0.0	Flowering
206	0.0	0.0	0.0	9.1	<b>90.9</b>	0.0	Pod Development
225	0.0	0.0	0.0	<b>54.5</b>	45.5	0.0	Ripening

544 Subsequently on DOY-225, we observe an increase in  $m_c$  and  $\theta_c$  values to  
545  $0.53\pm 0.09$  and  $30.8^\circ\pm 4.63^\circ$ , respectively. This increase in the values of the  
546 descriptors might be due to the decrease in overall canopy moisture content at  
547 maturity. As canopy moisture declines, the SAR signal can penetrate deeper  
548 into the crop canopy. Hence, at late maturity, there might be a greater  
549 contribution from the soil. Because of these physical changes, the  $H_c/\theta_c$

550 cluster shifts towards the distributed scattering zone (Z4) (Figure 14f). The  
551 bi-modal distribution of the parameters  $m_c$  and  $\theta_c$  (Figure 13) indicates two  
552 major sources of scattering. In particular, some fraction of the crop may be  
553 entering the mid/end-ripening stage, resulting in higher values of  $m_c$  and  $\theta_c$ .  
554 In contrast, other canopies may be just entering early ripening, resulting in  
555 lower values. We observe this difference in Figure 14f. Notably, 54.5% of  
556 the data points fall in distributed scattering zone (Z4), whereas 45.5% of  
557 the data points are in the vegetation scattering zone (Z5). The proportion  
558 of data points over different scattering regions for all phenological stages  
559 of canola is presented in Table 9. The results indicate a smooth transition  
560 of scattering mechanisms throughout the growing cycle. Consequently, the  
561 proposed descriptors exhibit high sensitivity to the phenological stages of  
562 both wheat and canola. Hence, these descriptors are useful in monitoring  
563 phenological changes for both crops.

## 564 5. Conclusion

565 In this study, we propose three polarimetric descriptors from dual-pol  
566 Sentinel-1 (S1) GRD SAR data. These parameter are: the pseudo scattering-  
567 type parameter ( $\theta_c$ ), the co-pol purity parameter ( $m_c$ ), and the pseudo scat-  
568 tering entropy parameter ( $H_c$ ). We have expressed these descriptors in terms  
569 of  $q = \sigma_{XY}^{\circ}/\sigma_{XX}^{\circ}$ , with  $0 \leq q \leq 1$ . Additionally, we have proposed a novel  
570 unsupervised clustering framework using  $\theta_c$  and  $H_c$ .

571 We have used the dual-pol descriptors and the novel clustering framework  
572 to analyze temporal growth dynamics of wheat and canola over a Canadian  
573 test site. The results are very encouraging in assessing crop dynamics for

574 different major phenological stages. The high sensitivity of these descriptors  
575 to different crop growth stages is evident in this context.

576 In the scope of this study, we have characterized diverse crop phenolog-  
577 ical stages in terms of the physical scattering of the electromagnetic wave  
578 from targets using the GRD SAR data. The unsupervised clustering frame-  
579 work using  $H_c/\theta_c$  contains six zones representing different physical scattering  
580 mechanisms. Hence, it provides essential information about the crop growth  
581 stages without any *a priori* knowledge and therefore very useful in interpret-  
582 ing the available radar data.

583 The temporal analysis of the proposed descriptors revealed their high  
584 sensitivity across different phenology stages of wheat and canola. The dy-  
585 namic range of  $\theta_c$  from leaf development to fruit development of wheat is  
586  $41^\circ$  to  $21.6^\circ$ . Similarly, the variations of  $m_c$  and  $H_c$  are 0.8 to 0.38 and 0.35  
587 to 0.9, respectively. Similar dynamic ranges of these parameters are also  
588 evident for canola crop. Furthermore, the proposed clustering schema effi-  
589 ciently captured the diverse phenology stage of wheat and canola. For leaf  
590 development and tillering stages of wheat, 90% of the sampling points are  
591 clustered into low to medium entropy pure scattering zone. During flower-  
592 ing and fruit development stages, 100% of the sampling points are shifted  
593 into high entropy vegetation scattering zone. Subsequently, during the ma-  
594 turity stage, the clusters of the sampling points were split between the high  
595 entropy vegetation scattering and high entropy distributed scattering zones  
596 with  $> 65\%$  of the sampling points in the high entropy distributed scattering  
597 zone. Similar cluster dynamics are observed for the canola crop. However,  
598 it is computationally intensive to implement these algorithms for a high vol-

599 ume of temporal data from a global agricultural monitoring perspective. To  
600 overcome this limitation, we utilized the cloud-based platform (GEE) to ac-  
601 quire and process the dense time-series data of Sentinel-1. Implementing the  
602 algorithms in GEE also facilitates efficient generation of global maps of crop  
603 phenology stages.

604 This study only used the GRD SAR data product to formulate the target  
605 characterizing descriptors demonstrating promising results for natural tar-  
606 gets. We can further extend this study to different crop types and different  
607 dual-pol SAR sensors configurations. The proposed descriptors should be  
608 beneficial in studying natural ecosystems with upcoming dual-pol NASA-  
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